

The issue of orthogonality in Frozen-Density Embedding Theory

Alex Zech, Francesco Aquilante, Tomasz A. Wesolowski

Department of Physical Chemistry, University of Geneva

- I. **Other-than-the-lowest solutions of the Euler-Lagrange Equation in FDET**
FDET energy functional, Euler Lagrange Equations, ρ_A -dependency of the embedding potential
- II. **Non-orthogonal solutions**
embedded function (CASCI form), double-SCF, $\langle \Psi_A^k | \Psi_A^m \rangle$ overlap
- III. **Orthogonal solutions from the linearized FDET energy functional**
Linearized FDET energy functional, ρ_A^{ref} -insensitivity of the excitation energies
- IV. **(Lack-of) Homogeneity of the density functional for the interaction energy**
expectation energy of the FDET embedding potential operator vs. FDET energies

Embedding potentials for variational QC methods

Embedding potential for methods such as HF, MCSF, CI (embedded «interacting wavefunction»)

PHYSICAL REVIEW A 77, 012504 (2008)

Embedding a multideterminantal wave function in an orbital-free environment

Tomasz A. Wesółowski

Département de Chimie Physique, 30 quai Ernest-Ansermet, Université de Genève, CH-1211 Genève 4, Switzerland

(Received 3 October 2006; revised manuscript received 15 October 2007; published 11 January 2008)

$$\rho_{AB}(\vec{r}) = \rho_B(\vec{r}) + \langle \Psi_A^{emb} | \sum_{i=1}^{N_A} \delta(\vec{r} - \vec{r}_i) | \Psi_A^{emb} \rangle$$

$$E^{FDET}[\rho_B] = \min_{\substack{\forall \vec{r} \rho_{AB}(\vec{r}) \geq \rho_B(\vec{r}) \\ \int \rho(\vec{r}) d\vec{r} = N_{AB}}} E_{v_{AB}}^{HK}[\rho] = E_{v_{AB}}^{HK}[\rho_{AB}^{opt}]$$

Note that we take a particular perspective on the relation between the wave-function-based methods and density-functional theory. A multideterminantal wave function is considered in this work as an auxiliary quantity used to obtain the approximate solution of Eq. (1) and the corresponding electron density by means of variational calculations, whereas the relevant density functionals are considered to be exact in the derivation of the basic relation.

$$E_{emb}[\rho_B] = \min_{\substack{\rho(\mathbf{r}) \geq \rho_B(\mathbf{r}) \geq 0 \\ \int \rho(\mathbf{r}) d\mathbf{r} = N_{AB}}} E_v^{HK}[\rho]$$

$$\frac{\delta E_{AB}^{EWF}[\Psi_A^{emb}, \rho_B]}{\delta \Psi_A^{emb}} - \lambda \Psi_A^{emb} = 0,$$

$$\left(\hat{H}_A + \hat{v}_{emb} \right) \Psi_A^{emb} = \epsilon \Psi_A^{emb}$$

$$v_{emb}(\mathbf{r}) = v_{emb}[\rho_A, \rho_B, v_B](\mathbf{r})$$

FDET in capsule: variational method to obtain embedded wavefunction Ψ_A

1) Constraint for the total density (ρ_B - is arbitrary)

$$E_{\text{emb}}[\rho_B] = \min_{\substack{\rho(\mathbf{r}) \geq \rho_B(\mathbf{r}) \geq 0 \\ \int \rho(\mathbf{r}) d\mathbf{r} = N_{AB}}} E_v^{\text{HK}}[\rho]$$

2) Energy as the functional of ρ_B and Ψ_A

$$\begin{aligned} E_{AB}^{\text{EWF}}[\Psi^A, \rho_B] &= \langle \Psi^A | \hat{H}_A | \Psi^A \rangle + \Delta F^{\text{SC}}[\rho_A] + \\ &+ \int \rho_A(\vec{r}) v_B(\vec{r}) d\vec{r} + \int \int \frac{\rho_A(\vec{r}) \rho_B(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' d\vec{r} \\ &+ T_s^{\text{nad}}[\rho_A, \rho_B] + E_{xc}^{\text{nad}}[\rho_A, \rho_B] \\ &+ E_{v_B}^{\text{HK}}[\rho_B] + \int \rho_B(\vec{r}) v_A(\vec{r}) d\vec{r}, \end{aligned}$$

3) Euler-Lagrange equation

$$\frac{\delta E_{AB}^{\text{EWF}}[\Psi_A^{\text{emb}}, \rho_B]}{\delta \Psi_A^{\text{emb}}} - \lambda \Psi_A^{\text{emb}} = 0,$$

4) Functional for the local embedding potential

$$v_{\text{emb}}[\rho_A, \rho_B, v_B](\vec{r}) = v_B(\vec{r}) + \int \frac{\rho_B(\vec{r}')}{|\vec{r}' - \vec{r}|} d\vec{r}' + \frac{\delta E_{xct}^{\text{nad}}[\rho_A, \rho_B]}{\delta \rho_A(\vec{r})}$$

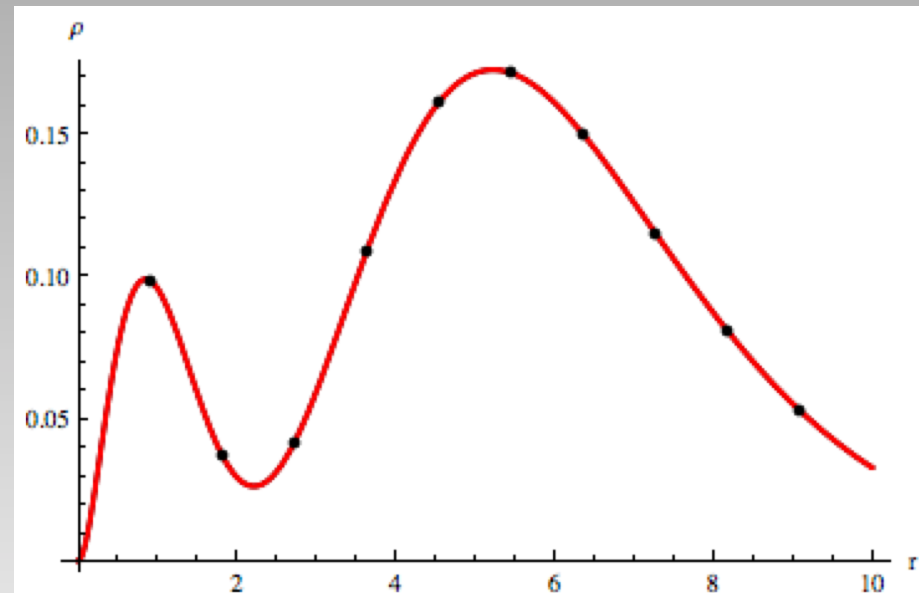
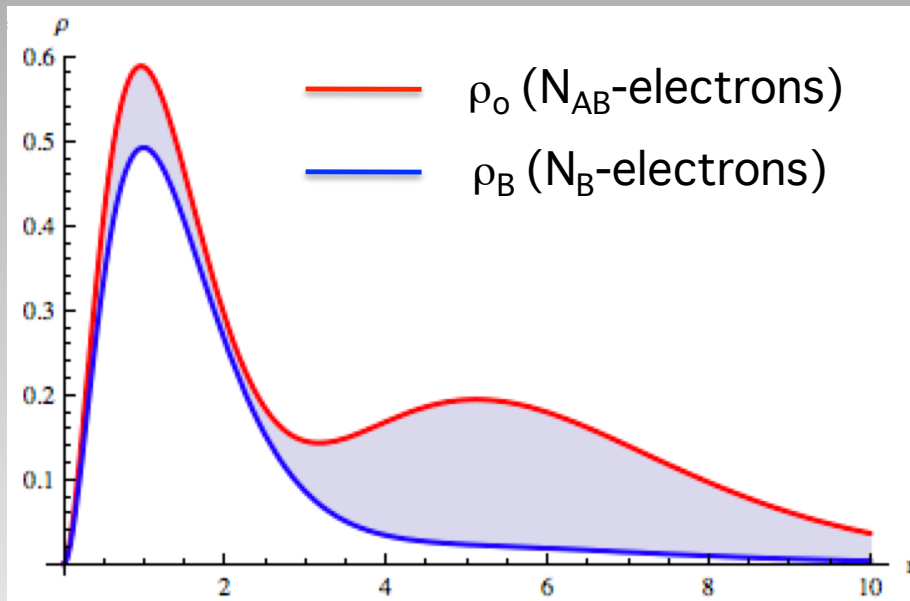
Attention:

inhomogeneity of the "interaction energy functional"

$$\begin{aligned} \langle \Psi^A | \hat{v}_{\text{emb}} | \Psi^A \rangle &= \int \rho_A(\vec{r}) v_{\text{emb}}[\rho_A, \rho_B; \vec{r}] d\vec{r} \\ &\neq T_s^{\text{nad}}[\rho_A, \rho_B] + E_{xc}^{\text{nad}}[\rho_A, \rho_B] + \int \rho_A(\vec{r}) v_B(\vec{r}) d\vec{r} + \int \int \frac{\rho_A(\vec{r}) \rho_B(\vec{r}')}{|\vec{r}' - \vec{r}|} d\vec{r}' d\vec{r} \end{aligned}$$

G.A. Wesolowski, A. Warshel, *J. Phys. Chem.* **1993**, 97, 8050
 G.A. Wesolowski, *One-electron equations for embedded orbitals* . . .
In: *Computational Chemistry: Reviews of current trends*,
 J. Leszczynski Ed. Vol 10 (2006) 1-83
 G.A. Wesolowski, *Phys. Rev. A*, **2008**, 77, 012504
 K. Pernal and T.A. Wesolowski, *Intl. J. Quant. Chem.* **2009**, 109, 2520

FDET: case of $\rho_o(r) \geq \rho_B(r)$ (for all r)



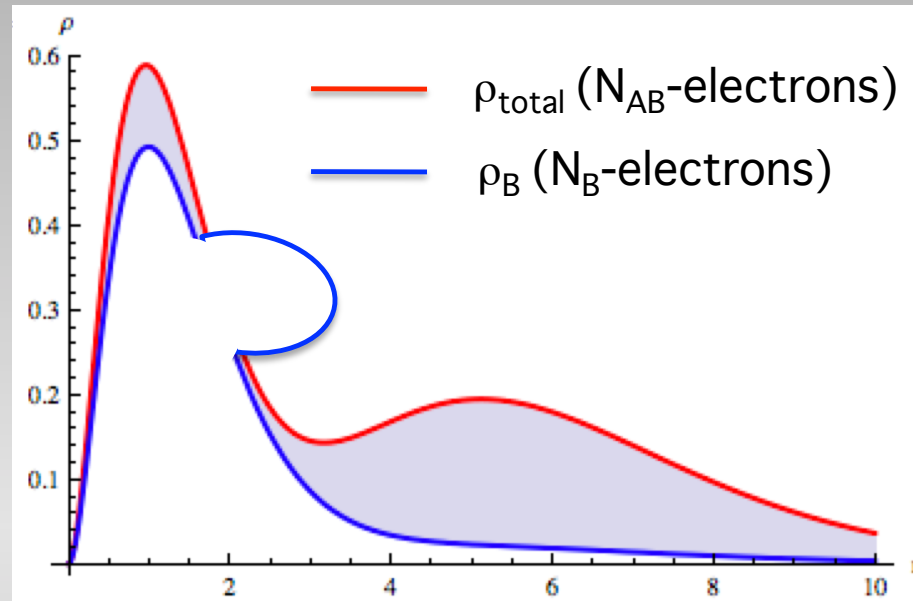
Target:

the grey density ($\rho_{\text{total}} - \rho_B$) as a ground-state of a N_A electron system obtained by adding a **local potential** to a N_A -electron Hamiltonian

Results:

$$\rho_o = \rho_{\text{total}} = \rho_B + \rho_A^{\text{opt}} \quad \text{and} \quad E_{\text{emb}}[\rho_B] = E_o$$

FDET: case of $\rho_o(r) < \rho_B(r)$ (in some domain of \mathbb{R}^3)



$$\rho_o \neq \rho_{\text{total}} = \rho_B + \rho_A^{\text{opt}}$$

$$E_{\text{emb}}[\rho_B] \geq E_o$$

FDET: What we gain?

- 1) The theory underlying any QM/MM method using local embedding potentials. Instead of empirical parameters coupling QM with MM systems, FDET uses one descriptor ρ_B
- 2) Fully self-consistent expressions for:
i) optimal energy ($E_{\text{emb}}[\rho_B]$), *ii)* embedded wavefunction, *iii)* embedded density.
- 3) Possibility to combine QM descriptors (Ψ_A) with any physical theory yielding electron density (nano- and macroscale)

FDET: What is the price?

- 1) Assured is only that ($E_{\text{emb}}[\rho_B] \geq E_0$)
- 2) The embedding potential depends on ρ_A (state)
- 3) Pandora's box of challenges concerning approximations for the functional $E_{\text{xc}}^{\text{nad}}[\rho_A, \rho_B]$ and the functionals :

$$\begin{aligned} \Delta F^{SC(WFT)}[\rho_A] &= \min_{\Psi_A \rightarrow \rho_A} \langle \Psi_A | \hat{T}_{2N_A} + \hat{V}_{2N_A}^{ee} | \Psi_A \rangle \\ &- \min_{\Psi_A^{WF} \rightarrow \rho_A} \langle \Psi_A^{WF} | \hat{T}_{2N_A} + \hat{V}_{2N_A}^{ee} | \Psi_A^{WF} \rangle \end{aligned}$$

$$\begin{aligned} T_s^{\text{nad}}[\rho_A, \rho_B] &= \min_{\Psi_s \rightarrow \rho_A + \rho_B} \langle \Psi_s | \hat{T} | \Psi_s \rangle \\ &- \min_{\Psi_s \rightarrow \rho_A} \langle \Psi_s | \hat{T} | \Psi_s \rangle - \min_{\Psi_s \rightarrow \rho_B} \langle \Psi_s | \hat{T} | \Psi_s \rangle \end{aligned}$$

The issue of orthogonality in Frozen-Density Embedding Theory

Alex Zech, Francesco Aquilante, Tomasz A. Wesolowski

Department of Physical Chemistry, University of Geneva

- I. **Other-than-the-lowest solutions of the Euler-Lagrange Equation in FDET**
FDET energy functional, Euler Lagrange Equations, ρ_A -dependency of the embedding potential
- II. **Non-orthogonal solutions**
embedded function (CASCI form), double-SCF, $\langle \Psi_A^k | \Psi_A^m \rangle$ overlap
- III. **Orthogonal solutions from the linearized FDET energy functional**
Linearized FDET energy functional, ρ_A^{ref} -insensitivity of the excitation energies
- IV. **(Lack-of) Homogeneity of the density functional for the interaction energy**
expectation energy of the FDET embedding potential operator vs. FDET energies

Split-SCF FDET calculations (embedded non-interacting reference system)

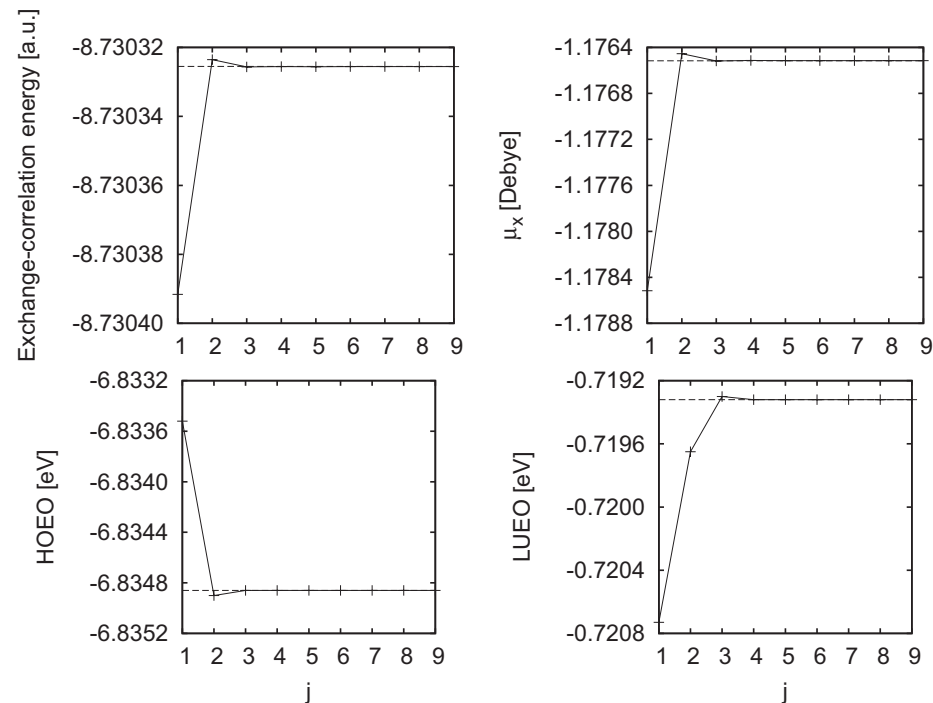
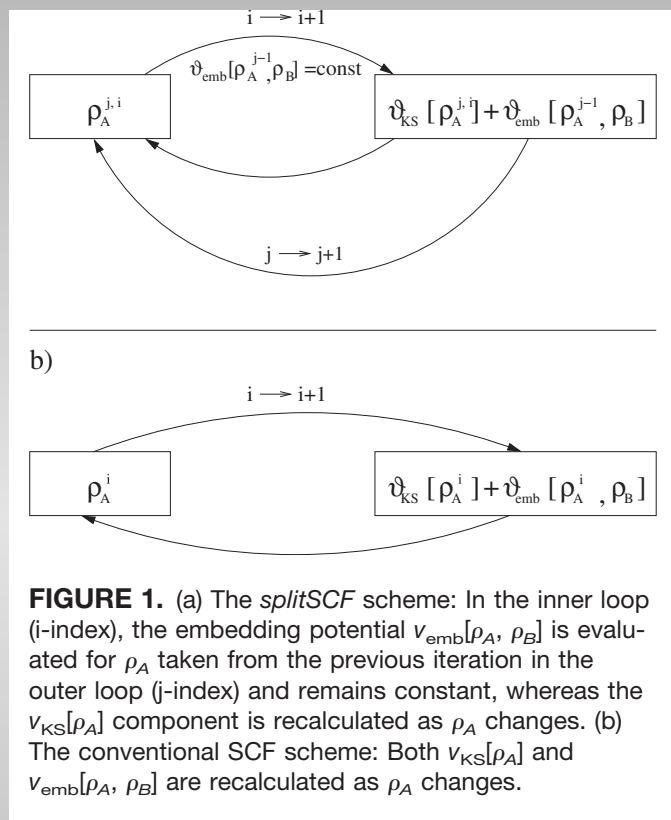


FIGURE 2. The convergence of the outer-loop of the *splitSCF* procedure for various properties of the H_2O molecule in the H_2O - H_2O complex. The results of conventional self-consistent calculations are indicated by dashed lines.

Split-SCF FDET calculations for each electronic state

(self-consistent potential and embedded wavefunction but non-orthogonal embedded wavefunctions for different states)

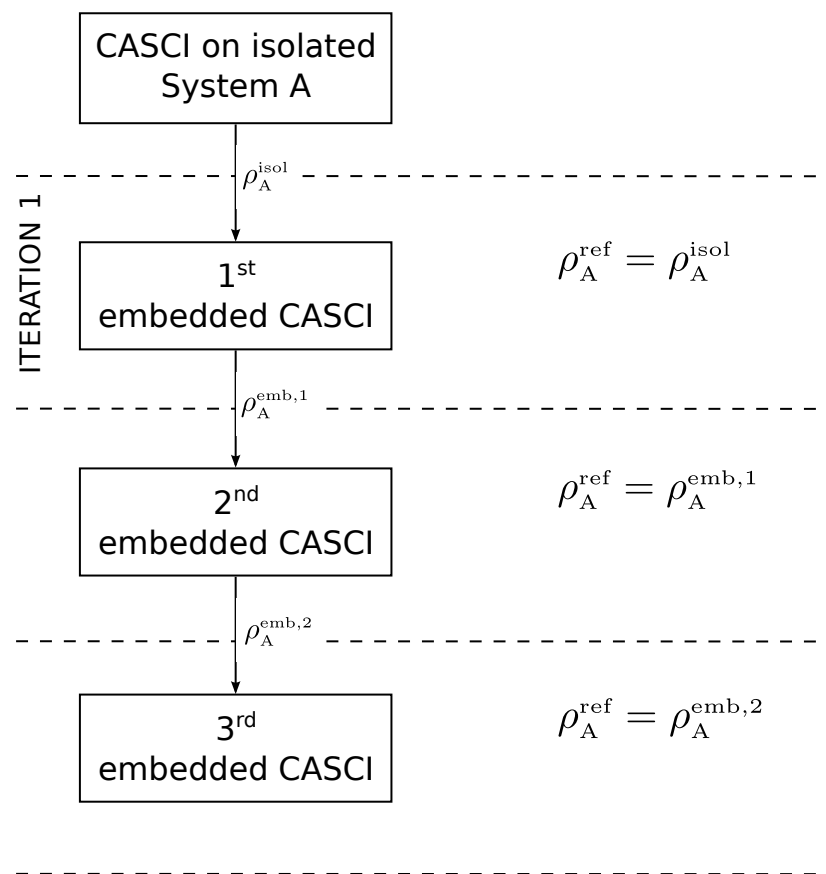
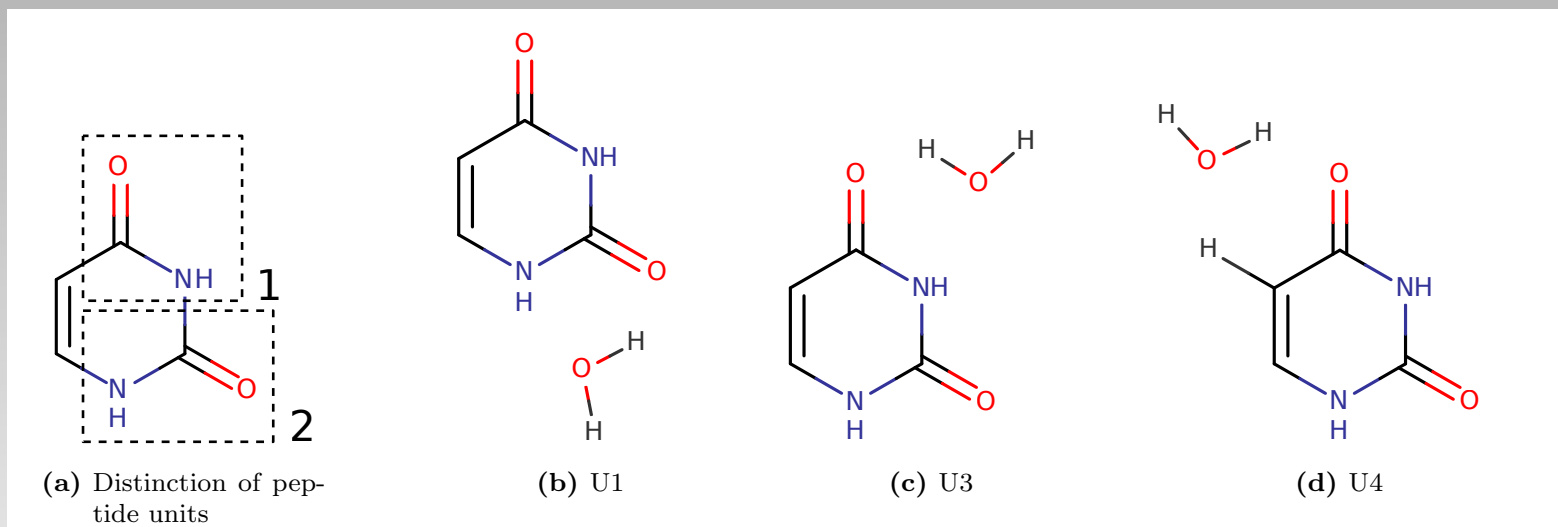


Figure 1: CASCI iteration cycles with regard to ρ_A updates.

Embedded uracil (embedded CASCI reference system)



$S_0 \rightarrow$	Character of excitation	
S_1	$n_1(O) \rightarrow$	$\pi_1^*(CO)$
S_2	mostly $\pi_2(N) \rightarrow$	π_{ring}^*
S_3	$n_2(O) \rightarrow$	$\pi_2^*(CO)$
S_4	mostly $\pi_1(N) \rightarrow$	π_{ring}^*

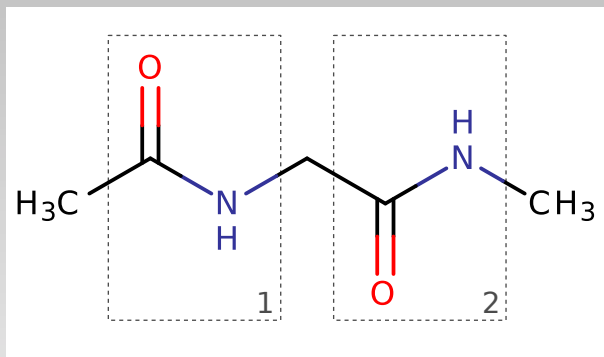
Table 3: Wavefunction overlap matrix elements of self-consistent states.

	Ψ_0^{sc}	Ψ_1^{sc}	Ψ_2^{sc}	Ψ_3^{sc}	Ψ_4^{sc}
Ψ_0^{sc}	1				
Ψ_1^{sc}	1.00E-08	1			
Ψ_2^{sc}	5.19E-06	-2.00E-08	1		
Ψ_3^{sc}	1.25E-06	9.02E-05	-3.41E-05	1	
Ψ_4^{sc}	7.10E-07	-4.00E-08	-2.47E-05	7.09E-05	1

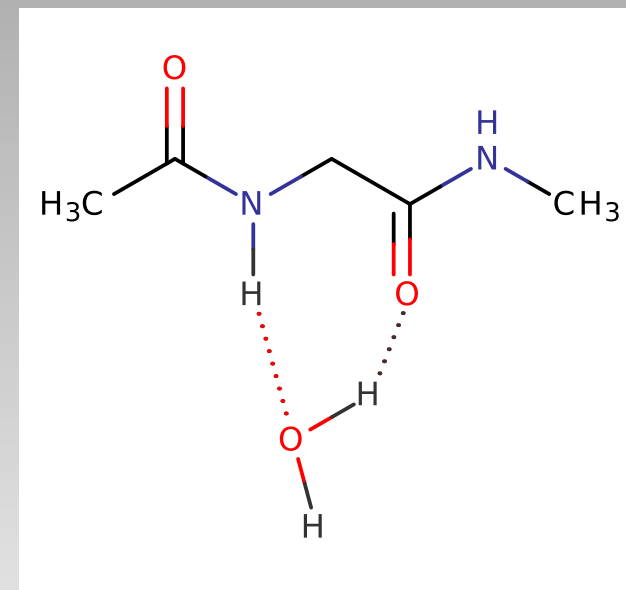
$\langle \Psi_A^k | \Psi_A^m \rangle$

Embedded dipeptide (embedded CASCI reference system)

$S_0 \rightarrow$	Character of excitation	
S_1	$n_1(O)$	$\rightarrow \pi_1^*(CO)$
S_2	$n_2(O)$	$\rightarrow \pi_2^*(CO)$
S_3	$\pi_1(CO)$ $\pi_1(N)$	$\rightarrow \pi_2^*(CO)$ $\pi_1^*(N)$
S_4	$\pi_2(N)$	$\rightarrow \pi_2^*(CO)$
S_5	$n_1(O)$ $\pi_1(N)$	$\rightarrow \pi_2^*(CO)$ $\pi_2^*(N)$
S_6	$\pi_1(N)$	$\rightarrow \pi_1^*(CO)$



(a) Distinction of peptide units



(b) Dipeptide-H₂O complex

Table 16: Wavefunction overlap matrix elements of self-consistent states.

	Ψ_0^{sc}	Ψ_1^{sc}	Ψ_2^{sc}	Ψ_3^{sc}	Ψ_4^{sc}	Ψ_5^{sc}	Ψ_6^{sc}
Ψ_0^{sc}	1						
Ψ_1^{sc}	3.50E-07	1					
Ψ_2^{sc}	1.90E-07	-1.60E-05	1				
Ψ_3^{sc}	-5.20E-07	2.10E-07	-1.71E-04	1			
Ψ_4^{sc}	2.45E-06	3.80E-07	-3.03E-06	4.44E-05	1		
Ψ_5^{sc}	5.00E-08	-4.68E-06	-3.42E-05	9.50E-07	1.57E-05	1	
Ψ_6^{sc}	-4.27E-06	-1.45E-06	-2.51E-05	1.17E-05	2.58E-06	1.03E-04	1

$$\langle \Psi_A^k | \Psi_A^m \rangle$$

Embedded bromine (embedded CASCI reference system)

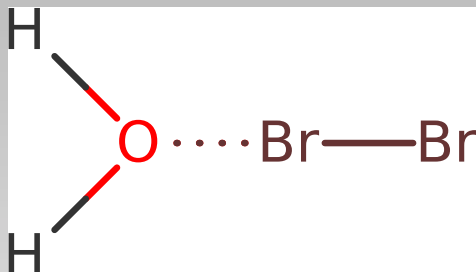


Figure 12: Br₂-H₂O complex.

S ₀ →	Character of excitation		
S ₁	π_x	→	σ_z^*
S ₂	π_y	→	σ_z^*
S ₃	π_x	→	σ_z^*
S ₄	π_y	→	σ_z^*
S ₅	π_{xy}	→	σ_z^*

Table 21: Wavefunction overlap matrix elements of self-consistent states.

	Ψ_0^{sc}	Ψ_1^{sc}	Ψ_2^{sc}	Ψ_3^{sc}	Ψ_4^{sc}	Ψ_5^{sc}
Ψ_0^{sc}	1					
Ψ_1^{sc}	1.09E-06	1				
Ψ_2^{sc}	-7.00E-08	6.60E-07	1			
Ψ_3^{sc}	6.00E-08	2.31E-05	0.00E+00	1		
Ψ_4^{sc}	6.00E-08	0.00E+00	2.66E-05	2.90E-07	1	
Ψ_5^{sc}	1.10E-06	-2.82E-06	2.60E-07	-6.85E-06	4.00E-07	1

$$\langle \Psi_A^k | \Psi_A^m \rangle$$

Partial conclusions (1):

As a result of the ρ_A -dependence of the embedding potential, the other than lowest energy solutions of the Euler-Lagrange equation in FDET are not orthogonal to the lowest-energy solution.

Is it important?

The issue of orthogonality in Frozen-Density Embedding Theory

Alex Zech, Francesco Aquilante, Tomasz A. Wesolowski

Department of Physical Chemistry, University of Geneva

- I. **Other-than-the-lowest solutions of the Euler-Lagrange Equation in FDET**
FDET energy functional, Euler Lagrange Equations, ρ_A -dependency of the embedding potential
- II. **Non-orthogonal solutions**
embedded function (CASCI form), double-SCF, $\langle \Psi_A^k | \Psi_A^m \rangle$ overlap
- III. **Orthogonal solutions from the linearized FDET energy functional**
Linearized FDET energy functional, ρ_A^{ref} -insensitivity of the excitation energies
- IV. **(Lack-of) Homogeneity of the density functional for the interaction energy**
expectation energy of the FDET embedding potential operator vs. FDET energies

$$\frac{\delta E_{AB}^{EWF}[\Psi_A^{emb}, \rho_B]}{\delta \Psi_A^{emb}} - \lambda \Psi_A^{emb} = 0,$$

$$\left(\hat{H}_A + \hat{v}_{emb} \right) \Psi_A^{emb} = \epsilon \Psi_A^{emb},$$

FDET

[Wesolowski, Phys. Rev.A. **77** (2008) 012504]

$$E_{AB}^{EWF}[\Psi^A, \rho_B] = \langle \Psi^A | \hat{H}_A | \Psi^A \rangle + \int \rho_A(\vec{r}) v_B(\vec{r}) d\vec{r} + \int \int \frac{\rho_A(\vec{r}) \rho_B(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' d\vec{r} \\ + E_{xct}^{nad}[\rho_A, \rho_B] + E_{v_B}^{HK}[\rho_B] + \int \rho_B(\vec{r}) v_A(\vec{r}) d\vec{r},$$

$$E_{xct}^{nad}[\rho_A, \rho_B] = E_{xc}^{nad}[\rho_A, \rho_B] + T_s^{nad}[\rho_A, \rho_B] + \Delta F^{SC}[\rho_A]$$

$$\tilde{v}_{emb}[\rho_A^{ref}, \rho_B, v_B] \vec{r} = v_B(\vec{r}) + \int \frac{\rho_B(\vec{r}')}{|\vec{r}' - \vec{r}|} d\vec{r}' + \frac{\delta \tilde{E}_{xct}^{nad}[\rho_A, \rho_B]}{\delta \rho_A(\vec{r})}$$

$$\langle \Psi_A^k | \Psi_A^m \rangle \neq \delta_{km}$$

Linearized FDET

[Wesolowski, J. Chem. Phys. **140** (2014) 18A530]

$$E_{xct}^{nad}[\rho_A, \rho_B] \approx \tilde{E}_{xct}^{nad}[\rho_A, \rho_B] \approx \tilde{E}_{xct}^{nad(lin)}[\rho_A, \rho_B] = \tilde{E}_{xct}^{nad}[\rho_A^{ref}, \rho_B] + \int (\rho_A - \rho_A^{ref}) \left. \frac{\delta \tilde{E}_{xct}^{nad}[\rho_A, \rho_B]}{\delta \rho_A(\vec{r})} \right|_{\rho_A = \rho_A^{ref}} d\vec{r}$$

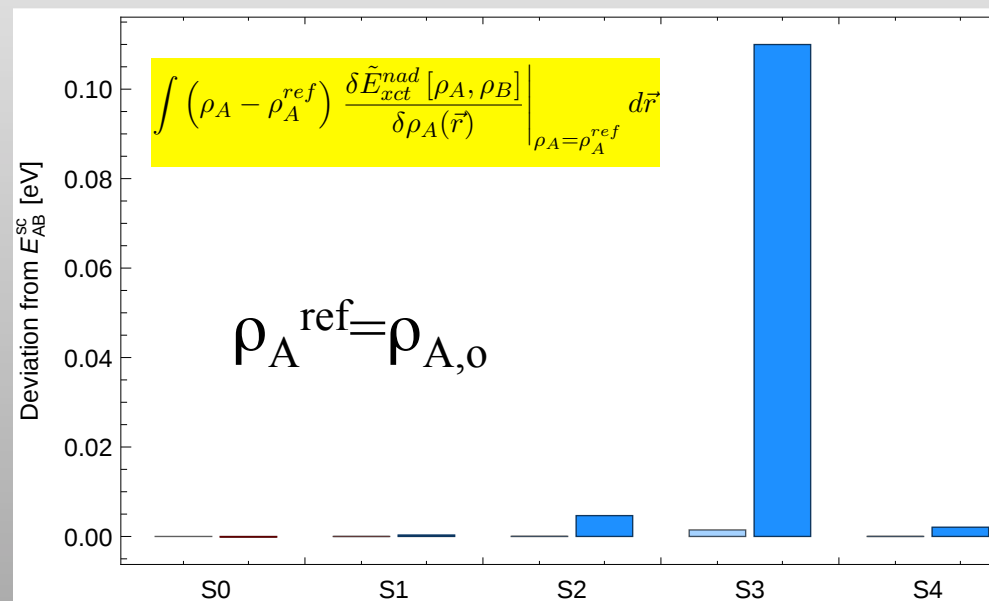
$$\tilde{v}_{emb}[\rho_A^{ref}, \rho_B, v_B] \vec{r} = v_B(\vec{r}) + \int \frac{\rho_B(\vec{r}')}{|\vec{r}' - \vec{r}|} d\vec{r}' + \left. \frac{\delta \tilde{E}_{xct}^{nad}[\rho_A, \rho_B]}{\delta \rho_A(\vec{r})} \right|_{\rho_A = \rho_A^{ref}}$$

$$\langle \Psi_A^k | \Psi_A^m \rangle = \delta_{km}$$

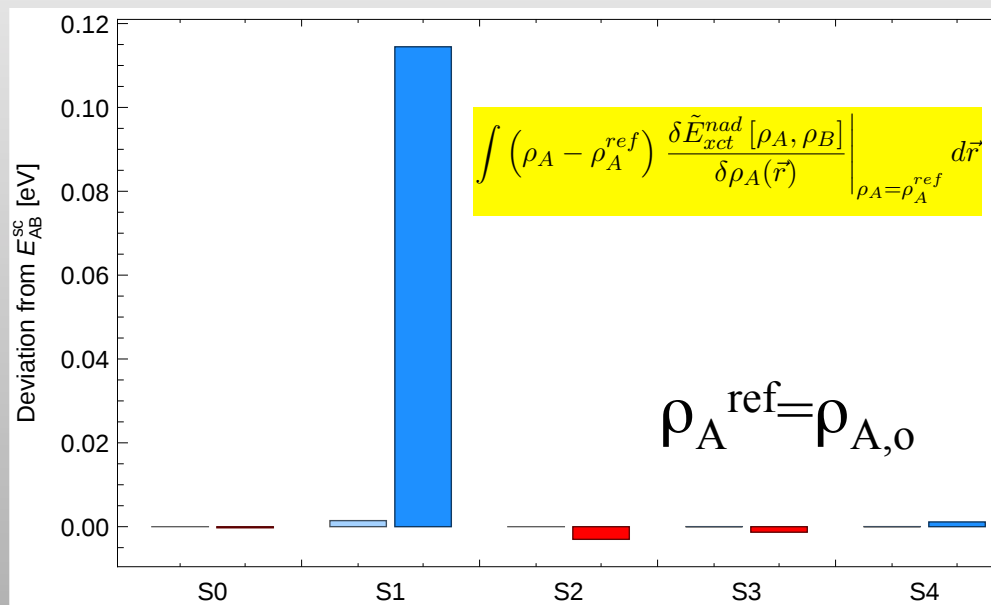
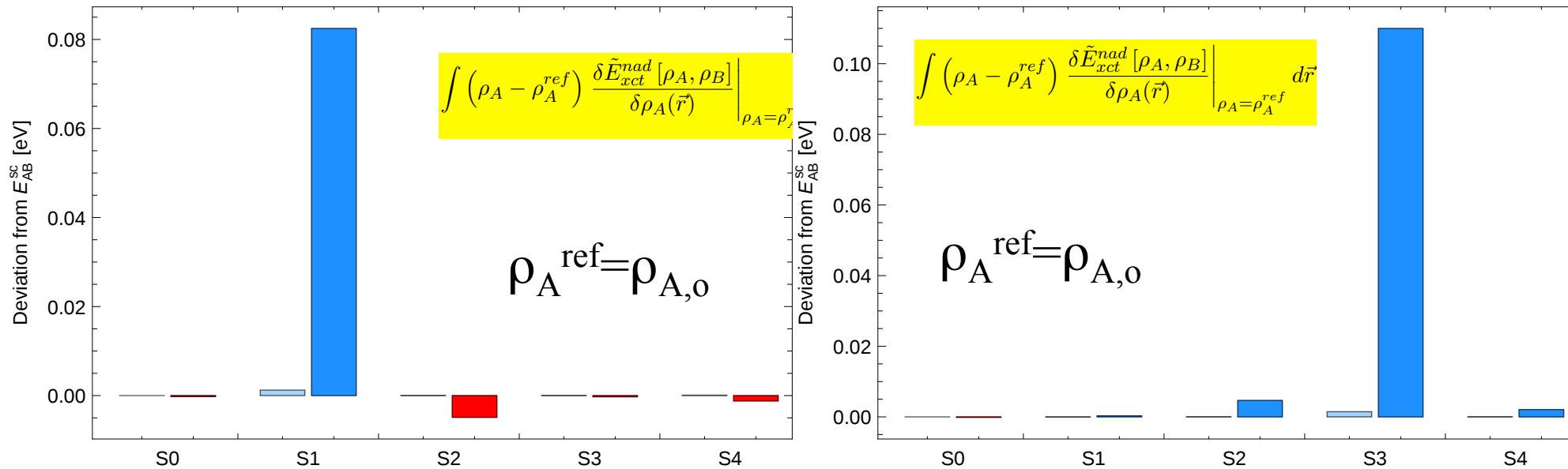
Embedded uracil (Linearized FDET excitation energies)

Differences between FDET and linearized FDET excitation energies (in eV)
for different reference densities
(FDET excitation energies are given on the diagonal)

	E_0	E_1	E_2	E_3	E_4
$\rho_{A,0}$	0.00	-2.74E-06	-2.39E-06	-2.50E-06	2.72E-09
$\rho_{A,1}$	2.52E-06	5.13	-8.16E-09	1.52E-07	2.56E-06
$\rho_{A,2}$	2.40E-06	-1.17E-07	6.44	3.27E-08	2.46E-06
$\rho_{A,3}$	2.54E-06	-2.23E-07	1.63E-07	6.77	2.59E-06
$\rho_{A,4}$	-1.69E-07	-2.90E-06	-2.56E-06	-2.42E-06	7.14



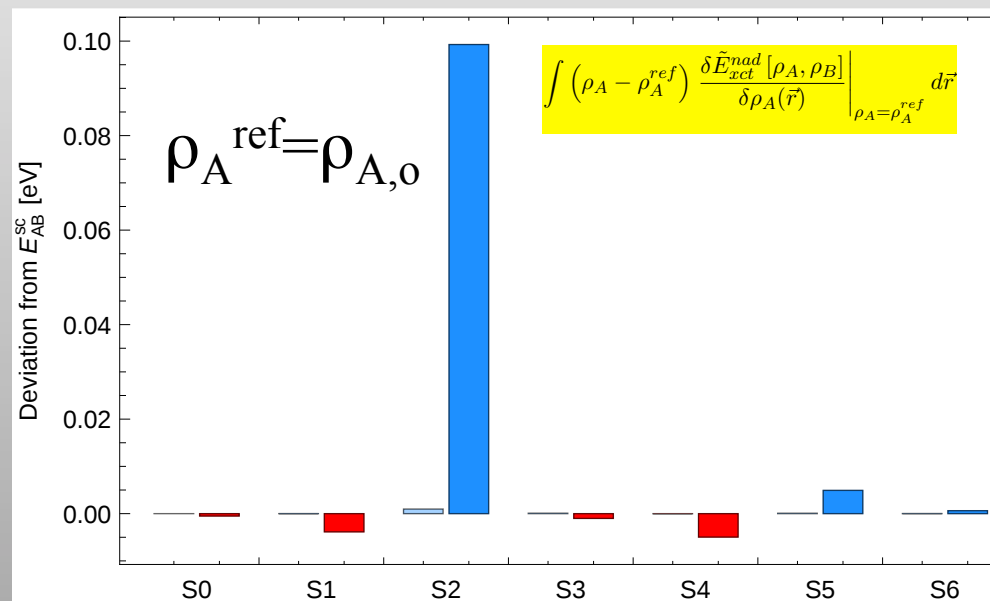
Other Uracil-water complexes



Embedded dipeptide (embedded CASCI reference system)

Differences between FDET and linearized FDET excitation energies (in eV)
for different reference densities
(FDET excitation energies are given on the diagonal)

	E_0	E_1	E_2	E_3	E_4	E_5	E_6
$\rho_{A,0}$	0.00	-9.01E-07	2.93E-06	2.99E-06	-5.88E-07	3.04E-06	3.21E-06
$\rho_{A,1}$	6.23E-07	6.00	3.82E-06	3.56E-06	1.28E-07	3.84E-06	3.80E-06
$\rho_{A,2}$	-2.90E-06	-3.58E-06	6.51	-1.17E-07	-3.54E-06	1.36E-08	2.04E-07
$\rho_{A,3}$	-2.88E-06	-3.89E-06	1.31E-07	8.60	-3.58E-06	-2.99E-08	3.81E-08
$\rho_{A,4}$	4.63E-07	-2.20E-07	3.52E-06	3.48E-06	8.82	3.44E-06	3.69E-06
$\rho_{A,5}$	-2.73E-06	-3.72E-06	1.28E-07	1.28E-07	-3.42E-06	9.25	3.48E-07
$\rho_{A,6}$	-3.11E-06	-3.84E-06	1.01E-07	-2.34E-07	-3.66E-06	-5.99E-08	9.38

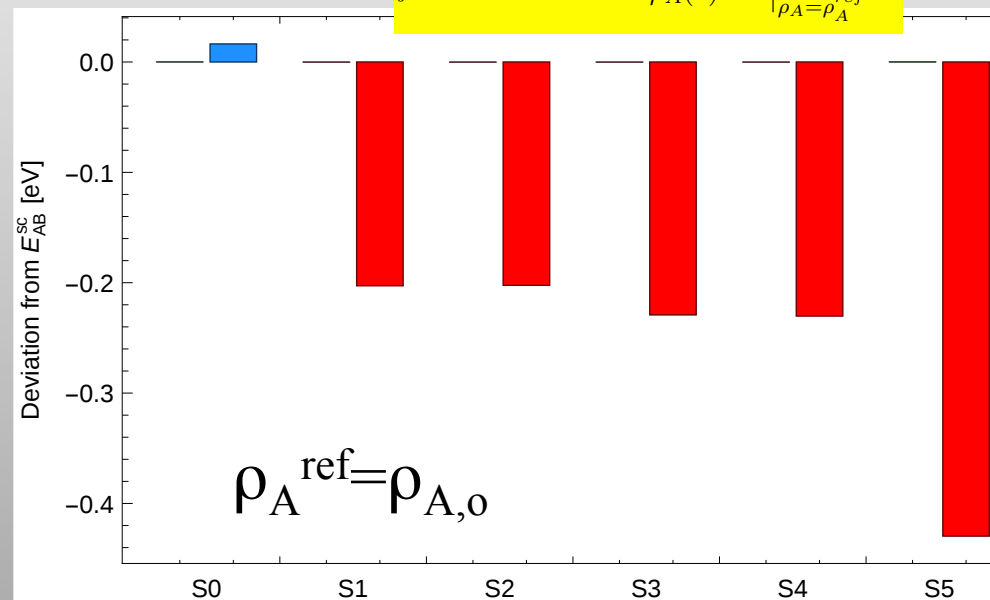


Embedded bromine (embedded CASCI reference system)

Differences between FDET and linearized FDET excitation energies (in eV)
for different reference densities
(FDET excitation energies are given on the diagonal)

	E_0	E_1	E_2	E_3	E_4	E_5
$\rho_{A,0}$	0.00	1.99E-06	5.52E-07	1.93E-06	1.79E-06	-1.09E-07
$\rho_{A,1}$	-1.79E-06	3.23	-1.58E-06	-8.17E-09	-2.50E-07	-1.87E-06
$\rho_{A,2}$	-4.73E-07	1.50E-06	3.24	1.41E-06	1.21E-06	-4.63E-07
$\rho_{A,3}$	-1.73E-06	1.88E-07	-1.43E-06	4.92	-1.93E-07	-1.87E-06
$\rho_{A,4}$	-1.77E-06	1.12E-07	-1.55E-06	1.61E-07	4.93	-1.98E-06
$\rho_{A,5}$	7.67E-07	2.42E-06	6.99E-07	2.11E-06	1.89E-06	6.04

$$\int (\rho_A - \rho_A^{ref}) \left. \frac{\delta \tilde{E}_{xct}^{nad}[\rho_A, \rho_B]}{\delta \rho_A(\vec{r})} \right|_{\rho_A = \rho_A^{ref}} d\vec{r}$$



Partial conclusions (2):

1) Linearization (in ρ_A) of the FDET energy as proposed in [Wesolowski, J. Chem. Phys. **140** (2014) 18A530] leads to

- excitation energies remarkably insensitive to the choice of ρ_A^{ref}
- practically identical energies conventional FDET results (non-linearized)

2) The **orthogonality** of all embedded wavefunctions is assured by construction without destroying the **self-consistency** between: energy, embedded density, and embedded wavefunctions (all come from the same Euler-Lagrange equation)

3) **One embedding calculations** for ALL electronic states

4) Linearized FDET can be applied **for any approximation for $E_{\text{xc}}[\rho_A, \rho_B]$**

The issue of orthogonality in Frozen-Density Embedding Theory

Alex Zech, Francesco Aquilante, Tomasz A. Wesolowski

Department of Physical Chemistry, University of Geneva

- I. **Other-than-the-lowest solutions of the Euler-Lagrange Equation in FDET**
FDET energy functional, Euler Lagrange Equations, ρ_A -dependency of the embedding potential
- II. **Non-orthogonal solutions**
embedded function (CASCI form), double-SCF, $\langle \Psi_A^k | \Psi_A^m \rangle$ overlap
- III. **Orthogonal solutions from the linearized FDET energy functional**
Linearized FDET energy functional, ρ_A^{ref} -insensitivity of the excitation energies
- IV. **(Lack-of) Homogeneity of the density functional for the interaction energy**
expectation energy of the FDET embedding potential operator vs. FDET energies

The meaning of $\langle \Psi_A | \hat{v}_{emb} | \Psi_A \rangle$

$$E_{int} = \int \rho_A(\vec{r}) v_B(\vec{r}) d\vec{r} + \iint \frac{\rho_A(\vec{r}) \rho_B(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' d\vec{r} + E_{xct}^{nad}[\rho_A, \rho_B] \neq \langle \Psi_A | \hat{v}_{emb} | \Psi_A \rangle$$

$$T_s[\rho] \neq \int \rho(\vec{r}) \frac{\delta T_s[\rho]}{\delta \rho(\vec{r})} d\vec{r},$$

$$E_x[\rho] \neq \int \rho(\vec{r}) \frac{\delta E_x[\rho]}{\delta \rho(\vec{r})} d\vec{r},$$

$$E_c[\rho] \neq \int \rho(\vec{r}) \frac{\delta E_c[\rho]}{\delta \rho(\vec{r})} d\vec{r},$$

$$E_{int} = \int \rho_A(\vec{r}) v_B(\vec{r}) d\vec{r} + \iint \frac{\rho_A(\vec{r}) \rho_B(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' d\vec{r} + E_{xct}^{nad}[\rho_A, \rho_B] \approx \langle \Psi_A | \hat{v}_{emb} | \Psi_A \rangle$$

Uracil-water (conf U1)

$$\Delta_k = E_{\text{int}}[\rho_k] - \langle \Psi_k | v_{\text{emb}}[\rho_{A,k}] | \Psi_k \rangle$$

State (k)	Δ_k [Hartree]	$\Delta_k - \Delta_0$ [eV]
0	0.003528983	0.00
1	0.003531545	0.11
2	0.00348885	0.11
3	0.003139088	0.11
4	0.003508729	0.11

Uracil-water (conf U3)

$$\Delta_k = E_{\text{int}}[\rho_k] - \langle \Psi_k | v_{\text{emb}}[\rho_{A,k}] | \Psi_k \rangle$$

State (k)	Δ_k [Hartree]	$\Delta_k - \Delta_0$ [eV]
0	0.003384143	0.00
1	0.003000736	0.11
2	0.003394293	0.10
3	0.003392354	0.10
4	0.003353285	0.10

Uracil-water (conf U4)

$$\Delta_k = E_{\text{int}}[\rho_k] - \langle \Psi_k | v_{\text{emb}}[\rho_{A,k}] | \Psi_k \rangle$$

State (k)	Δ_k [Hartree]	$\Delta_k - \Delta_0$ [eV]
0	0.001836451	0.0
1	0.001539419	0.10
2	0.001862862	0.10
3	0.001839301	0.10
4	0.001832712	0.10

dipeptide-water

$$\Delta_k = E_{\text{int}}[\rho_k] - \langle \Psi_k | v_{\text{emb}}[\rho_{A,k}] | \Psi_k \rangle$$

State (k)	Δ_k [Hartree]	$\Delta_k - \Delta_0$ [eV]
0	0.002860501	0.0
1	0.00286837	0.10
2	0.002540398	0.10
3	0.002841887	0.10
4	0.002857505	0.10
5	0.0028504210	0.10
6	0.0028410620	0.10

bromine-water

$$\Delta_k = E_{\text{int}}[\rho_k] - \langle \Psi_k | v_{\text{emb}}[\rho_{A,k}] | \Psi_k \rangle$$

State (k)	Δ_k [Hartree]	$\Delta_k - \Delta_0$ [eV]
0	0.001191772	0.0
1	0.001345661	0.06
2	0.00134587	0.06
3	0.001372212	0.06
4	0.001374507	0.06
5	0.001598166	0.06

Partial Conclusions (3):

$$\int \rho_A(\vec{r}) v_B(\vec{r}) d\vec{r} + \int \int \frac{\rho_A(\vec{r}) \rho_B(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' d\vec{r} + E_{xct}^{nad}[\rho_A, \rho_B] \approx \langle \Psi_A | \hat{v}_{emb} | \Psi_A \rangle$$

A very good approximation for evaluation of excitation energies (total energy differences) .
Higher than linear (in ρ_A) terms in the energy functional are almost constant (around 0.1 eV).

Conclusions:

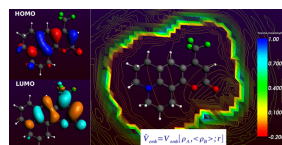
- 1) ρ_A -dependency of the embedding potential is an undesired feature of FDET leading to non-orthogonal embedded wavefunctions associated to different electronics states and the need to one calculation for each state.
- 2) Orthogonality is respected in linearized FDET [Wesolowski, J. Chem. Phys. **140** (2014) 18A530], in which:
 - excitation energies remarkably insensitive to the choice of ρ_A^{ref}
 - practically identical energies as the ones obtained using conventional (non-linearized) calculations,
 - one calculation for ALL states,
 - linearized FDET can be made with ANY approximation to $E_{\text{xct}}[\rho_A, \rho_B]$
- 3) Linearization preserves the structure of FDET (Euler-Lagrange equations, local embedding potential).
- 4) The use of expectation value of the embedding potential is a very good approximation in evaluation of excitation energies due to the small variation of the higher-than-linear in ρ_A terms in the FDET energy functional (typical magnitude 0.1 eV).

Funding: Fonds National Suisse de la Recherche Scientifique

1 Frozen-Density Embedding Strategy for Multilevel Simulations of 2 Electronic Structure

3 Tomasz A. Wesolowski,* Sapana Shedge, and Xiuwen Zhou

§ Department of Physical Chemistry, University of Geneva, CH-1211 Geneva 4, Switzerland



6 CONTENTS

8	1. Introduction	B		
9	2. Frozen-Density Embedding Theory	D		
10	2.1. Statement of the Problem: Basic Equations	D		
11	2.2. More on Key Features of FDET	F		
12	2.2.1. Nonadditivity of the Density Functional for the Kinetic Energy	F		
13	2.2.2. Relation between the FDET Embedding Potential and Projectors in the Pseudopotential Theory	G		
14	2.2.3. Polarization of the Environment by the Embedded Species	G		
15	2.2.4. Charge-Transfer between Subsystems	H		
16	2.2.5. v -Representability of the Optimal Embedded Density $\rho_A^{\text{opt}}(\vec{r})$	I		
17	2.2.6. Exact Properties of the Nonadditive Density Functionals	I		
18	3. Extensions and Formalisms Related to FDET	J		
19	3.1. Formalisms to Reach the Exact Ground-State Energy E_0	J		
20	3.2. Extension of Ground-State FDET to Excited States	K		
21	3.2.1. High Symmetry Case	K		
22	3.2.2. Other than the Lowest-Energy Solutions of the Euler–Lagrange Equations	K		
23	3.2.3. Time-Dependent Linear-Response Theory for Noninteracting System Embedded in Frozen Density	L		
24	3.3. Beyond Density Embedding for Coupled Chromophores	L		
25	4. Approximations in FDET for Multilevel Simulations	M		
26	4.1. Approximations for Density Functionals	M		
27	4.1.1. Explicit Approximations for $T_s^{\text{ad}}[\rho_A\rho_B]$ and $E_{xc}^{\text{ad}}[\rho_A\rho_B]$	M		
28	4.1.2. Spin-Density Generalization of $T_s^{\text{ad}}[\rho_A\rho_B]$	P		
29	4.1.3. Linearization of the Functionals $T_s^{\text{ad}}[\rho_A\rho_B]$ and $E_{xc}^{\text{ad}}[\rho_A\rho_B]$	P		
30	4.1.4. Embedding Potentials from Numerical Inversion Procedures	P		
31	4.2. Generation of $\rho_B(\vec{r})$	Q		
32	4.2.1. $\rho_B(\vec{r})$ As a Ground-State Density from the Quantum-Mechanical Calculations for the Whole Environment	Q	47	
33	4.2.2. Superposition of Densities of Fragments	R	48	
34	4.2.3. Optimized $\rho_B(\vec{r})$ from Subsystem DFT Calculations	R	49	
35	4.2.4. Polarized $\rho_B(\vec{r})$	R	50	
36	4.2.5. Average $\langle\rho_B\rangle(\vec{r})$ from Statistical Ensembles for Structurally Flexible Environments	R	51	
37	4.2.6. 3-FDE Scheme	R	52	
38	4.3. FDET-Like Approximate Methods Based on the ONIOM Strategy	S	53	
39	4.3.1. Independent variables	S	54	
40	4.3.2. The total ONIOM electron density	S	55	
41	4.3.3. The embedded wavefunction in FDET vs the ONIOM wavefunction	S	56	
42	4.3.4. The total energy of the whole system in exact case	T	57	
43	4.3.5. Variational principle	T	58	
44	5. Numerical Simulations Using Approximated FDET Embedding Potentials	T	59	
45	5.1. Electronic Excitations	T	60	
46	5.1.1. Solvatochromism	T	61	
47	5.1.2. Chromophores in Biological Environments	U	62	
48	5.1.3. Local Excitations in Solid-State Environments	U	63	
49	5.1.4. Induced Circular Dichroism in Guest–Host Complexes	V	64	
50	5.1.5. Coupled Chromophores and Charge-Transfer Excitations from Excited-State Subsystem DFT	V	65	
51	5.2. NMR	W	66	
52	5.3. ESR	W	67	
53	5.4. Multipole Moments and Polarizabilities	X	68	
54	5.5. Density Analysis	Y	69	
55	5.6. Properties of the Ground-State Potential Energy Surface	Z	70	
56	5.6.1. Chemical Reactions in Condensed Phase	Z	71	
57	5.6.2. Intermolecular Complexes	Z	72	
58	5.6.3. Solids and Interfaces	AA	73	
59	5.6.4. Simulations of Statistical Ensembles for Average Structures and Thermochemistry	AA	74	
60	6. Concluding Remarks	AB	75	

Special Issue: Calculations on Large Systems

Received: September 12, 2014

FDET related research topics at University of Geneva

Approximations for $T_s^{\text{nad}}[\rho_A, \rho_B]$

Wesolowski, *J. Chem. Phys.* **106**, 1997, 8516;
Garcia Lastra et al., *J. Chem. Phys.* **129** (2008) 074107;
Bernard et al. *J. Phys. A.* **41** (2008) 055302;
Savin & Wesolowski, in "Recent Progress in OF-DFT",
WORLD SCIENTIFIC 2013

Analytically solvable model systems

Savin & Wesolowski *Prog. Theor. Chem. & Phys.* **19** (2009) 327;
De Silva & Wesolowski, *J. Chem. Phys.* **137** (2012) 094110

Multi-level FDET based continuum solvent model

Kaminski et al., *J. Phys. Chem A*, **114** (2010) 6082;
Zhou et al., *Phys.Chem.Chem.Phys.*, **13** (2011) 10565

ρ_A dependency of $V_{\text{emb}}^{\text{FDET}}[\rho_A, \rho_B; r]$

linearization

Dulak et al., *Intl. J. Quant. Chem.*, **109** (2009) 1883
state-dependency for excited states
Wesolowski, *J. Chem. Phys.*, **140**, (2014) 18A530

$$\frac{\delta E_{AB}^{\text{EWF}}[\Psi_A^{\text{emb}}, \rho_B]}{\delta \Psi_A^{\text{emb}}} - \lambda \Psi_A^{\text{emb}} = 0,$$

FDET based multi-level models of molecular environments

UV/Vis, ESR, NMR

clusters:

Fradelos et al., *J. Phys. Chem. A* **113** (2009) 9766

porous solids:

Zhou et al., *Phys. Chem. Chem. Phys.*, **15**, (2013) 159

proteins:

Zhou et al., *J. Am. Chem. Soc.*, **136**, (2014) 2723

Algorithms and code developments

evaluation of particular properties, ρ_B - generation,
deMon, deMon2K, ADF, MOLCAS

Approximations for $\Delta F^{\text{MD}}[\rho_A]$

Aquilante & Wesolowski, *J. Chem. Phys.*, **135** (2011) 084120

Extracting chemical information from electron density

(single exponential decay detector, SEDD)
de Silva et al, *ChemPhysChem*, **13**,(2012) 3462;
J. Chem. Phys., **140**, (2014) 164301

