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Non orthogonal configuration interaction



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Cluster approximation for solids



- Describe solid in terms of molecular wavefunctions
- Compute wavefunctions of each molecule for specific states (CASSCF)
- Form many-electron basis functions (S₀S₀, S₀S₁, ¹TT, CT), each describing a particular combination of molecular states



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Non orthogonal configuration interaction

- Describe wavefunctions of a cluster of molecules in terms of (localised) molecular many-electron basis functions (MEBF)
 - MEBFs are antisymmetrised products of molecular wavefunctions:

$$\Phi_{AB}^{KL} = A(\Phi_A^K \times \Phi_B^L)$$

 Molecular wavefunction can be any multiconfigurational wavefunction



B

 Ψ^{0} : Ground state Ψ^{1} : Singlet excited state Ψ^{T} : Triplet excited state $\Phi_{AB}^{0\times0} = A(\Psi_A^0 \times \Psi_B^0)$ $\Phi_{AB}^{1\times0} = A(\Psi_A^1 \times \Psi_B^0)$ $\Phi_{AB}^{0\times1} = A(\Psi_A^0 \times \Psi_B^1)$ $\Phi_{AB}^{T\timesT} = A(\Psi_A^T \times \Psi_B^T)$:



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 $\Psi = c_1 \Phi_{AB}^{0 \times 0} + c_2 \Phi_{AB}^{1 \times 0} + c_3 \Phi_{AB}^{0 \times 1} + c_4 \Phi_{AB}^{T \times T} + \dots$

Non Orthogonal Configuration Interaction

- Wavefunction expanded as: $\Psi = \sum_{i=1}^{N} c_i \Phi_i$ with Φ_i a many-electron basis function ((MEBF) Slater determinant, or combination thereof)
- The orbitals χ_j in a MEBF are not orthogonal, making the manyelectron MEBFs also not orthogonal: $\langle \Phi_i | \Phi_j \rangle = S_{ij}$
- The non orthogonality of the orbitals within one MEBF and of the orbitals in a different MEBF complicates the calculation of the required Hamiltonian matrix elements $\langle \Phi_i | H | \Phi_j \rangle$
- Solve $(\mathbf{H} E\mathbf{S})(\mathbf{c}) = 0$ to get energies and Ψ (c_i's)



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Advantages of this NOCI

- Inclusion of orbital relaxation effects
- Inclusion of (static) correlation effects
- Short wavefunction expansions
- Chemical interpretability
 - Description of system in terms of predefined states



Computational Aspects of our NOCI approach

- Typical number of $\left|\Phi_{AB}^{KL}\right\rangle \sim 20$
 - H/S matrices contain ~ 210 elements of the type $\langle \Phi_{AB}^{KL} | H | \Phi_{AB}^{K'L'} \rangle$ and $\langle \Phi_{AB}^{KL} | \Phi_{AB}^{K'L'} \rangle$
- If $|\Phi_A^K\rangle$ contains ~ 500 determinants, then $|\Phi_A^K\rangle \times |\Phi_B^L\rangle = |\Phi_{AB}^{KL}\rangle \sim 2.5 \cdot 10^5$

•
$$\left\langle \Phi_{AB}^{KL} \middle| H \middle| \Phi_{AB}^{K'L'} \right\rangle = \sum_{i} \sum_{j} c_{i} c_{j} \left\langle \Delta_{i} \middle| H \middle| \Delta_{j} \right\rangle$$

- Approximately 10^7 elements $\langle \Delta_i | H | \Delta_j \rangle$ have to be calculated for one matrix element $\langle \Phi_{AB}^{KL} | H | \Phi_{AB}^{K'L'} \rangle$
- Aim for high level of parallelism
- Easy to parallelize



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Current program

- Based on existing GNOME code for evaluation of $\langle \Delta_i | H | \Delta_j \rangle$
- First, remove all *i,j* combinations whose occupations differ by more than two electrons, and all combinations for which $|c_i c_j| < \varepsilon$
- Parallelized using MPI and OpenMP
- Evaluation of $\langle \Delta_i | H | \Delta_j \rangle$ in parallel
 - All elements are divided over the nodes, then divided over

```
the cores
              !$OMP DO SCHEDULE(DYNAMIC) REDUCTION(+:hmat)
              do ij=ijstart,ijend
                   i=iloop(ij,1)
                   j=iloop(ij,2)
                   call gnome(hh,ss)
                   hmat=hmat+hh
              enddo
              !$OMP END PARALLEL
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                     and engineering
                                                            Theoretical Chemistry
                                            advanced materials
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```

Performance

• Test case I: 52 determinants, 1378 matrix elements $\langle \Delta_i | H | \Delta_j \rangle$



Technical Aspects

• Evaluation of $\langle \Delta_i | H | \Delta_j \rangle$ with non orthogonal orbitals

$$- H_{ij} = \sum_{i,j} h_{ij} S^{(i,j)} + \sum_{i < k} \sum_{j < l} [(ij \mid kl) - (ik \mid jl)] S^{(i,j,k,l)}$$

- First and second order co-factors needed
- With corresponding orbitals, then $\langle c_i | d_j \rangle = \lambda_i \delta_{ij}$

and
$$S^{(i,i)} = \prod_{m \neq i} \lambda_m$$
 $(S^{(i,j)} = 0 \text{ for } i \neq j)$

- No 4-index, but transform co-factors to common AO basis in which the corresponding orbitals c_i and d_i are expressed
- SVD and matrix multiplications
- Use GPUs?
- Use molecular orbitals as one-electron basis



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The procedure - NOCI

OLD approach (MOLCAS)

- CASCI wavefunction as approximation to ASP
 - Mixing in contaminating CSFs
 - (Unwanted orthogonalizations)

NEW approach (GNOME)

- Proper ASP of molecular wavefunctions
 - Clear chemical interpretation of the state
 - No orthogonalizations



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The many-electron basis functions

Löwdin orthogonalise the orbitals and perform CASCI(12,10):

E (eV)



Stack A – GNOME procedure (CAS(2,2))

• The relative energies of the cluster MEBFs



MEBF	E _{rel} (eV)	MEBF	E _{rel} (eV)
Â[AB]	0.00	Â[A ^T B ^T]	1.61
Â[<mark>A</mark> SB]	3.81	Â[A⁺B⁻]	4.49
Â[A <mark>B</mark> S]	3.81	Â[<mark>A⁻B</mark> +]	4.49

• The energies and weights of the diabatic states

В	MEBF	S[1]	S[2]	S'[1]	S'[2]
	Â[<mark>A</mark> SB]	0.50	0.50	0.45	0.50
N-N = 3.579 A	Â[A <mark>B</mark> ^S]	0.50	0.50	0.45	0.50
N-N = 3.854 A	$\hat{A}[A^+B^-] + \hat{A}[A^-B^+]$	-	-	0.09	0.00
	Energy (eV)	3.72	3.90	3.64	3.90
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Electronic coupling between diabatic states (meV)

		Ψ(S[1])	Ψ(S[2])	Ψ′(S[1])	Ψ′(S[2])
Stack A	Ψ(¹ TT)	356.7	0.0	353.9	0.1
	Ψ′(¹TT)	356.8	0.0	367.6	0.1
Inter- stack	Ψ(¹ TT)	92.5	23.4	96.6	23.2
	Ψ′(¹TT)	92.7	23.9	98.4	23.1
Stack A	Ψ(¹ TT)	4.0	0.0	16.5	0.0
	Ψ'(¹TT)	6.9	0.0	11.8	0.0
Inter- stack	Ψ(¹ TT)	0.4	0.1	0.2	0.3
	Ψ′(¹TT)	3.3	0.0	0.1	0.2



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Tetracene trimer



- Coupling between S₁ excited states:
- In dimer:
 - AB: 4.5 meV
 - BC: 43.8 meV
- In trimer:
 - AB: 4.0 meV
 - BC: 44.1 meV
 - AC: 11.6 meV



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• ITN-EJD-TCCM (Horizon2020)







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http://theochem.chem.rug.nl/femex/femex2017.html



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R. BROER, W.C. NIEUWPOORT, TCA (1988) 405

$$I_{1} = \langle \Delta_{a} | \sum_{\mu} \Omega_{\mu} | \Delta_{b} \rangle$$

$$I_{1} = \sum_{i} \sum_{j} \langle a_{i} | \Omega_{1} | b_{j} \rangle S(i, j)$$

$$I_{2} = \langle \Delta_{a} | \sum_{\nu > \mu} \Omega_{\mu\nu} | \Delta_{b} \rangle$$

$$I_{2} = \sum_{k > i} \sum_{l > i} \langle a_{i}a_{k} | \bar{\Omega}_{12} | b_{j}b_{l} \rangle S(ik, jl)$$

For non-singular overlap matrices S: $S(ik, jl) = 2(1 - p_{ik})S(ij)S(kl)/|S|$

For singular S a similar factorization is possible, so:

$$I_2 = \sum_{p,q,r,s} \langle \chi_p \chi_r | \Omega_{12} | \chi_q \chi_s \rangle B(pr, qs)$$

where also B can be factorized.



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