# POLY-ELECTRON POPULATION ANALYSIS (PEPA) OF MO WAVEFUNCTIONS:

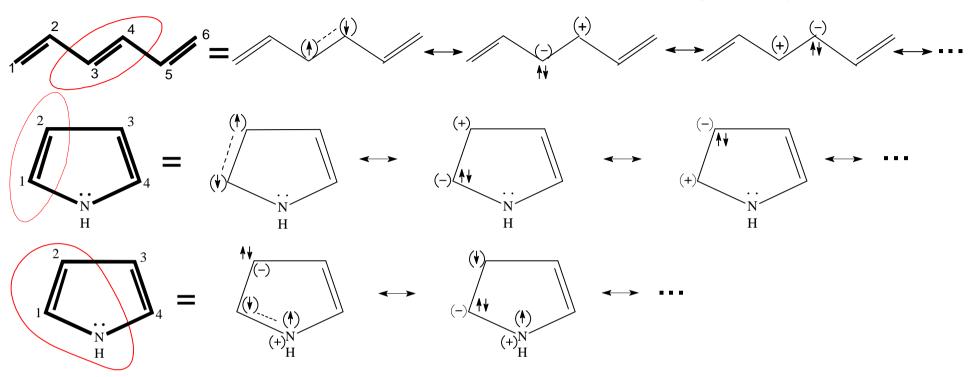
A 'theoretical Microscope' to explore VB-type local structures.

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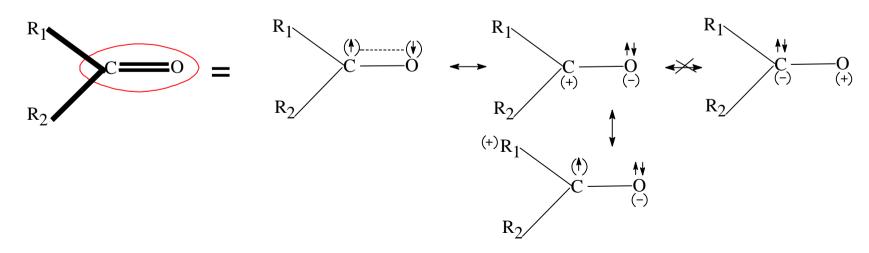
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PEPA or (Natural) NPEPA: **Population analysis for both electrons and electron-holes** 

### (LOCAL) ELECTRONIC EVENTS (in the sense of *Loge Theory* [1])



### Placing functional groups 'under the microscope'



[1] R. Daudel, in 'Localization and Delocalization in Quantum Chemistry', Chalvet, O. et al., (eds.), vol.I; Reidel, Dordrecht, 1975, p. 3

### **METHOD**

Extract a local information from a delocalized  $\Psi(MO)$ :

$$<\Psi(MO)\frac{1}{2}$$
 ρ  $\frac{1}{2}\Psi(MO)>$ 



- Elaboration of  $\Psi(MO)$  within MOFFITT's theorem (  $\Psi(MO)$  à  $\Psi(TL)$  )

- Elaboration of  $\hat{\rho}$  within SECOND QUANTIZATION (include electron holes)

### <u>DELOCALIZED Ψ(MO)</u>

$$\begin{split} \Psi(\mathsf{MO}) &= \sum_{\mathbf{I}}^{\mathsf{CI}} \mathsf{C}_{\mathbf{I}} \mid \mathsf{D}_{\mathbf{I}} \mid \\ \mid \mathsf{D}_{\mathbf{I}} \mid &= \quad \left\| \psi_{\mathbf{i}_{1}^{\mathsf{W}}} \ldots \psi_{\mathbf{i}_{\mathbf{i}_{\alpha}^{\mathsf{W}}}} \; \overline{\psi}_{\mathbf{i}_{1}^{\mathsf{W}}} \ldots \overline{\psi}_{\mathbf{i}_{\mathbf{i}_{\alpha}^{\mathsf{W}}}} \; \right\| \\ &( \; \mathsf{MOs} \quad \psi_{\mathbf{i}_{1}} : \quad \psi_{\mathbf{i}_{1}} = \; \sum_{\mathbf{k}} \mathsf{C}_{\mathbf{k}, \mathsf{i}_{1}} \; \phi_{\mathbf{k}} \; ) \\ & \; \mathsf{OR} \end{split}$$

One Slater Determinant,  $D_1$ , involving the delocalized **Khon-Sham** orbitals,  $\psi_{i_1}$ 

# TOTALLY LOCAL Ψ(TL)

$$\begin{array}{rcl} \Psi \; (\mathsf{TL}) & = & \sum_K \, \mathsf{T}_K \; \left| \; \Phi_K \; \right| \\ \\ \left| \; \Phi_K \; \right| \; = & \left\| \; \phi_{k_1^{\alpha} \; \cdots \; } \phi_{k_{n_{\alpha}}^{\alpha}} \; \overline{\phi}_{k_1^{\beta} \; \cdots \; } \overline{\phi}_{k_{n_{\beta}}^{\beta}} \right\| \end{array}$$

### **MOFFITT's theorem**

$$\Psi(MO) = \Psi(TL)$$

Decomposition of MO-Slater determinants:

$$|D_I| = \sum_K T_K^I |\Phi_K|$$
 (from identity relations)

$$T_{\mathrm{K}}^{\mathrm{I}} = \left| \begin{smallmatrix} \mathsf{C}_{\mathbf{k}_{1}^{\mathrm{or}} & \mathbf{i}_{1}^{\mathrm{or}}} & \dots & \mathsf{C}_{\mathbf{k}_{1}^{\mathrm{or}} & \mathbf{i}_{\mathbf{k}_{\mathrm{or}}}^{\mathrm{or}}} \\ \vdots & & \vdots & & \vdots \\ \mathsf{C}_{\mathbf{k}_{1}^{\mathrm{or}} & \mathbf{i}_{1}^{\mathrm{or}}} & \dots & \mathsf{C}_{\mathbf{k}_{1}^{\mathrm{or}} & \mathbf{i}_{\mathbf{k}_{\mathrm{or}}}^{\mathrm{or}}} \end{smallmatrix} \right| \times \left| \begin{smallmatrix} \mathsf{C}_{\mathbf{k}_{1}^{\mathrm{or}} & \mathbf{i}_{1}^{\mathrm{or}}} & \dots & \mathsf{C}_{\mathbf{k}_{1}^{\mathrm{or}} & \mathbf{i}_{\mathbf{k}_{\mathrm{or}}}^{\mathrm{or}}} \\ \vdots & & \vdots & & \vdots \\ \mathsf{C}_{\mathbf{k}_{1}^{\mathrm{or}} & \mathbf{i}_{1}^{\mathrm{or}}} & \dots & \mathsf{C}_{\mathbf{k}_{1}^{\mathrm{or}} & \mathbf{i}_{1}^{\mathrm{or}}} \end{smallmatrix} \right|$$

$$T_K = \sum_{r} C_r T_K^r$$

### **DENSITY OPERATORS**

Let **E** is the number of the target electrons, and **H** is the number of the target electron holes. Placing 'under the microscope' **E** spin-orbitals:

$$\boldsymbol{\hat{\rho}}_{\text{E}:0} \ (\boldsymbol{\phi}_{\lambda_1} \ \dots \ \boldsymbol{\phi}_{\lambda_E} \ ; 0) \ = \ \boldsymbol{\phi}_{\lambda_1}^+ \ \dots \ \boldsymbol{\phi}_{\lambda_E}^+ \ \boldsymbol{\phi}_{\lambda_E}^- \dots \ \boldsymbol{\phi}_{\lambda_1}^-$$

or  $\mathbf{E} + \mathbf{H}$  spin-orbitals:

$$\boldsymbol{\hat{\rho}}_{\text{E};\,\text{H}}\;(\;\; \boldsymbol{\phi}_{\lambda_{1}}\boldsymbol{\phi}_{\lambda_{\text{E}}}\;\;\boldsymbol{;}\boldsymbol{\phi}_{\mu_{1}}\;\ldots\boldsymbol{\phi}_{\mu_{\text{H}}}^{-}\;)\;=\;\; \boldsymbol{\phi}_{\lambda_{1}}^{+}\;\;\ldots\;\;\boldsymbol{\phi}_{\lambda_{\text{E}}}^{+}\boldsymbol{\phi}_{\mu_{1}}^{-}\;\ldots\;\boldsymbol{\phi}_{\mu_{\text{H}}}^{-}\;\boldsymbol{\phi}_{\mu_{\text{H}}}^{+}\;\ldots\;\boldsymbol{\phi}_{\mu_{1}}^{+}\;\boldsymbol{\phi}_{\lambda_{\text{E}}}^{-}\;\ldots\;\boldsymbol{\phi}_{\lambda_{1}}^{-}\;\ldots\;\boldsymbol$$

(for simplicity: creation  $\phi^+$  instead of  $~a_\phi^+~$  , ~ and annihilation  $\phi^-~$  instead of  $a_\phi$  )

### - Expectation values, $P_{E;H}$ , of the generalized density operators:

$$\begin{split} \mathsf{P}_{\mathsf{E};\mathsf{H}}(\ \phi_{\lambda_1}\ ...\ \phi_{\lambda_E}\ ; \phi_{\mu_1}\ ...\ \phi_{\mu_H}\ ) &= & <\Psi(\mathsf{TL})|\ \hat{\rho}_{\mathsf{E};\mathsf{H}}\ (\ \phi_{\lambda_1}\ ...\phi_{\lambda_E}\ ; \phi_{\mu_1}\ ...\ \phi_{\mu_H}\ )\ |\ \Psi(\mathsf{TL})> = \\ &= \sum_{K(\neq \phi_{\mu_1}...\phi_{\mu_H})} \mathsf{W}(\Phi_K\ ) \end{split}$$

Where  $\sum_{K(\neq \phi_{\mu_1}...\phi_{\mu_H})}^{(\phi_{\lambda_1}...\phi_{\lambda_E})}$  represents a summation over Slater determinants, which involve

 $\phi_{\lambda_1},\dots,\phi_{\lambda_E}$  and simultaneously SOs  $\phi_{\mu_1},\dots\phi_{\mu_H}$  are absent, and

$$W(\Phi_K)$$

is the weight of the local Slater determinant  $|\Phi_K|$  , depending on the (non-) orthogonality of orbitals.

# Weights of the totally local Slater determinants, $\left|\Phi_{\mathrm{K}}\right|$ :

### (i) orthogonal orbitals

$$W(\Phi_K) = T_K^2$$

Provides the **probability** of a given occupation scheme of (local) AO-positions

### (ii) non-orthogonal orbitals (Mulliken partition for P.E.P.A)

The Coulson-Chirgwin definition for the weights of determinantal wave functions:

W( 
$$\Phi_K$$
 ) =  $T_K^{\,2}$  <  $\Phi_K$   $|\,\Phi_K$  > +  $T_K$   $\sum_{K'\neq\,K}^{fullbasis} T_{K'}$  <  $\Phi_K$   $|\,\Phi_{K'}$  >

By adopting the Mulliken partition for P.E.P.A., the weights,  $P_{E;H}$ , of local electronic structures are coherent with those of VB theory. The principal difference is that VB weights are obtained from spin-eigenfunctions and concern the whole electronic assembly, while  $P_{E;H}$  are obtained from the sums weights of single Slater determinants, and concern local structures.

### Summary

### The generalized Poly-Electron Population Analysis (PEPA)

## requires **very simple** (formally) equation:

$$\mathsf{P}_{\mathsf{E};\mathsf{H}} \ \, (\ \, \varphi_{\lambda_1} \, ... \ \, \varphi_{\lambda_{\mathsf{E}}} \ \, ; \ \, \varphi_{\mu_1} \, \, ... \ \, \varphi_{\mu_{\mathsf{H}}} \ \, ) \quad = \quad \sum_{\substack{(\varphi_{\lambda_1} \, ... \, \varphi_{\lambda_{\mathsf{E}}}) \\ K(\neq \, \varphi_{\mu_1} \, ... \, \varphi_{\mu_{\mathsf{H}}})}} W(\Phi_{\mathsf{K}})$$

[Note: The involved det  $\{\Phi_K\}$  have a fixed occupation in the target SOs  $\{\Phi_\lambda, \Phi_\mu\}$ , while the remaining SOs can have <u>anyone occupation</u>]

In medium size systems:

**Exponential scaling of computational difficulties** with the system size!



Efficient calculation of P<sub>E;H</sub> without approximations:

- (A) Mixed local-non-local Slater determinants
- (B) The *hole-expansion* methodology

# **Efficient calculation of** $P_{E;H}(\varphi_{\lambda_1} \cdots \varphi_{\lambda_E}; \varphi_{\mu_1} \cdots \varphi_{\mu_n})$ (without approximations)

### (A) Mixed local-non-local Slater determinants [1]

The factorization of VB-type (Totally Local) Slater determinants

(i.e grouping the TL Slater determinants, having as 'common factor' the target electrons under the microscope)

The basic idea:

Any delocalized MO Slater determinant (of the initial wave function),  $\left|D_{I}\right|$ 

is decomposed (i.e. expanded) in mixed <u>local-non-local (LNL)</u> Slater determinants,  $\left| D_{I}^{\mathsf{LNL}} \right|$ 

$$\left| D_{I}^{\mathsf{LNL}} \right| = \| \phi_{\mathsf{i}_{1}} \dots \phi_{\mathsf{i}_{\mathsf{E}}} \psi_{\mathsf{i}_{\mathsf{E}+1}}^{H} \dots \|$$

$$\leftarrow \mathsf{local} \rightarrow \leftarrow \mathsf{non-local} \rightarrow$$

A non-local  $\Psi_i^H$  has the following form:

$$\psi_{i}^{H} = \sum_{k \neq \phi_{i_{1}} \dots \phi_{i_{E}} \phi_{\mu_{1}} \dots \phi_{\mu_{H}}} c_{k,i} \quad \phi_{k}$$

Generalizing the Moffitt's theorem we obtain:

$$\Psi(\text{MO}) = \sum_{I}^{\text{LNL}} C_{I} |D_{I}^{\text{LNL}}| + \Psi(\text{remaining})$$

$$= \sum_{I}^{\text{LNL}} C_{I} |D_{I}^{\text{LNL}}| + \Psi(\text{remaining})$$

$$= \langle \Psi(\text{MO}) | \hat{\rho} | \Psi(\text{MO}) \rangle$$
Only this part is useful to calculate  $\langle \Psi(\text{MO}) | \hat{\rho} | \Psi(\text{MO}) \rangle$ 

[1] P. Papanikolaou, P. Karafiloglou *J. Phys. Chem. A* <u>112</u> (2008) 8839

# **Efficient calculation of** $P_{E;H}(\varphi_{\lambda_1} \cdots \varphi_{\lambda_E}; \varphi_{\mu_1} \cdots \varphi_{\mu_H})$ (without approximations)

### (B) The hole-expansion methodology [1]

The basic ideas:

(i) One can show [1] that a structure involving only electron holes can be calculated very efficiently (i.e. without generating and storing the extremely numerous TL Slater det.):

$$P_{0;H}(0; \phi_{\mu_1} ... \phi_{\mu_H}) = \sum_{I}^{CI} C_{I}^* \sum_{I}^{CI} C_{I} < D_{I} | D_{I}^{H} > 0$$

where  $\left|D_{I}\right|$  is a MO Slater determinant of the initial wave function:

$$|\mathbf{D}_{\mathbf{I}}| = ||\Psi_{i_1} \dots \Psi_{i_N}||$$

And  $D_I^H$  are Slater determinants involving (instead of MOs  $\psi_i$ ) projected MO,  $\psi_i^H$ 

$$\left| \mathbf{D}_{\mathbf{I}}^{H} \right| = \left\| \mathbf{\Psi}_{\mathbf{i}_{1}}^{H} \dots \mathbf{\Psi}_{\mathbf{i}_{N}}^{H} \right\|$$

in which  $\Psi_i^H$  is obtained from the corresponding  $\Psi_i$ , in which the SOs involving holes,  $\Phi_{\mu_1} \dots \Phi_{\mu_H}$ , are not excluded:

$$\Psi_{i}^{H} = \sum_{k \neq \phi_{\mu_{1}} \dots \phi_{\mu_{H}}} c_{k,i} \quad \phi_{k}$$

An overlap  $< D_I \mid D_I^H > is$  a determinant involving as elements the overlaps  $< \Psi_i \mid \Psi_i^H > .$ 

$$< D_{\rm I} \, \big| \, D_{\rm I}^H > = \left| \begin{array}{c} <\psi_{i_1} \big| \psi_{i_1}^H > & \cdots & <\psi_{i_1} \big| \psi_{i_N}^H > \\ & \ddots & & \ddots \\ & \ddots & & \ddots \\ & & & & \ddots \\ <\psi_{i_N} \, \big| \psi_{i_1}^H > & \cdots & <\psi_{i_N} \, \big| \psi_{i_N}^H > \\ \end{array} \right|$$

(ii) One can show [1] that a structure involving only electrons can be expanded in terms involving only holes (hole-expansion), as for example:

$$\begin{split} P_{1;0}(\phi_{\lambda_{1}};0) &= 1 - P_{0;1}(0\phi_{\lambda_{1}}) & \text{ [a trivial example of a hole-expansion issued from the first order anticommutation relation]} \\ P_{2;0}\left(\phi_{\lambda_{1}}\phi_{\lambda_{2}};0\right) &= 1 - P_{0;1}\left(0;\phi_{\lambda_{1}}\right) - P_{0;1}(0;\phi_{\lambda_{2}}) + P_{0;2}\left(0;\phi_{\lambda_{1}}\phi_{\lambda_{2}}\right) \\ P_{3;0}\left(\phi_{\lambda_{1}}\phi_{\lambda_{2}}\phi_{\lambda_{3}};0\right) &= 1 - P_{0;1}\left(0;\phi_{\lambda_{1}}\right) - P_{0;1}(0;\phi_{\lambda_{2}}) - P_{0;1}(0;\phi_{\lambda_{3}}) + P_{0;2}\left(0;\phi_{\lambda_{1}}\phi_{\lambda_{2}}\right) + \\ P_{0;2}\left(0;\phi_{\lambda_{1}}\phi_{\lambda_{3}}\right) + P_{0;2}\left(0;\phi_{\lambda_{2}}\phi_{\lambda_{3}}\right) - P_{0;3}(0;\phi_{\lambda_{1}}\phi_{\lambda_{2}}\phi_{\lambda_{3}}) \end{split}$$

Generalizing these relations, one can show inductively the following general expansion:

Similarly, for structures involving both electrons and holes:

$$\begin{array}{lll} P_{2;2} \left( \ \phi_{\lambda_{1}} \phi_{\lambda_{2}} \ ; \phi_{\mu_{1}} \phi_{\mu_{2}} \right) & = \ P_{0;2} \left( 0; \ \phi_{\mu_{1}} \phi_{\mu_{2}} \right) - P_{0;3} \! \left( 0; \phi_{\lambda_{1}} \phi_{\mu_{1}} \phi_{\mu_{2}} \right) - \\ & - P_{0;3} \! \left( 0; \phi_{\lambda_{2}} \phi_{\mu_{1}} \phi_{\mu_{2}} \right) + \ P_{0;4} \left( 0; \ \phi_{\lambda_{1}} \phi_{\lambda_{2}} \phi_{\mu_{1}} \phi_{\mu_{2}} \right) \end{array}$$

The general expression for any structure involving E electrons and H holes is:

$$\begin{array}{lll} P_{E;H}\left(\,\phi_{\lambda_{1}\,\ldots\,}\phi_{\lambda_{E}};\phi_{\mu_{1}\,\ldots\,}\phi_{\mu_{H}}\,\right) \; = & P_{0;H}\left(0;\;\phi_{\mu_{1}\,\ldots\,}\phi_{\mu_{H}}\,\right) \; + \\ & + & \sum_{q=1}^{E}(-1)^{q} & \sum_{j_{1}\,<\,K}^{\lambda_{E}}\Lambda & \sum_{j_{q}}^{\lambda_{E}} & P_{0;\,q+H}\left(0;\phi_{j_{1}}\,...\,\,\phi_{j_{q}}\,\phi_{\mu_{1}}\,...\,\,\phi_{\mu_{H}}\,\right) \\ & ALGORTHM: \; Library \;\; of \;\; hole-structures \end{array}$$

[1] P. Karafiloglou *J. Chem. Phys.* <u>130</u> (2009) 164103

# A proposition for the VB workshop (Paris, July 2012)

$$\Psi(VB) \longrightarrow \Psi(TL)$$

From a V.B. wave function obtain the Coulson-Chirgwin weights,  $W(\Phi_K)$ , of single Slater determinants,  $\Phi_K$ .



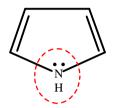
(An output file involving the weigths  $W(\Phi_K)$  of single Slater determinants,  $\Phi_K$ )

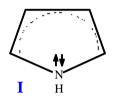


Poly-Electron Population Analysis of VB wave functions

# Two-electron probability ( $P_{2;0}$ ):

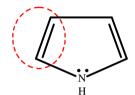
# One-electron one-hole probability $(P_{1;1})$ :

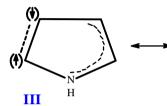


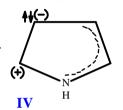


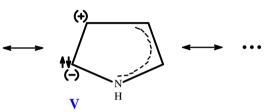


Two-electron two-hole probabilities ( $P_{2;2}$ ):

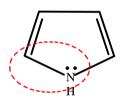


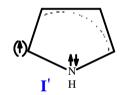


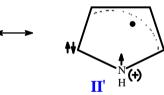




Three-electron one-hole probabilities ( $P_{3;1}$ ):





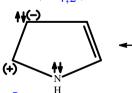


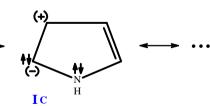


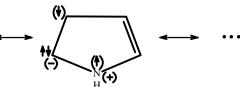
Four-electron two-hole probabilities (  $P_{4;2}$ ):



IIA







IIB

### Relationships between local structures

The electron-expansion methodology [1]

(Expand the holes in terms involving only electrons)

$$\begin{split} P_{2;2}\left(\mu, \overline{\mu} \; ; \; \mathsf{v}, \overline{\mathsf{v}} \; \right) &= & \; P_{2;0}(\mu, \overline{\mu}) \; \; - \; P_{3;0}\left(\mu, \overline{\mu}, \mathsf{v}\right) \; - \; P_{3;0}\left(\mu, \overline{\mu}, \overline{\mathsf{v}} \; \right) + \; P_{4;0}\left(\mu, \overline{\mu}, \mathsf{v}, \overline{\mathsf{v}} \; \right) \\ P_{2;2}\left(\mu, \overline{\mathsf{v}} \; ; \; \overline{\mu}, \mathsf{v}\right) &= & \; P_{2;0}\left(\mu, \overline{\mathsf{v}} \; \right) \; - \; P_{3;0}\left(\mu, \overline{\mathsf{v}} \; , \overline{\mu}\right) \; - \; P_{3;0}\left(\mu, \overline{\mathsf{v}} \; , \mathsf{v}\right) + \; P_{4;0}\left(\mu, \overline{\mathsf{v}} \; , \overline{\mu}, \mathsf{v}\right), \, \text{etc.} \; ... \\ & \quad \text{where} \; \; P_{2;2}\left(\mu, \overline{\mu} \; ; \; \mathsf{v}, \overline{\mathsf{v}} \; \right) = & \; \sum_{K \not (\neq \overline{\mu}, \overline{\nu})} \; W(\Phi_K) \quad \text{and} \quad P_{2;2}\left(\mu, \overline{\mathsf{v}} \; ; \; \overline{\mu}, \mathsf{v}\right) = & \; \sum_{K \not (\neq \overline{\mu}, \overline{\nu})} \; W(\Phi_K) \\ & \quad \dots \; \text{etc.} \; \dots \end{split}$$

The  $P_{3;0}$  contribute with a <u>minus</u> sign => The greater the contributions of the <u>three-electron</u> structures are, the less important are both covalent and ionic structures, and thus the more week is the bond:  $D\acute{e}j\grave{a}vu$  in the 'L.P.B.W.E. effect' of V.B. theory!

The 4th order anticommutation relation

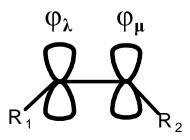
$$\prod_{i=1}^{4} (a_{i}^{+} a_{i}^{-} + a_{i}^{-} a_{i}^{+}) = 1$$

involves 16 terms:

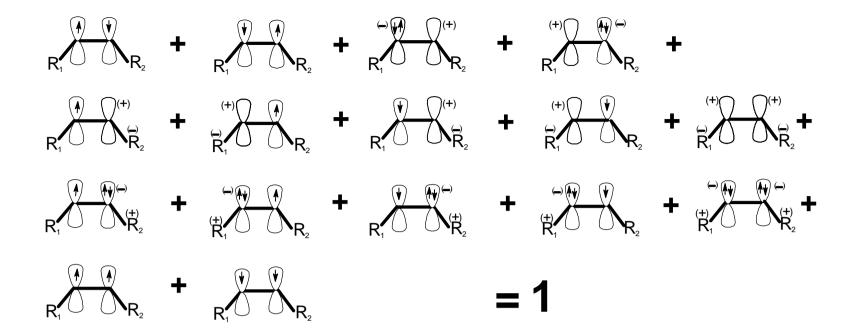
$$\begin{array}{l} a_{1}^{+} \, a_{4}^{+} \, a_{2} \, a_{3} \, a_{3}^{+} \, a_{2}^{+} \, a_{4} \, a_{1} \, + \, a_{2}^{+} \, a_{3}^{+} \, a_{1} \, a_{4} \, a_{4}^{+} \, a_{1}^{+} \, a_{3} \, a_{1} \, + \, a_{1}^{+} \, a_{2}^{+} \, a_{3} \, a_{4} \, a_{4}^{+} \, a_{3}^{+} \, a_{2} \, a_{1} \, + \\ + \, a_{3}^{+} \, a_{4}^{+} \, a_{1} \, a_{2} \, a_{2}^{+} \, a_{1}^{+} \, a_{4} \, a_{3} \, + \\ + \, a_{1}^{+} \, a_{2} \, a_{3} \, a_{4} \, a_{4}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{1} \, + \, a_{3}^{+} \, a_{1} \, a_{2} \, a_{4} \, a_{4}^{+} \, a_{2}^{+} \, a_{1}^{+} \, a_{3} \, + \, a_{2}^{+} \, a_{1} \, a_{3} \, a_{4} \, a_{4}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{1}^{+} \, a_{2} \, a_{3}^{+} \, a_{4}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{1}^{+} \, a_{2}^{+} \, a_{3}^{+} \, a_{4}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{1}^{+} \, a_{2}^{+} \, a_{3}^{+} \, a_{4}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{1}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{1}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{3}^{+} \, a_{4}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{3}^{+} \, a_{4}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{1}^{+} \, a_{3}^{+} \, a_{2}^{+} \, a_{3}^{+} \, a_{4}^{+} \, a_{3}^{+} \, a_{3}^{+} \, a_{3}^{+} \, a_{3}^{+} \, a_{4}^{+} \, a_{3}^{+} \, a_{3}^{$$

By adopting the <u>V.B. perspective</u> for chemical bonding, and choosing the above spinorbitals (i = 1,2,3,4) such as

$$i=1 \rightarrow \varphi_{\lambda}$$
,  $i=2 \rightarrow \overline{\varphi}_{\lambda}$  and  $i=3 \rightarrow \varphi_{\mu}$ ,  $i=4 \rightarrow \overline{\varphi}_{\mu}$ 



we obtain:



The bond localization (L) in VB language:

$$L = \underset{R_{1}}{\overset{(*)}{\longrightarrow}} \underset{R_{2}}{\overset{(*)}{\longrightarrow}} \underset{R_{1}}{\overset{(*)}{\longrightarrow}} \underset{R_{2}}{\overset{(*)}{\longrightarrow}} \underset{R_{1}}{\overset{(*)}{\longrightarrow}} \underset{R_{2}}{\overset{(*)}{\longrightarrow}} \underset{R_{2}}{\overset{(*)}{\longrightarrow}}$$

or, the bond delocalization (D):

$$D = 1 - L$$

Lionel Salem in 'The Molecular Orbital Theory of Conjugated Systems', p.86(Benjam, N.York "Delocalization is a measure of the degree to which the electrons cannot be assigned by pairs to individual bonds"

# **Electron pairs in Chemical systems:**

Coulomb and Fermi (or Exchange) correlations



Coulomb correlations in one orbital: Fermi correlations in one orbital:

$$C(\lambda, \bar{\lambda}) = P_2(\lambda, \bar{\lambda}) - P_1(\lambda) P_1(\bar{\lambda})$$

$$C(\lambda, \bar{\lambda}) = P_2(\lambda, \bar{\lambda}) - P_1(\lambda)^2$$

$$C(\bar{\lambda}, \bar{\lambda}) = P_2(\bar{\lambda}, \bar{\lambda}) - P_1(\bar{\lambda})^2$$



$$C(\lambda, \lambda) = P_2(\lambda, \lambda) - P_1(\lambda)^2$$

$$C(\bar{\lambda}, \bar{\lambda}) = P_2(\bar{\lambda}, \bar{\lambda}) - P_1(\bar{\lambda})^2$$



Coulomb correlations in two orbitals: Fermi correlations in two orbitals:

$$C(\lambda, \bar{\mu}) = P_{2}(\lambda, \bar{\mu})^{-} P_{1}(\lambda) P_{1}(\bar{\mu})$$

$$C(\lambda, \bar{\mu}) = P_{2}(\lambda, \bar{\mu})^{-} P_{1}(\lambda) P_{1}(\bar{\mu})$$

$$C(\bar{\lambda}, \bar{\mu}) = P_{2}(\bar{\lambda}, \bar{\mu})^{-} P_{1}(\bar{\lambda}) P_{1}(\bar{\mu})$$

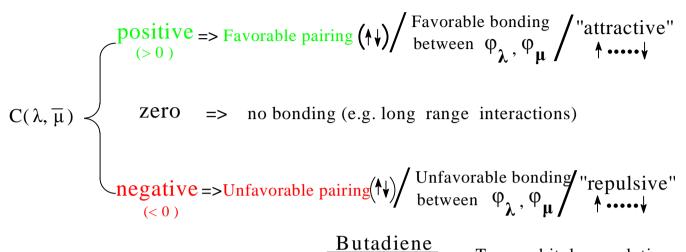


$$C(\lambda, \mu) = P_2(\lambda, \mu) - P_1(\lambda) P_1(\mu)$$

$$C(\bar{\lambda}, \bar{\mu}) = P_2(\bar{\lambda}, \bar{\mu}) - P_1(\bar{\lambda}) P_1(\bar{\mu})$$

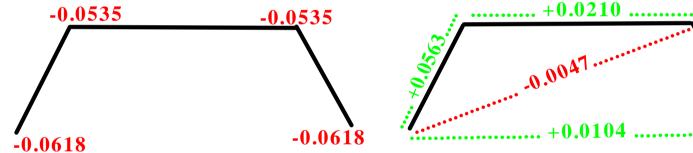
### From genuine chemical knowledge:

### The chemical bond is a matter of an electron pair $( \downarrow )$

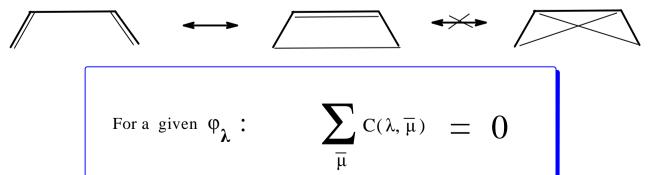


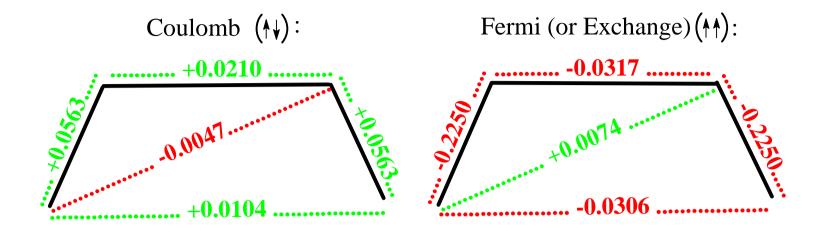
One orbital correlations:

Two orbital correlations:



This corroborates with the V.B. description of butadiene:



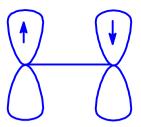


The absolutes values of Fermi are **greater** than the Coulomb correlations (this holds without any exception)

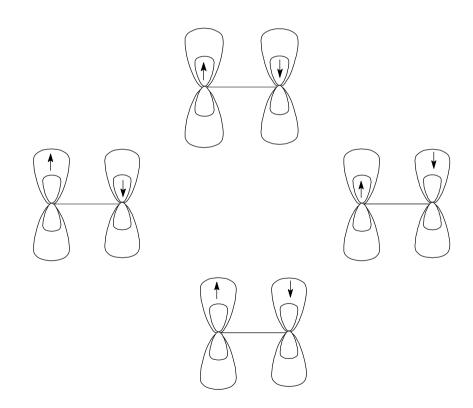
Note: Methods based on the behaviour of parallel spins (c.f. to Pauli Principle)

(e.g. **Wiberg** indices, **E.L.F.**, **D.A.F.H.**), provide remarkably good results!

### The covalent bonding is between **Valence Orbitals**



Conceptual problems can arise even in the simplest case of a double-zeta basis:



In which extent the outer orbital is Valence (and in which extent is **Rydberg**) orbital?

### **CHOICE OF ORBITAL SPACES**

Current calculations involve quite extended AO-basis sets:

### Multiple zeta + polarization functions



<u>Conceptual problems</u> to interpret 'accurate' calculations:

Which orbitals represent better the valence orbitals and which the Rydberg?

For example, the C atom makes bonds by using the valence 2s, 2p, although for quantum chem. investigations one must use: s, s', s", p, p', p", polarization

### A very good solution:

Natural Orbitals (NAOs, NHOs, NBOs, ...etc)

- These orbitals are 'natural' in the Löwdin sense and, thus, can be clearly distinguished in valence and Rydberg.
- ¬ They show <u>remarkable stability</u> with the extension of the SCF AO- basis set.
- ¬ They span the complete SCF-AO basis set => The initial wavefunction can be transformed into the Natural basis without altering its approximation level; this holds even for <a href="mailto:correlated wavefunctions">correlated wavefunctions</a> (linear combination of Slater determinants)
  - => no approximations or additional assumptions for NPEPA.
- ¬ The bonding NBOs show a very good <u>transferability</u>.
- ¬ These Natural orbitals can be either orthogonal or non-orthogonal

[1] F. Weinhold, C. R. Landis, in 'Valency and Bonding: A Natural Bond Orbital Donor-Acceptor Perspective'; Cambridge U. Press, 2005, and references cited therein

### NON-ORTHOGONAL vs ORTHOGONAL ORBITALS

PNAOs  $\xrightarrow{O.W.S.O.}$  NAOs  $\xrightarrow{O.W.S.O.}$  NHOs (hybrid valence) etc...

- $\underline{\pi \text{Bonds}}$ : Both orthogonal and non-orthogonal orbitals are appropriate, providing the same conceptual pictures [1,2]
- $\underline{\sigma \text{Bonds}}$ : For VB-type description appropriate are the (non-orthogonal) PNAOs, or, better, the PNHOs.

Note: The NBOs are appropriate for both  $\pi$  – Bonds and  $\sigma$  – Bonds.

<sup>[1]</sup> K. Hirao, H. Nakano, K. Nakayama J. Chem. Phys. 1997, 107, 9966

<sup>[2]</sup> P. Papanikolaou, P. Karafiloglou J. Phys. Chem. A 2008, 112 8839 P. Karafiloglou, J. P. Launay J. Phys. Chem. A 1998, 102, 8004

### An intriguing Epistemological problem:

Although the chemical formula has been introduced before the development of Quantum Theory, remains (and will remain) a basic stone for Chemical Sciences!

Question: In which degree the traditional chemical formula describes correctly the physical (quantum) reality [1]?

Quantum Probabilities for chemical formulae:

In each chemical formula we associate a Quantum Probability,  $P_{N;0}$ , i.e. a factor ranging to [0,1]:

 $P_{N;0} \rightarrow 1$  Chemical formula  $\rightarrow$  Physical Reality

 $[II][A \ NBO-based \ probability, P_{N:0}, \ provides \ a \ quantitative \ measure \ of this degree]$ 

### A reminder:

The Coulomb correlations included in valence NAO or (PNAO) is negative

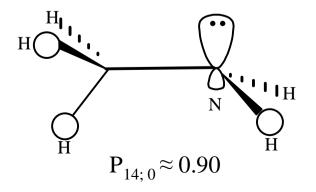
The Coulomb correlations between two valence in valence NAO or (PNAO) of a bond is positive

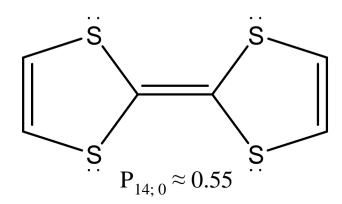
- $\Rightarrow$  The Coulomb correlations,  $C(\omega^{\alpha} \omega^{\beta})$ , included in bonding NBO,  $\omega$ , is **Small**.
- (A) For a totally localized (isolated) bond,  $C(\omega^{\alpha} \omega^{\beta}) = 0$  (the more localized is the bonding orbital,  $\omega$ , the closer to zero is Coulomb correlation included in  $\omega$ .
- (B) Since a NBO is Natural in the Lowdin sense => it has the <u>maximum</u> occupation that a bonding orbital can have inside a given molecular environment.
- (A) and (B) => The Coulomb correlation included in a NBO is <u>maximum</u> => The NBO Lewis structure is a quantum description which is the closest possible to the chemical formula

The probability of finding an electron pair in NBO  $\omega_1$  simultaneously an electron pair in  $\omega_2$  ... an electron pair in  $\omega_{N/2}$ , is obtained from NPEPA:

$$P_{N;0} (\omega_1 \, \omega_1 \, \omega_1 \, \omega_1 \, \ldots \, \omega_{N/2} \, \omega_{N/2} \,) \; = \; <\Psi \mid \boldsymbol{\hat{\rho}}_{NBO} \mid \; \; \Psi >$$

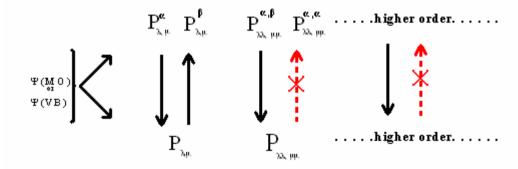
### Example: 14 electrons, 7 pairs:



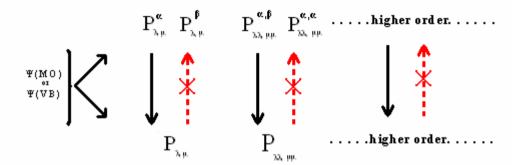


### Spin-dependent or spin-independent Population Analysis?

#### Closed shell systems:



#### Open shell systems:



Only for the 1-RDM (and for closed shell systems) one can use spin-free populations

For higher order RDM it is worthwhile to use spin-dependent populations

# Information provided by PEPA and VB methods

1. **VB** : Spin-independent structures ( ← spin-eigenfunctions)

**PEPA**: Spin-dependent structures (  $\Leftarrow$  single Slater determinants)

2. **VB**: Provides a weight of a N-electron structure (N= total number of electrons)

**PEPA**: Provides a <u>sum</u> of weights of N-electron structures

$$P_{2;0} (\mu, \overline{\mu}; 0) = \sum_{K}^{(\mu, \overline{\mu})} W(\Phi_{K})$$

	Orthogonal orbitals	Non-orthogonal orbitals
1		
	<b>Probability</b> of finding simultaneous	X
	two	
	α, β electrons	
2	<b>Number</b> of electron pairs (among the $N^{\alpha} N^{\beta}$ )	
3	Sum of VB weights = The weight of a local structure (while the remaining electrons can reside anywhere else)	