

Recent developments in (real-space) quantum Monte Carlo

Claudia Filippi

MESA+ Institute for Nanotechnology, Universiteit Twente, The Netherlands

Wave-function methods in quantum chemistry and nuclear physics, 8-12 February, 2021

Targeting Real Chemical Accuracy at the Exascale project has received funding from the European Union Horizoon 2020 research and innovation programme under Grant Agreement No. 952165.

Real-space quantum Monte Carlo methods

Stochastically solve interacting Schrödinger equation Why (real-space) quantum Monte Carlo?

- Favorable scaling \rightarrow Energy is $O(N^4)$
- Flexibility in choice of functional form of wave function
- Easy parallelization
- Among most accurate calculations for medium-large systems

Routinely, molecules of up to 100 (mainly 1st/2nd-row) atoms



upto C₁₃₆H₄₄ (Alfé 2017)

Simplest flavor: Variational Monte Carlo

Quantum observables \rightarrow expectations values \rightarrow integrals

Use Monte Carlo to compute expectation values

$$E = \frac{\langle \Psi | \mathcal{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle} = \int d\mathbf{R}^{3N} \frac{\mathcal{H}\Psi(\mathbf{R})}{\Psi(\mathbf{R})} \left[\frac{|\Psi(\mathbf{R})|^2}{\int d\mathbf{R}^{3N} |\Psi(\mathbf{R})|^2} \right]$$
$$\approx \frac{1}{M} \sum_{i=1}^M E_L(\mathbf{R}_i) \qquad \checkmark$$
Sampled by Metropolis
Random walk in 3N dimensions, $\mathbf{R} = (\mathbf{r}_1, \dots, \mathbf{r}_N)$

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > □ Ξ

Just a trick to compute integrals in many dimensions

Key role of many-body wave function

Commonly employed compact Jastrow-Slater wave functions

$$\Psi(\mathbf{r}_1,\ldots,\mathbf{r}_N)=\mathcal{J}(\mathbf{r}_1,\ldots,\mathbf{r}_N)\times\sum_i c_i D_i(\mathbf{r}_1,\ldots,\mathbf{r}_N)$$



<ロト <四ト <注入 <注下 <注下 <

 $|\mathcal{J}| \longrightarrow$ Jastrow correlation factor

- Explicit dependence on electron-electron distances r_{ij}

$$c_i D_i | \longrightarrow$$
 Determinants of single-particle orbitals

Few and not millions of determinants

Typical variational Monte Carlo run

Example: Local energy and average energy of acetone (C_3H_6O)



 $E = \langle E_L(\mathbf{R}) \rangle = -36.542 \pm 0.001$ Hartree (40×20000 steps) $\sigma_{\rm E}^2 = \langle (E_L(\mathbf{R}) - E)^2 \rangle = 0.90$ Hartree Beyond variational Monte Carlo

What goes in, comes out! Can we remove wave function bias?

Projector Monte Carlo method

▷ Construct an operator which inverts spectrum of \mathcal{H} Diffusion Monte Carlo $\rightarrow e^{-\tau(\mathcal{H}-E_{\mathrm{T}})}$

 \triangleright Apply operator to initial Ψ

$$\Psi_0 = \lim_{\tau \to \infty} e^{-\tau (\mathcal{H} - E_{\mathrm{T}})} \Psi$$

(中) (종) (종) (종) (종) (종)

if we choose $E_{\rm T} \approx E_0$

How do we perform the projection stochastically?

$$\Psi_0 = \lim_{ au o \infty} e^{- au (\mathcal{H} - \mathcal{E}_T)} \Psi$$

Rewrite projection equation in integral form

$$\Psi(\mathbf{R}',t+\tau) = \int \mathrm{d}\mathbf{R} \left< \mathbf{R}' | e^{-\tau (\mathcal{H} - E_{\mathrm{T}})} | \mathbf{R} \right> \Psi(\mathbf{R},t)$$

Perform this integral by Monte Carlo integration

- \triangleright Represent $\Psi(\mathbf{R}, t)$ as an ensemble of walkers
- ▷ Generate random walk by iterating integral equation

Note: Projection with other basis, e.g. determinants \rightarrow FCIQMC

< □ > < @ > < 注 > < 注 > ... 注

Diffusion Monte Carlo and the fermionic sign problem

 Ψ is positive/negative $\Rightarrow \Psi$ is not a probability distribution



Find best solution with same nodes as trial wave function $\boldsymbol{\Psi}$

- Is fixed-node diffusion Monte Carlo variational?
- For the ground state and each lowest state in its symmetry class
- What about "real" excited states?

In general, exact excited state for exact nodal structure

Have we solved all our problems?

Results depend on the nodes of the trail wave function Ψ

Diffusion Monte Carlo as a black-box approach?

 $\epsilon_{\rm MAD}$ for atomization energy of the G2 set

	DMC			CCSD(T)/aug-cc-pVQZ
	HF orb	Optimized orb	CAS	
$\epsilon_{ m MAD}$	3.1	2.1	1.2	2.8 kcal/mol

(日) (四) (문) (문) (문)

Petruzielo, Toulouse, Umrigar, J. Chem. Phys. 136, 124116 (2012)

With "some" effort on Ψ , we can do rather well

Diffusion Monte Carlo as a black-box approach?

Non-covalent interaction energies for 9 compounds from S22 set DMC with B3LYP/aug-cc-pVTZ orbitals versus CCSD(T)/CBS



 $\Delta_{\rm MAD} = 0.058 \ \text{kcal/mol}$

▲□▶ ▲圖▶ ▲理▶ ▲理▶ 三語……

Dubecky et al., JCTC 9, 4287 (2013)

With "practically no" effort on Ψ , we can do rather well

Diffusion Monte Carlo as a black-box approach?

Not really! Excitation energy and wave function dependence:



Cuzzocrea, Scemama, Briels, Moroni, Filippi, JCTC 16, 4203 (2020)

DMC is not a panacea but effort on Ψ pays off!

◆□▶ ◆□▶ ◆三▶ ◆三▶ ◆□ ◆

In QMC literature, a lot of total (ground state) energy calculations ... with "borrowed" geometries and/or wave functions

Why? What about energy derivatives?

Cost of forces is much larger than cost of $E: |O(N^4) \rightarrow O(N^5)|$

Recent developments

Formalism to compute many derivatives as efficiently as the energy

... also for multi-determinantal expansions

 \rightarrow Optimize the energy of ground and excited states!

What do we need to perform an energy optimization?

Interatomic forces $| \rightarrow$ derivatives wrt nuclear coordinates

We employ low-variance estimators of forces

$$F = -\partial_{\alpha}E = -\langle \partial_{\alpha}E_{L} + 2(E_{L} - E)\frac{\partial_{\alpha}\Psi}{\Psi} \rangle$$

$$\Rightarrow \quad F = \langle \partial_{\alpha} \mathcal{H} + \underbrace{\frac{(\mathcal{H} - E_L)\partial_{\alpha} \Psi}{\Psi}}_{\text{zero expectation value}} + 2\underbrace{(E_L - E)\frac{\partial_{\alpha} \Psi}{\Psi}}_{\text{zero expectation value}} \rangle$$

zero expectation value

Why using such an expression?

Lower fluctuations $| \rightarrow 0$ as $\Psi \rightarrow \Psi_0$

What do we need to perform an energy optimization?

Low-variance estimator of interatomic forces

$$F = -\nabla_{\alpha}E = -\langle \nabla_{\alpha}E_{L} + 2\underbrace{(E_{L} - E)\frac{\nabla_{\alpha}\Psi}{\Psi}}_{\mu\nu}\rangle$$

"Pulay" term

<ロト <四ト <注入 <注下 <注下 <

We need the following quantities:

- Ψ in the sampling process

$$- E \rightarrow E_L = \frac{1}{2} \frac{\Delta \Psi}{\Psi} + \frac{V\Psi}{\Psi}$$

 $- \frac{\partial E}{\partial \alpha} \rightarrow \boxed{\frac{\partial \Psi}{\partial \alpha} \text{ and } \frac{\partial E_L}{\partial \alpha}} \rightarrow \text{Computationally costly!}$

Wave function optimization $\rightarrow \frac{\partial \Psi}{\partial p}$ and $\frac{\partial E_L}{\partial p}$ might be needed

Efficient computation of derivatives of E

Simple formalism for the efficient computation of Ψ , E_L , $\partial \Psi$, ∂E_L for general multi-determinant Jastrow-Slater wave functions

(日) (四) (코) (코) (코) (코)

 \rightarrow Cost of derivatives is the same cost as computing E

- C. Filippi, R. Assaraf and S. Moroni, JCP (2016)
- R. Assaraf, S. Moroni, and C. Filippi, JCTC (2017)

Interatomic forces for multi-determinant wave functions





Cost of forces is less than 4 times the cost of the energy

◆□▶ ◆□▶ ◆目▶ ◆目▶ 目 のへで

Wave function optimization

Example: Polyenes $C_nH_{n+2} \rightarrow \text{from } C_4H_6 \text{ to } C_{60}H_{62}$ Derivatives with respect to parameters in $\Psi = \mathcal{J} D$



 $O(N^2)$ derivatives of Ψ and E_L cost less than 4 times cost of E_L

◆□▶ ◆□▶ ◆□▶ ◆□▶ ●□ ● ●

Recall: We want efficient computation of Ψ , E_L , $\partial \Psi$, ∂E_L Consider simple one-determinant wave functions

 $\Psi = \mathcal{J} \det(A)$ where $A_{ij} = \phi_j(\mathbf{r}_i)$

For the derivatives, use that

$$rac{\partial_\mu \det(A)}{\det(A)} = tr(A^{-1}\partial_\mu A)$$

Rewrite one-body operators acting on Ψ in a similar manner!

For instance, the local energy
$$ightarrow E_L = rac{H\Psi}{\Psi} = tr(A^{-1}B)$$

where B depends on orbitals, Jastrow, and their derivatives

Simple rewriting of one-body operators

Consider a one-body operator $| \hat{O} = O(\mathbf{r}_1) + \dots O(\mathbf{r}_N)$ and $|\Psi = \det(A)|$ with $|A_{ij} = \phi_i(\mathbf{r}_i)|$ $\hat{O} \det(A) = \sum_{i} O(\mathbf{r}_i) \det(A)$ $= \sum_{i} \sum_{j} (O\phi_j)(\mathbf{r}_i)(A^{-1})_{ji} \det(A)$ $= \sum_{i} \sum_{j} B_{ij}(A^{-1})_{ji} \det(A)$ = $tr(A^{-1}B) det(A)$

 $\frac{\hat{O}\Psi}{\Psi} = tr(A^{-1}B) \quad \text{with} \quad B_{ij} = (O\phi_j)(\mathbf{r}_i)$

Simple example: the local kinetic energy

$$\hat{T} = -\frac{1}{2}\sum_{i}\Delta_{i} \quad \Rightarrow \quad O(\mathbf{r}_{i}) = -\frac{1}{2}\Delta_{i} = -\frac{1}{2}\left(\frac{\partial^{2}}{\partial x_{i}^{2}} + \frac{\partial^{2}}{\partial y_{i}^{2}} + \frac{\partial^{2}}{\partial z_{i}^{2}}\right)$$

Let us compute the local kinetic energy of $|\Psi = \det(A)|$

$$\frac{\hat{T}\det(A)}{\det(A)} = tr(A^{-1}B^{\min})$$

where

$$B_{ij}^{\rm kin} = -\frac{1}{2}\Delta_i A_{ij} = -\frac{1}{2}\Delta\phi_j(\mathbf{r}_i)$$

◆□ → ◆□ → ◆三 → ◆三 → ○へ ⊙

Given $\Psi = \mathcal{J} \det(A)$ where $A_{ij} = \phi_j(\mathbf{r}_i)$

$$E_L = \frac{\mathcal{H}\Psi}{\Psi} = tr(A^{-1}B)$$

Advantage in computing the derivatives?

$$\partial_{\mu}E_{L} = tr(A^{-1}\partial_{\mu}B - X\partial_{\mu}A)$$

- Compute and store $X = A^{-1}BA^{-1}$
- Compute each derivatives at a cost $O(N^2)$
- \rightarrow Forces will cost $O(N^3)$ like the energy!

Simple formulas to compute all derivatives of E

$$\Psi \qquad \qquad \mathcal{J}\det(A) \quad \rightarrow \quad \mathcal{J}\sum_{I}^{N_{e}}c_{I}\det(A_{I})$$

▲□▶ ▲圖▶ ▲目▶ ▲目▶ 目 のへで

Simple formulas to compute all derivatives of E

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへで

Simple formulas to compute all derivatives of E

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへで

Simple formulas to compute all derivatives of E

Similar expression for derivatives as in single-determinant case

$$\partial_{\mu}E_{L} = tr(\Gamma \partial_{\mu}\tilde{B} - \Omega \partial_{\mu}\tilde{A})$$

Compute Γ and Ω , evaluate all derivatives at cost $O(N^3) + O(N_e)$

Full optimization of octatetraene

 C_8H_{10} with a SDT(22,22) \rightarrow 200000 determinants

Optimize geometry and all 65000 parameters in wave function



Wave function optimization: stochastic reconfiguration

Efficient computation of $\partial_{\mu}\Psi$ and $\partial_{\mu}E_{L}$

but we are typically optimizing many parameters, 50k-100k

 \rightarrow Energy minimization with which approach?

We have been using the SR method to optimize parameters, **p**

$$\Delta \mathbf{p} = -\tau \, \bar{\mathbf{S}}^{-1} \mathbf{g}$$

where the "poor-man" Hessian is

$$ar{S}_{ij} = \langle rac{\Psi_i}{\Psi} rac{\Psi_j}{\Psi}
angle - \langle rac{\Psi_i}{\Psi}
angle \langle rac{\Psi_j}{\Psi}
angle$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

with $\Psi_i = \partial_{p_i} \Psi$

Stochastic reconfiguration

We use low-memory implementation of the SR method

$$\Delta \mathbf{p} = -\tau \, \bar{\mathbf{S}}^{-1} \mathbf{g}$$

$$\rightarrow \quad S_{ij} = \langle \frac{\Psi_i}{\Psi} \frac{\Psi_j}{\Psi} \rangle = \frac{1}{M} \sum_{k=1}^{M_{\rm MC}} \frac{\Psi_i(\mathsf{R}_k)}{\Psi(\mathsf{R}_k)} \frac{\Psi_j(\mathsf{R}_k)}{\Psi(\mathsf{R}_k)}$$

In conjugate-gradient method, we compute $\bar{\boldsymbol{S}} \Delta \boldsymbol{p}$

$$\sum_{j=1}^{N_p} S_{ij} \delta p_j = \frac{1}{M} \sum_{k=1}^{M_{\rm MC}} \frac{\Psi_i(\mathbf{R}_k)}{\Psi(\mathbf{R}_k)} \sum_{j=1}^{N_p} \frac{\Psi_j(\mathbf{R}_k)}{\Psi(\mathbf{R}_k)} \, \delta p_j \,,$$

Store Ψ_i/Ψ along the run $\rightarrow \cot \mathcal{O}(N_{CG}MN_p)$

Alternative optimization approaches

Linear method (Toulouse, Umrigar, 2007)

$$\Psi(
ho)pprox\Psi(
ho^0)+\sum_irac{\partial\Psi(
ho^0)}{\partial
ho_i}\Delta
ho_i$$

Solution of generalized eigenvalue problem

$$\mathbf{H}\,\Delta p = E\,\mathbf{S}\,\Delta p$$

in the basis of $\{\Psi(p^0), \frac{\partial \Psi(p^0)}{\partial p_i}\}$

 \rightarrow Low-memory implementation with Davidson

In principle, faster converging but SR much more robust to noise

(1)

《曰》 《聞》 《理》 《理》 三臣

Method of choice is energy minimization !

State-specific variance minimization also for excited states?

$$\sigma_{\omega}^{2} = \frac{\langle \Psi | (\hat{\mathcal{H}} - \omega)^{2} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

where ω is modified to follow average energy

Often, optimization escapes target state, finding little or no barrier



Variance minimization

Toy problem: $\Psi = \sum_{i} c_i \mathcal{J} D_i$ with 13 linear parameters/eigenstates



Lowest-variance state is not lowest-energy state

Treating excited states

For multiple states of same symmetry $\rightarrow |\Psi^{\mathcal{K}} = \sum_{I} c_{I}^{\mathcal{K}} \mathcal{J} D_{I}|$

Common parameters in \mathcal{J} and D_I but different coefficients c_I^K

Optimize parameters in ${\cal J}$ and D_I by minimizing state-average $E_{\rm SA}$

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

$$E^{\mathrm{SA}} = \sum_{K} w_{K} \frac{\langle \Psi^{K} | \mathcal{H} | \Psi^{K} \rangle}{\langle \Psi^{K} | \Psi^{K} \rangle} \quad \mathrm{with} \quad \sum_{K} w_{K} = 1$$

and preserve orthogonality through coefficients c_I^K

Ready to explore new territories

Efficient derivatives of E for many parameters and determinants

- + Toolbox of optimization tools
- \rightarrow QMC "internally consistent" (geometry and wave function)

 \rightarrow Explore sensitivity of QMC to choice of Slater expansion

 \rightarrow Answer some open questions:

Do we really need a multi-determinant expansion in QMC?

How do we choose the expansion in $\Psi = \mathcal{J} \sum_{I} c_{I} D_{I}$?

What do we gain from a DMC calculation?

Determinantal expansion in QMC wave functions



Possible choice: Correlate electrons in active orbitals \rightarrow CAS(4,4)



◆□▶ ◆□▶ ◆□▶ ◆□▶ ◆□ ● のへの

A quickly unmanageble wave function



We are only correlating a subset of electrons/orbitals! ... and some sort of truncation of expansion is clearly needed

200

Example: Geometry optimization of butadiene

Optimal bond length alternation with $\Psi = \mathcal{J} \times CAS$



Surprisingly large number of determinants!

◆□▶ ◆□▶ ◆□▶ ◆□▶ ◆□ ◆ ○ ◆

A better scheme to build the wave function

Butadiene: significant variations in QMC geometry/energy with Ψ Selected CI \rightarrow Automated approach for wave function generation

$$\Psi_{\rm CIPSI} = \sum_{D_i \in S} c_i D_i$$



Can this lead to optimal QMC geometries with few determinants?

▲口> ▲圖> ▲理> ▲理> 三理 ---

A better scheme to build the wave function

Butadiene: significant variations in QMC geometry/energy with Ψ Selected CI \rightarrow Automated approach for wave function generation



Can this lead to optimal QMC geometries with few determinants?

◆□▶ ◆□▶ ◆□▶ ◆□▶ ● ○ ○ ○ ○

Optimal geometries and energies with Jastrow-CIPSI

Revisit geometry optimization of butadiene

Jastrow-CIPSI: Great energies both in VMC and in DMC!



Optimal geometries and energies with Jastrow-CIPSI

Revisit geometry optimization of butadiene

Jastrow-CIPSI: Great energies both in VMC and in DMC!



◆□▶ ◆□▶ ◆□▶ ◆□▶ ● ○ ○ ○ ○

Jastrow-CIPSI: QMC geometries with few determinants

Convergence with about 5000 determinants to better than 0.5 mÅ



Dash, Moroni, Scemama, Filippi, JCTC (2018)

More demanding application: excited states

- Often stronger electronic correlations than in the ground states
- One needs uniformely good descrition of multiple energy surfaces



Difficult ... already describing absorption

Structural relaxation? Not many methods suitable for this purpuse!

< □ > < @ > < 注 > < 注 > ... 注

Needed accuracy for QMC and excited states

Surge of benchmarking studies for excited states

Main focus: absorption and fluorescence



\ldots for example, mean absolute error of CC3 excitations < 0.03 eV

With this in mind, what do we have so far?



So far, we achieved

- ✓ Cheap VMC forces
- \checkmark Automatic generation of compact wave functions
- \checkmark Accurate ground-state geometries
- \rightarrow Test our scheme for excited states on "problematic" cases

< □ > < @ > < 注 > < 注 > ... 注

Photo-excitations with QMC and CIPSI wave functions

Formaldehyde: Vertical excitation energy Literature: DMC with CIPSI ightarrow 300,000 dets for error < 0.1 eV !



We have nearly exact values with handful of determinants!

・ロト ・ 日 ・ ・ 田 ・ ・ 日 ・ ・ 日 ・

Photo-excitations with QMC and CIPSI wave functions

Formaldehyde: Vertical excitation energy Literature: DMC with CIPSI ightarrow 300,000 dets for error < 0.1 eV !



(日) (部) (目) (目)

æ

We have nearly exact values with handful of determinants!

Another example: Thioformaldehyde

Thioformaldehyde: Vertical excitation energy Literature: VMC with CIPSI \rightarrow error of 0.2 eV !



Again, we are within 0.04 eV of reference value

Another example: Thioformaldehyde

Thioformaldehyde: Vertical excitation energy Literature: VMC with CIPSI \rightarrow error of 0.2 eV !



Again, we are within 0.04 eV of reference value

Computation of excitation energies

Two ingredients for a robust protocol:

- 1) Balanced determinantal expansions for multiple states
 - \rightarrow CIPSI selection targets similar $\delta \textit{E}_{\rm PT2}$ or $\sigma_{\rm CI}$ for all states
- 2) Fully optimize the Jastrow-Slater wave function

With balanced generation + VMC optimization

 \rightarrow Chemically accurate excitations (error < 0.04 eV) and with very few determinants

 \rightarrow No need to perform a DMC calculation!

Next step: Relax excited-state geometries



Need of consistent wave functions for different geometries/states!

æ

Generate CIPSI expansions targeting

- Similar $\delta E_{\rm PT2}$ for all geometries/states
- Similar $\sigma_{\rm CI}$ for all geometries/states

Excited-state relaxation: some examples

Optimal excited-state geometries: MAD on bond lengths



Promising ... more work in progress for larger systems

Dash, Feldt, Moroni, Scemama, Filippi, JCTC (2020)

Efficient computation of derivatives + optimization toolbox

- \rightarrow QMC "internally consistent" method
 - with geometries and wave functions determined in QMC

With some work on wave functions \rightarrow DMC just not "needed"

Searching for robust QMC protocol for modeling photo-excitations

- Automated generation of accurate/compact wave functions
- Balanced description of multiple states
- \rightarrow Accurate vertical excitations and excited-state geometries

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

Larger systems?

Larger systems pose new problems



Y.S. Al-Hamdani et al. arXiv:2009.08927v1 (2020)

To conclude: ongoing research in QMC

- Search for different forms of trial wave function
- Push optimization techniques to larger systems
- More work on transition metals
- Alternatives to fixed-node diffusion Monte Carlo

◆□▶ ◆□▶ ◆注▶ ◆注▶ 注 のへで

Collaborators



Monika Dash



Alice Cuzzocrea







Roland Assaraf Paris



Anthony Scemama Toulouse