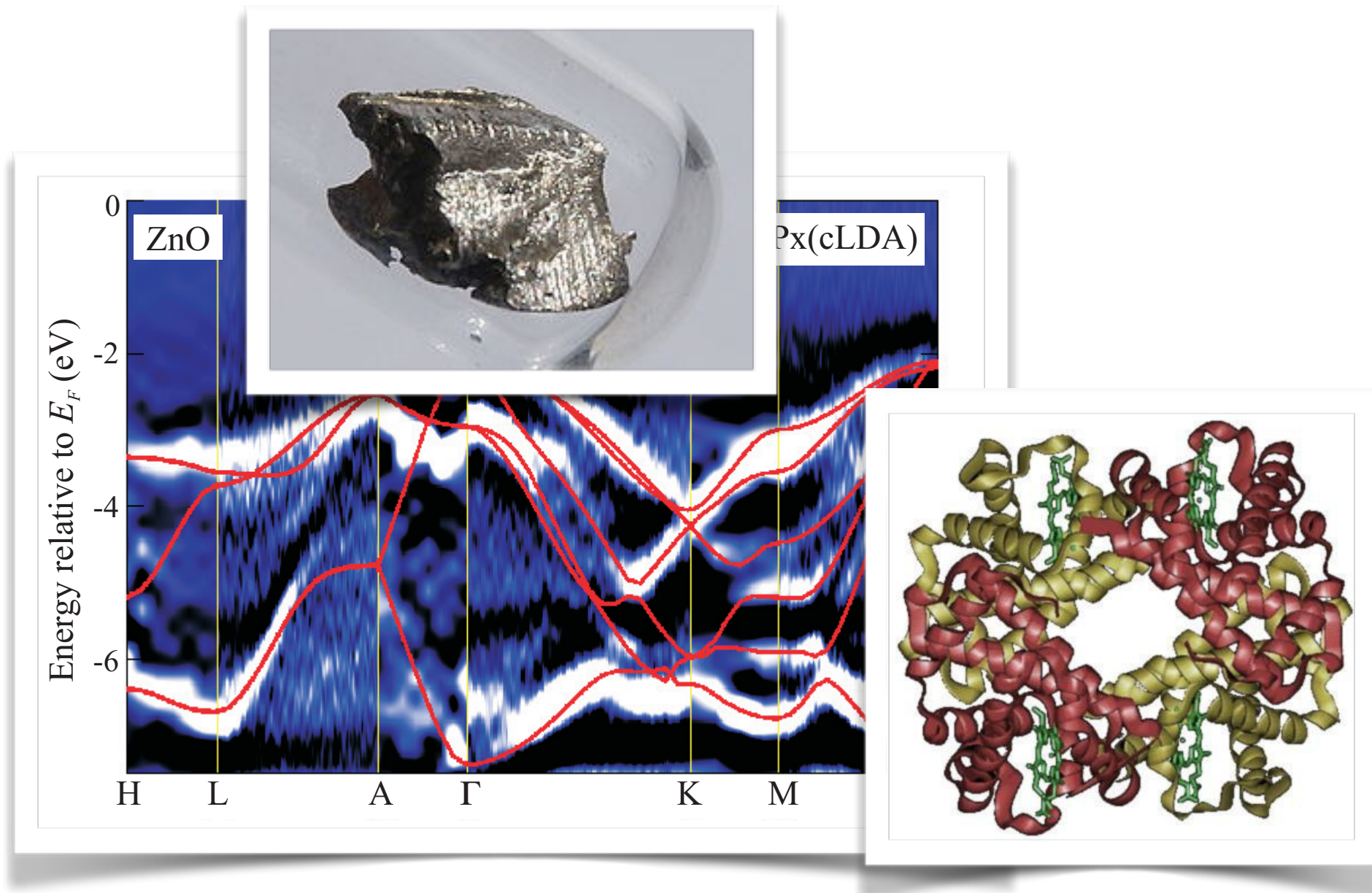


# Self-consistent many-body methods for bond-making and breaking

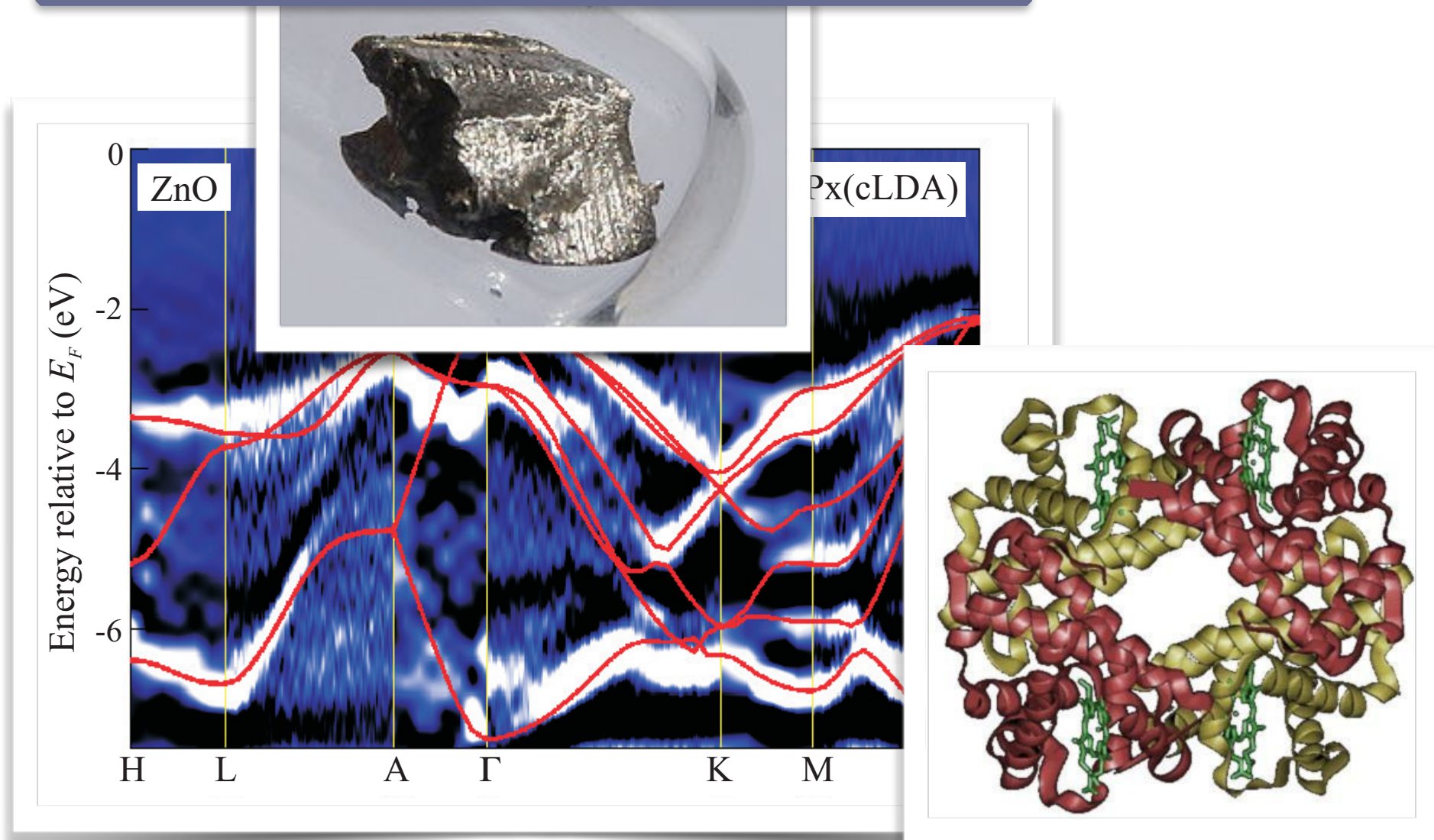
Patrick Rinke  
School of Science  
Department of Applied Physics  
Helsinki, Finland

# Wish list for electronic structure approaches



# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

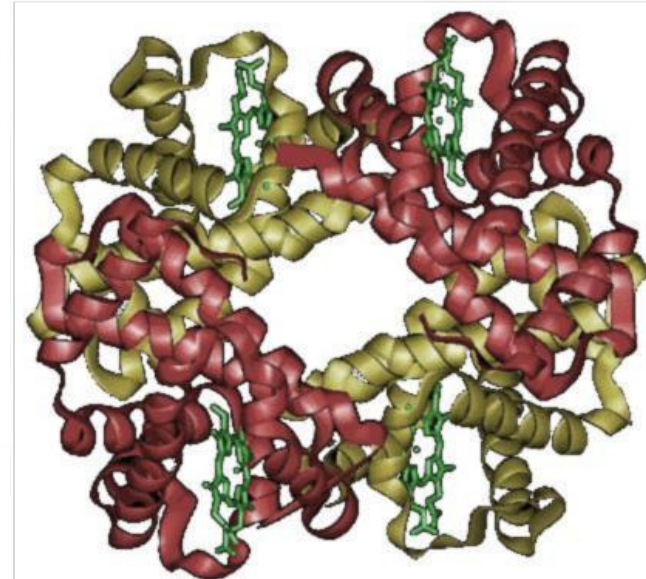
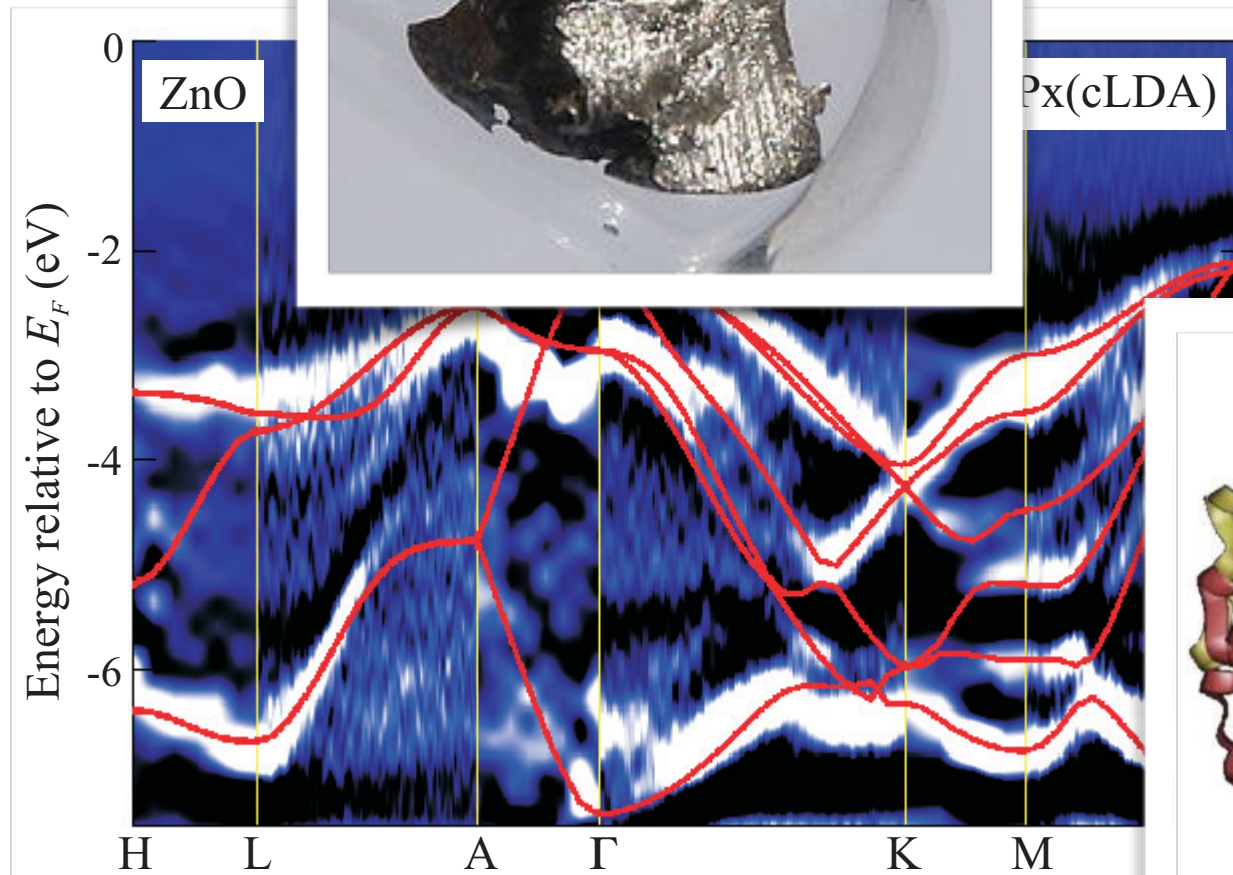




# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

efficient



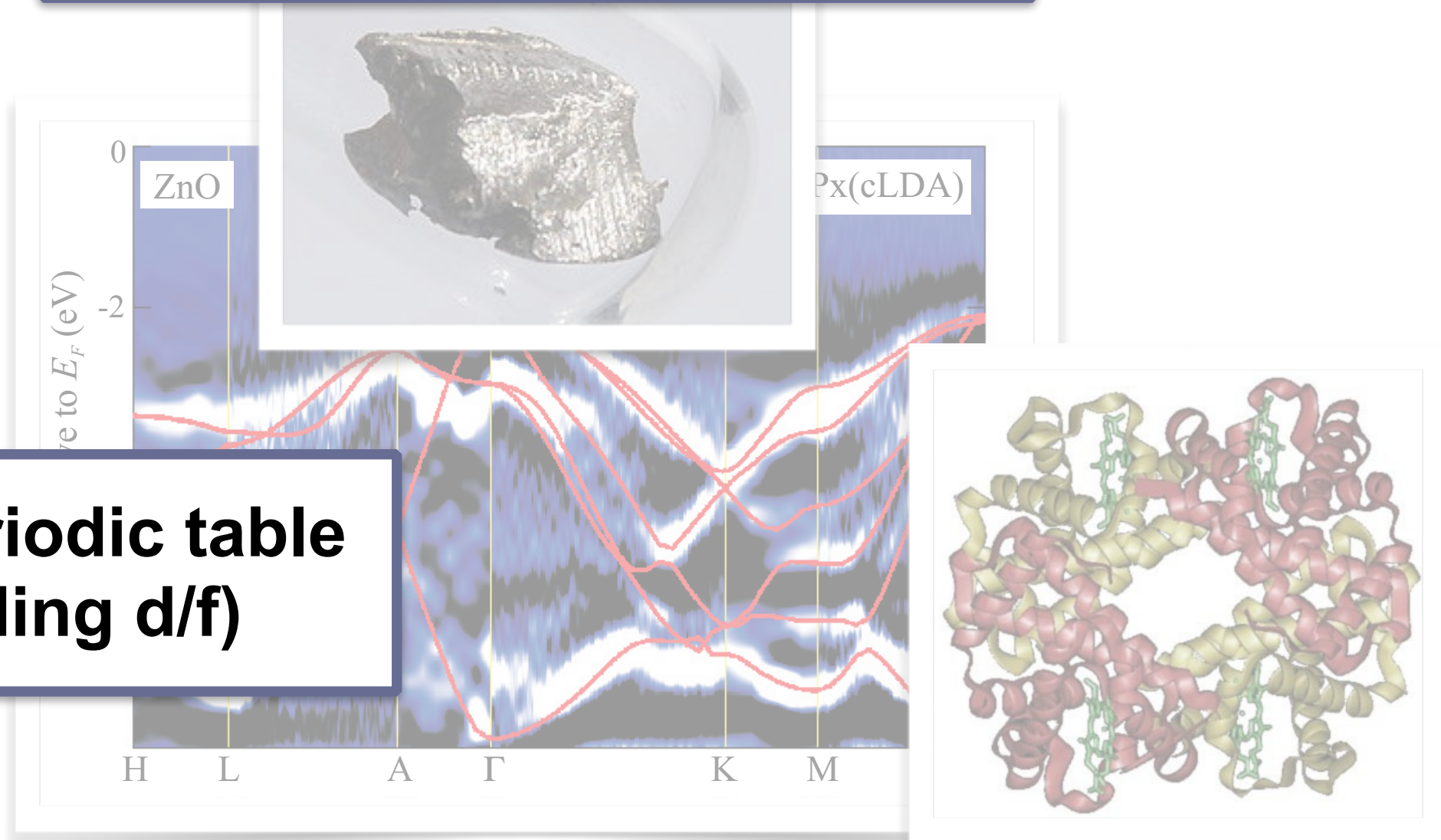


# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

efficient

whole periodic table  
(including d/f)



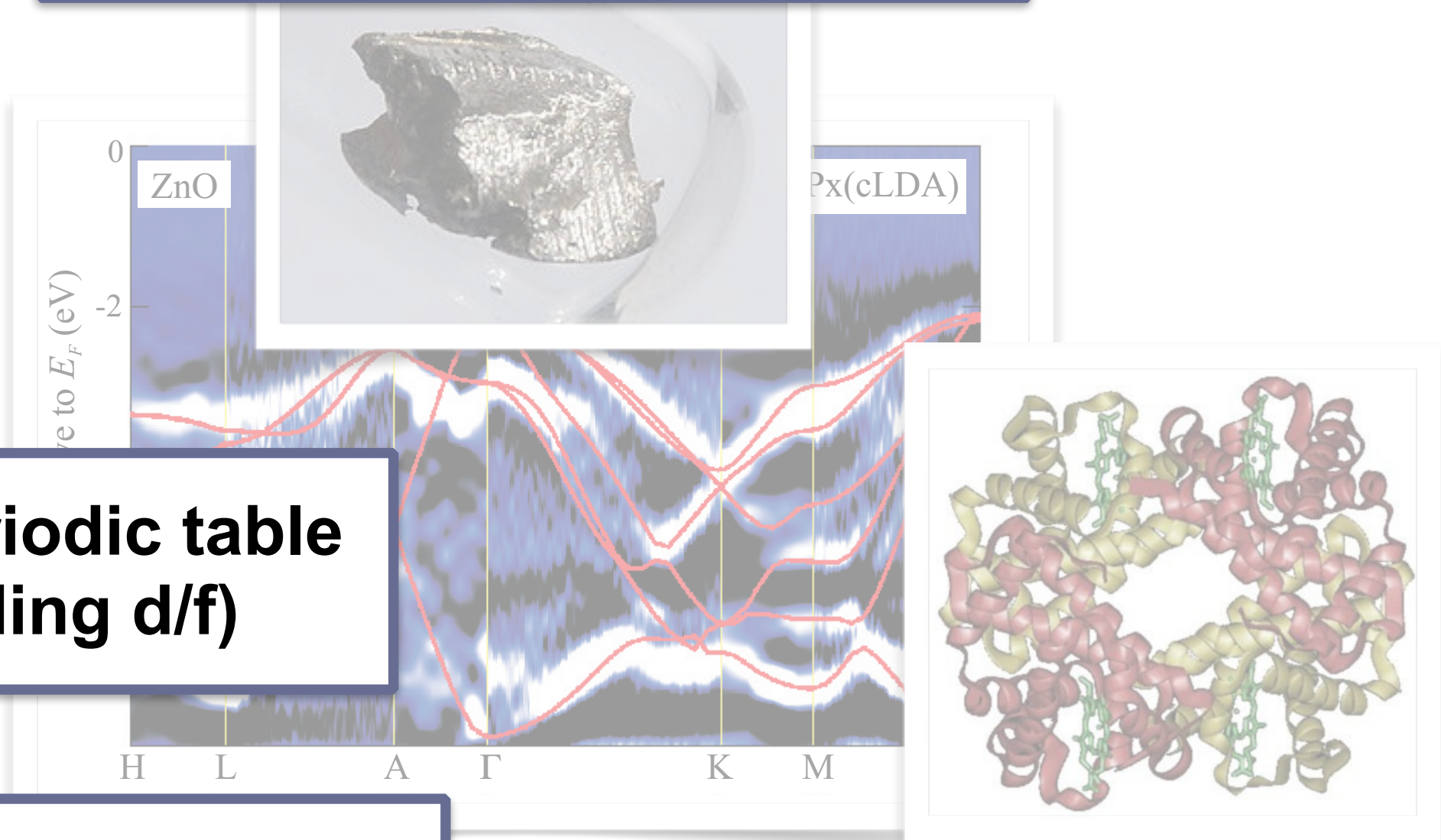
# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

efficient

whole periodic table  
(including d/f)

ground+excited states



# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

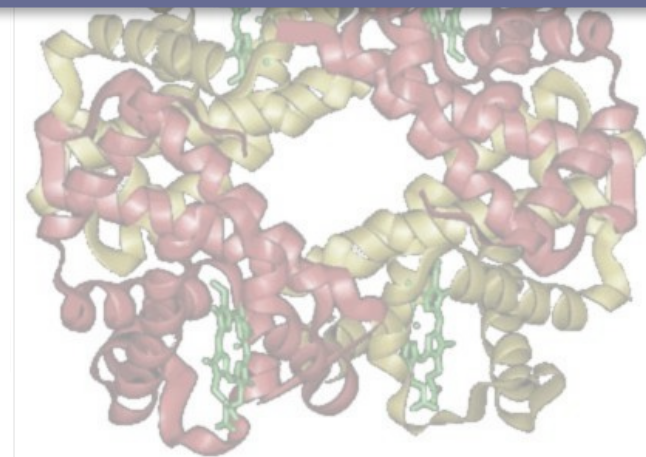
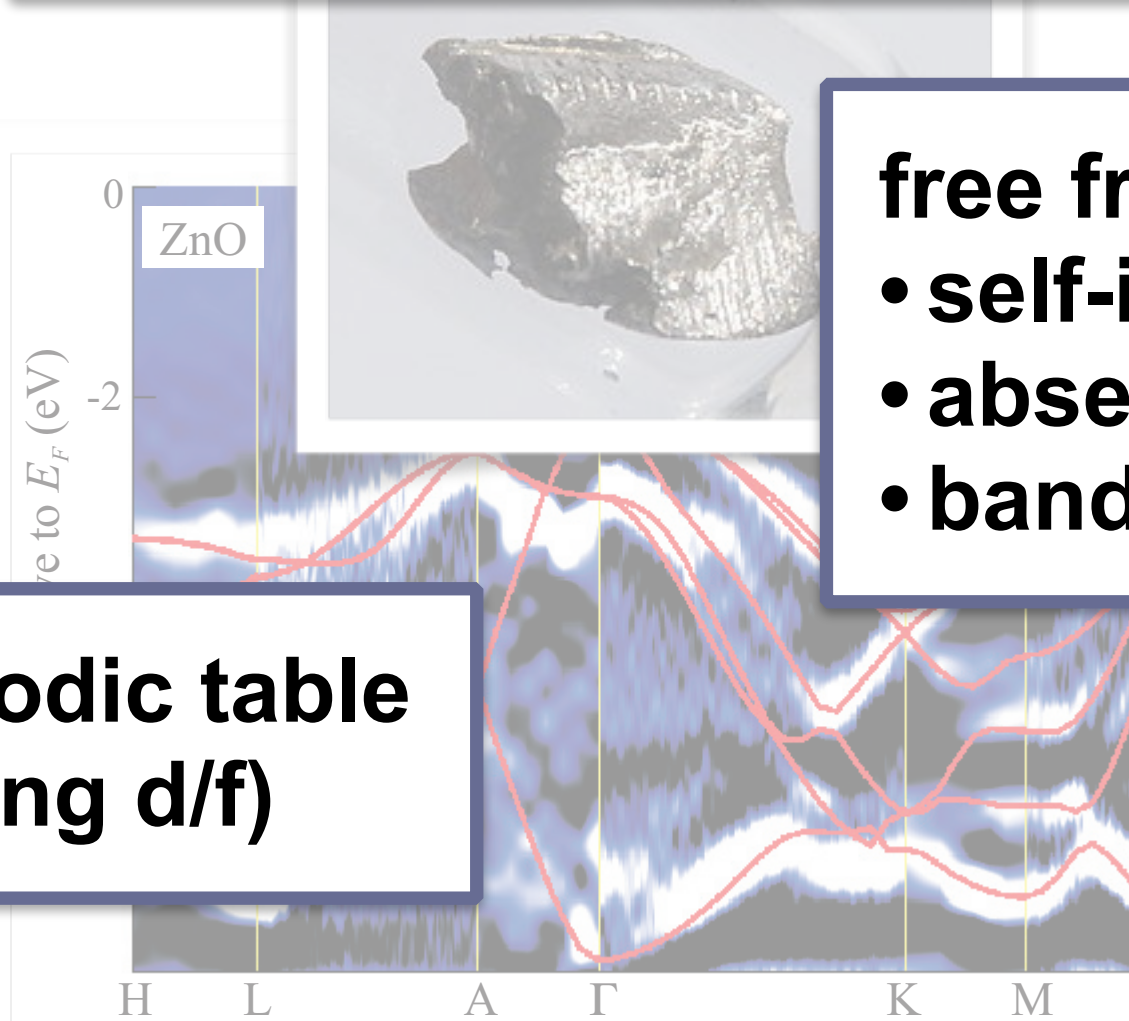
efficient

whole periodic table  
(including d/f)

ground+excited states

free from pathologies, e.g.

- self-interaction
- absence of van der Waals
- band-gap problem





# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

efficient

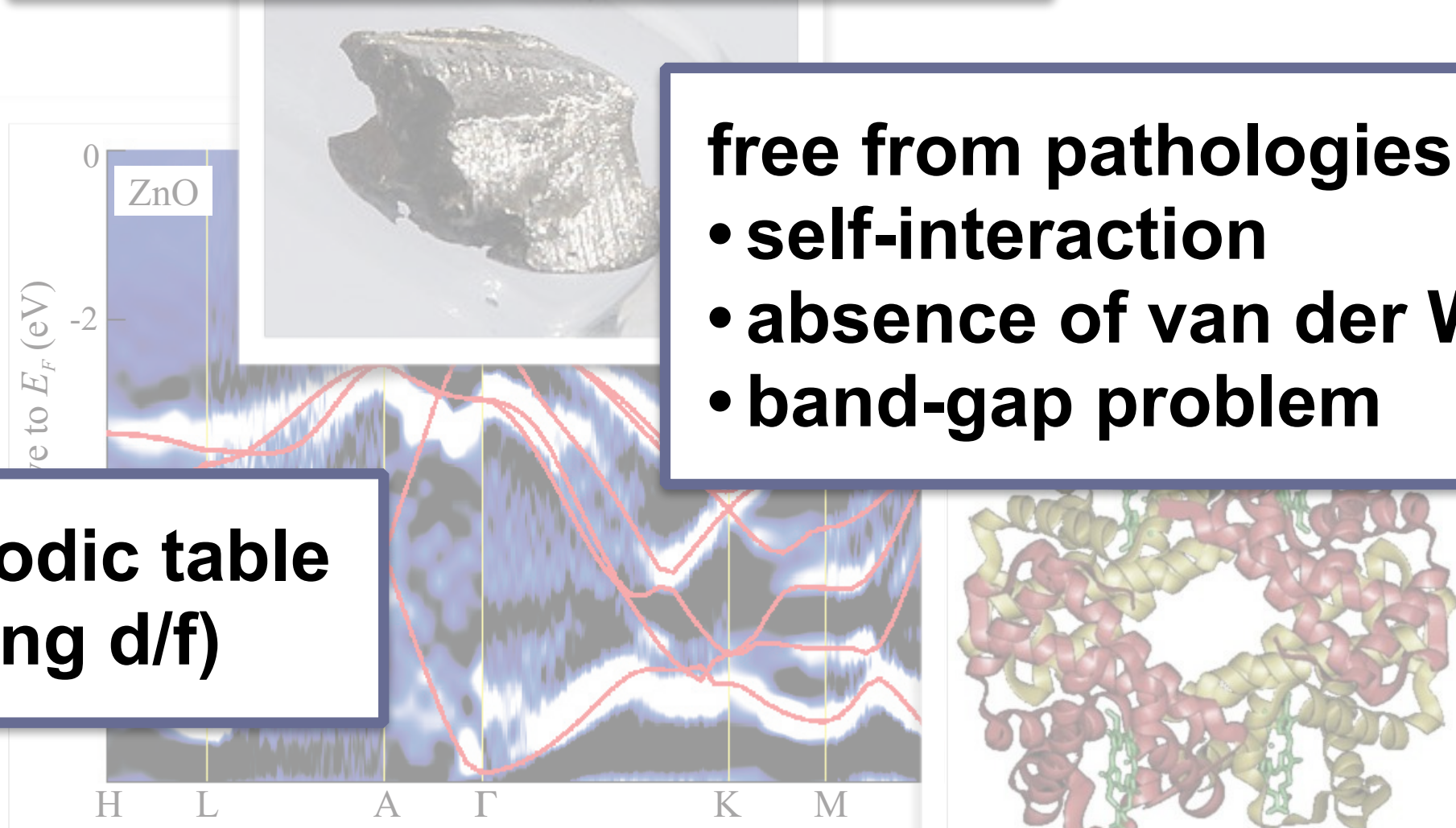
whole periodic table  
(including d/f)

ground+excited states

gradients+structure relaxation

free from pathologies, e.g.

- self-interaction
- absence of van der Waals
- band-gap problem



# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

efficient

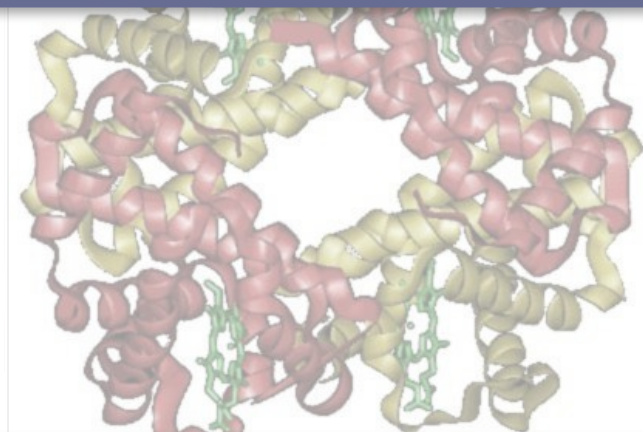
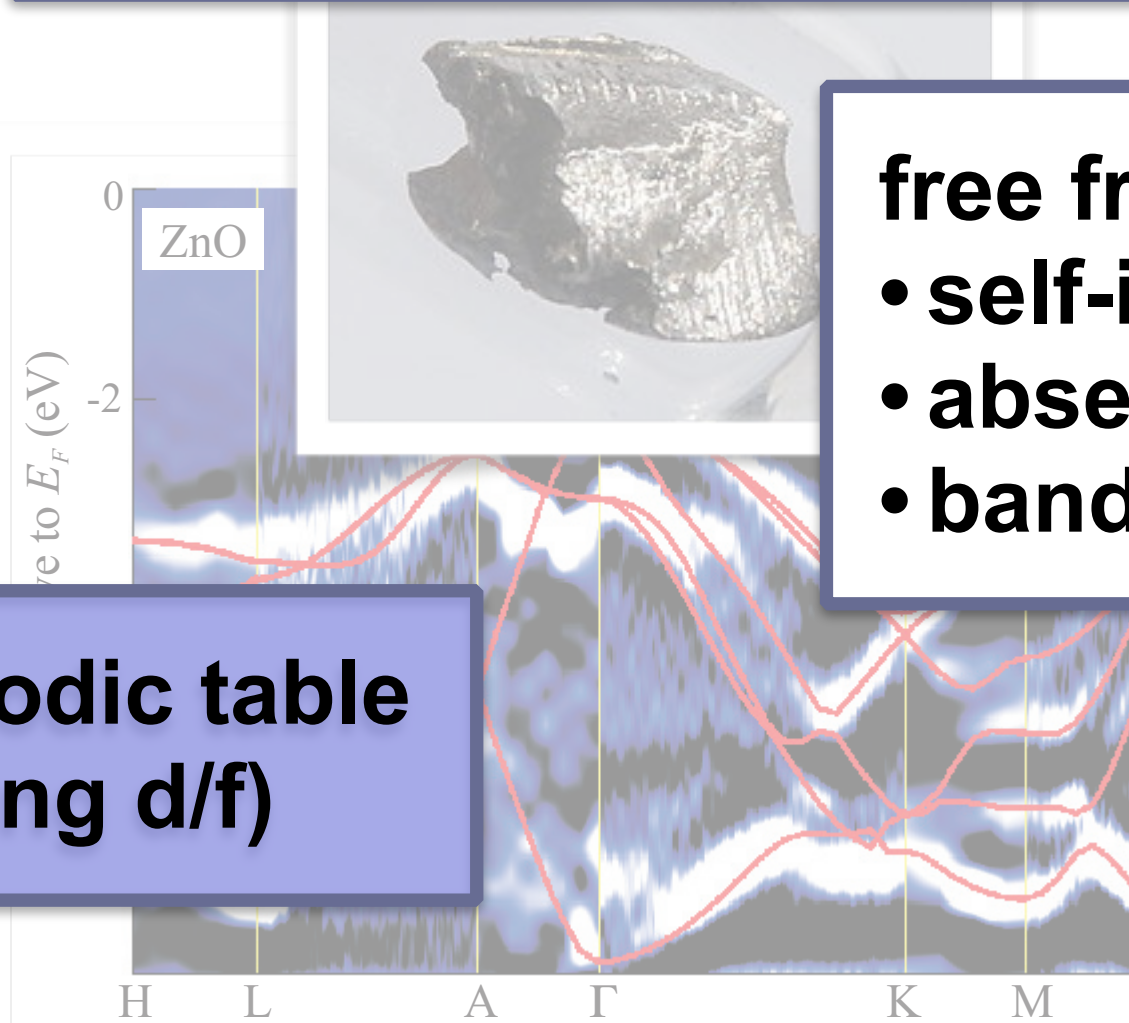
whole periodic table  
(including d/f)

ground+excited states

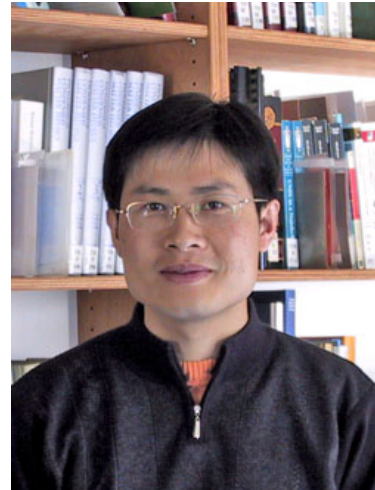
gradients+structure relaxation

free from pathologies, e.g.

- self-interaction
- absence of van der Waals
- band-gap problem



# RPA applied to the f-electron metal Cerium



**Marco Casadei**  
*formerly UC Louvain  
La Neuve, Belgium*

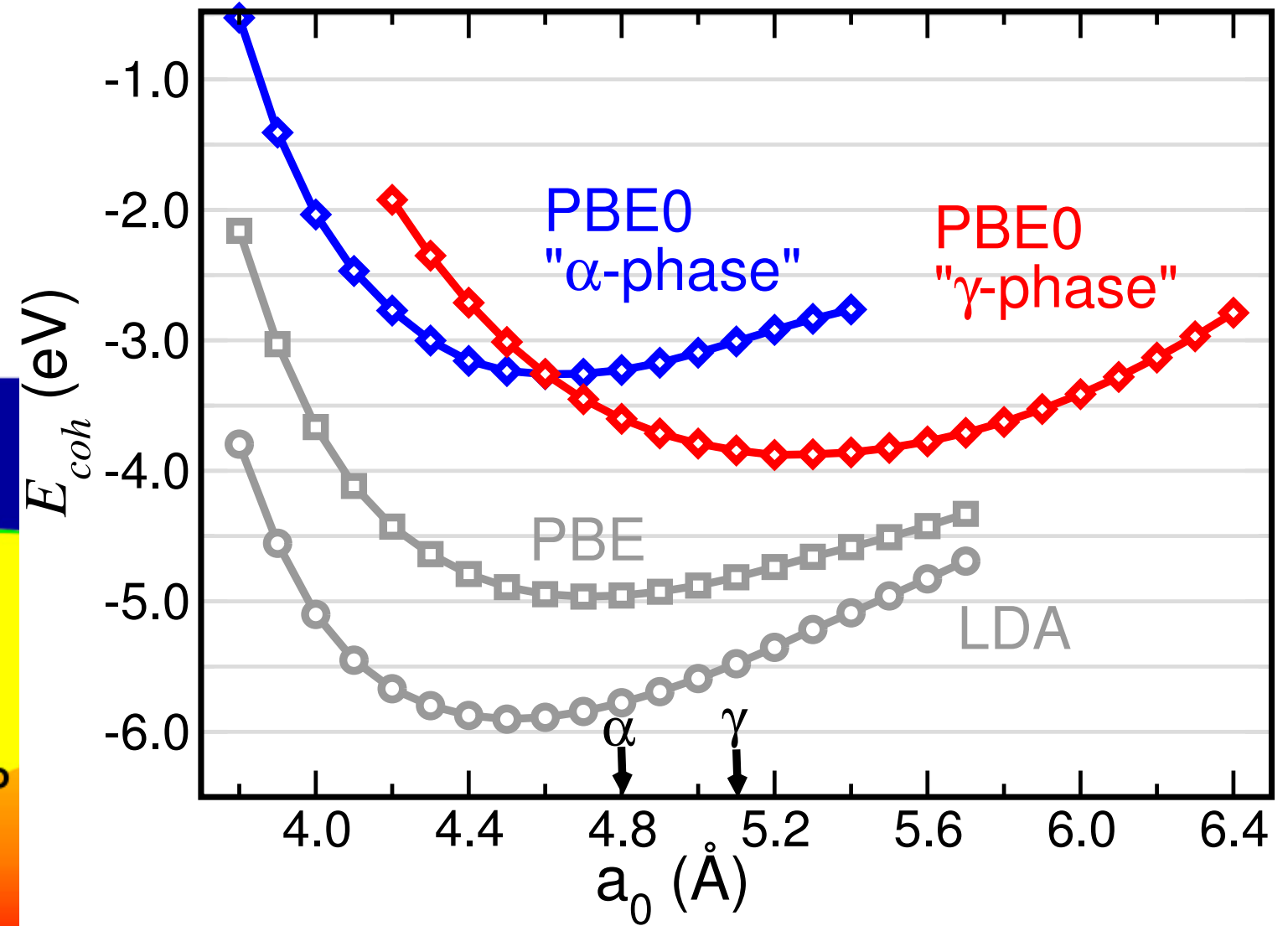
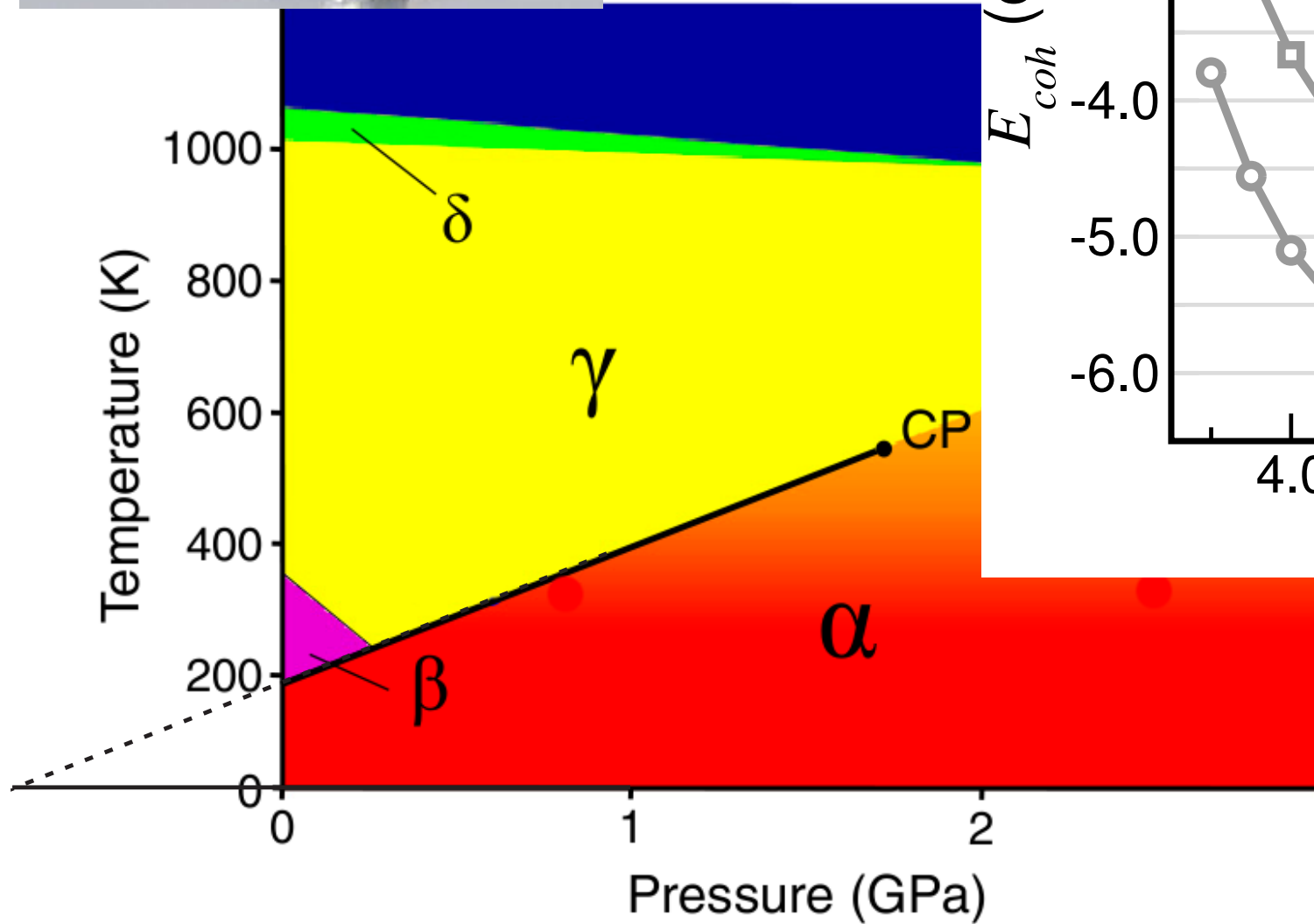
**Xinguo Ren**  
*Hefei University of  
Technology, China*

**Matthias Scheffler**  
*FHI, Berlin*

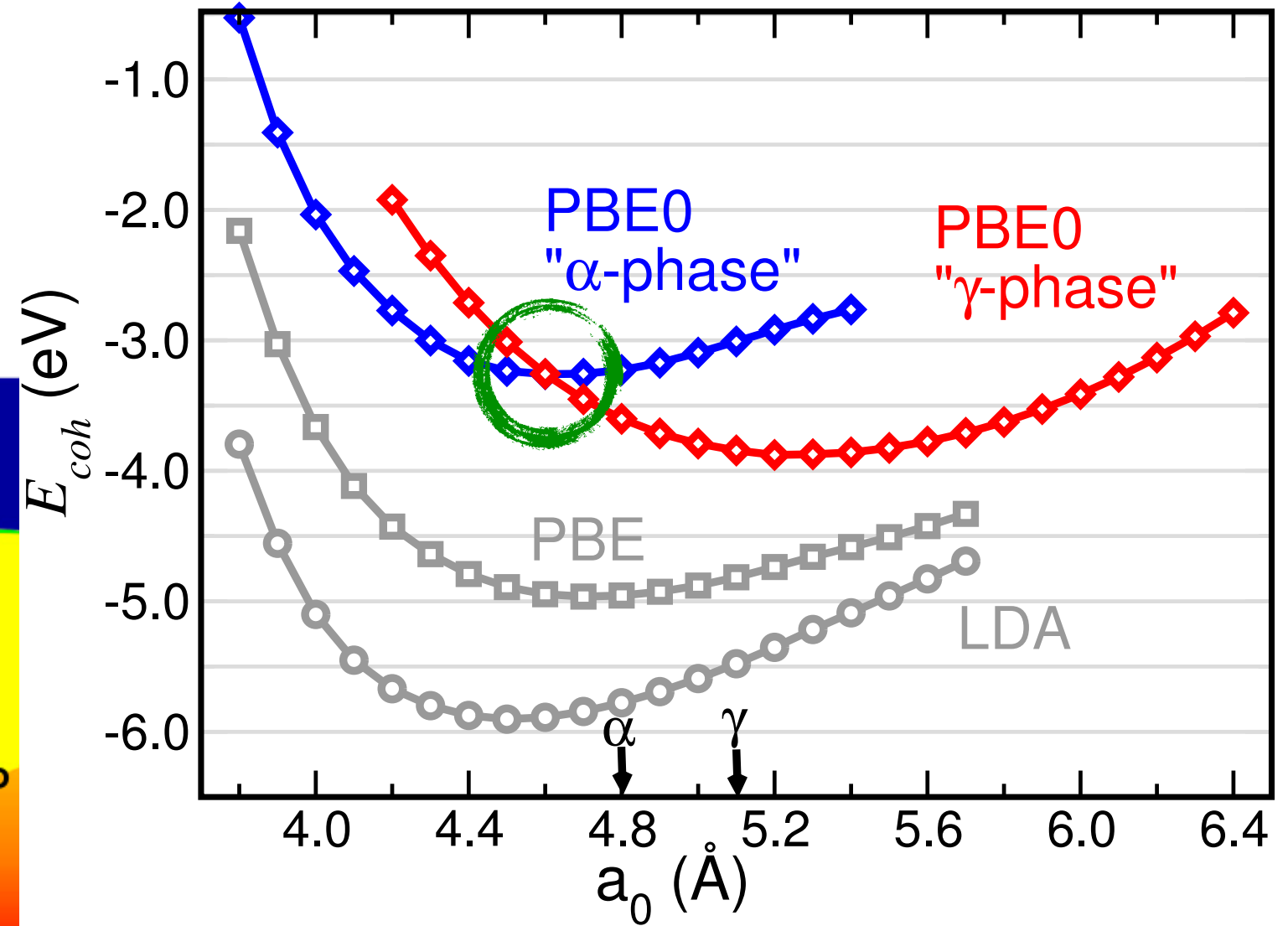
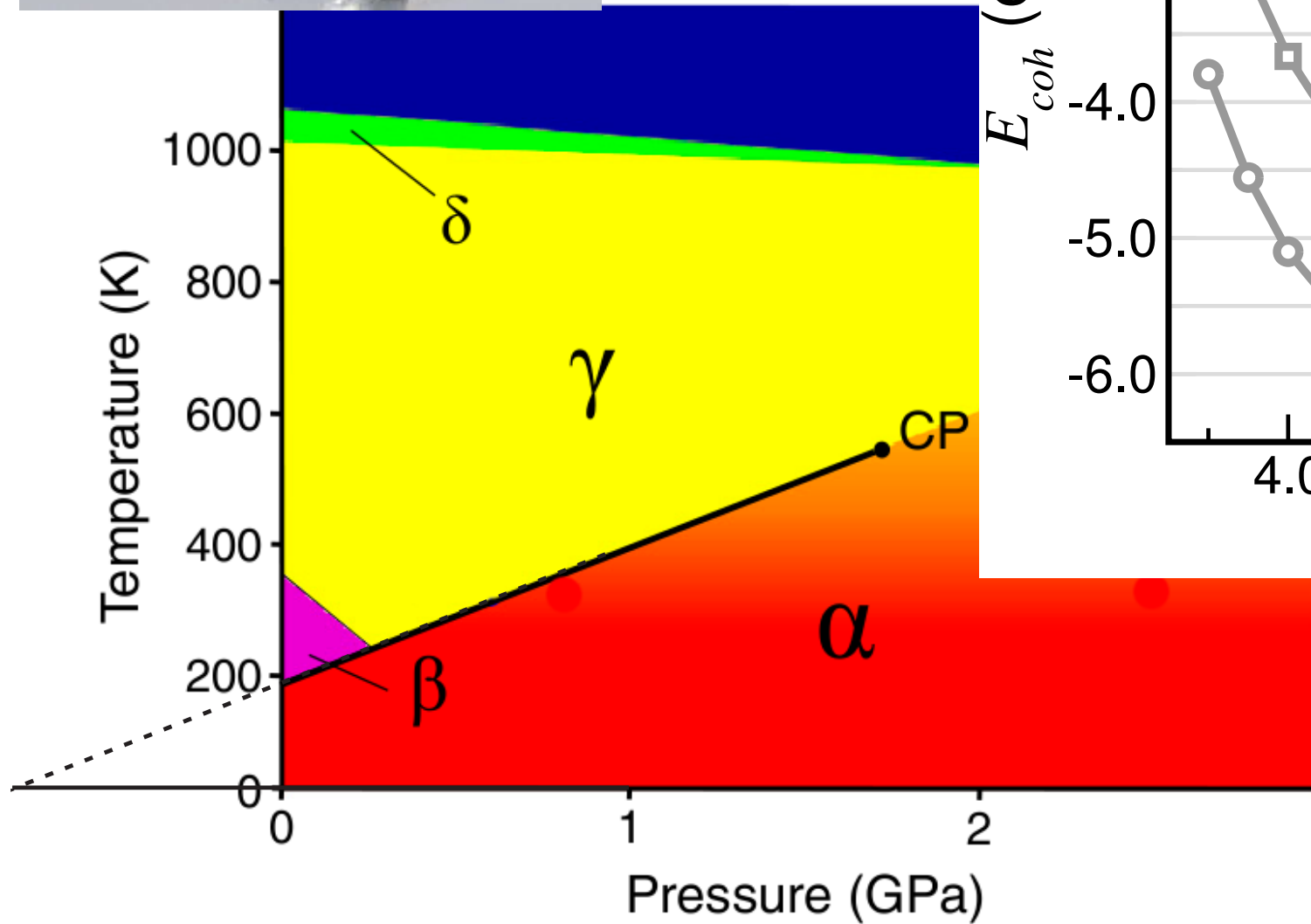
**Angel Rubio**  
*MPSD, Hamburg*



# The f-electron metal Cerium

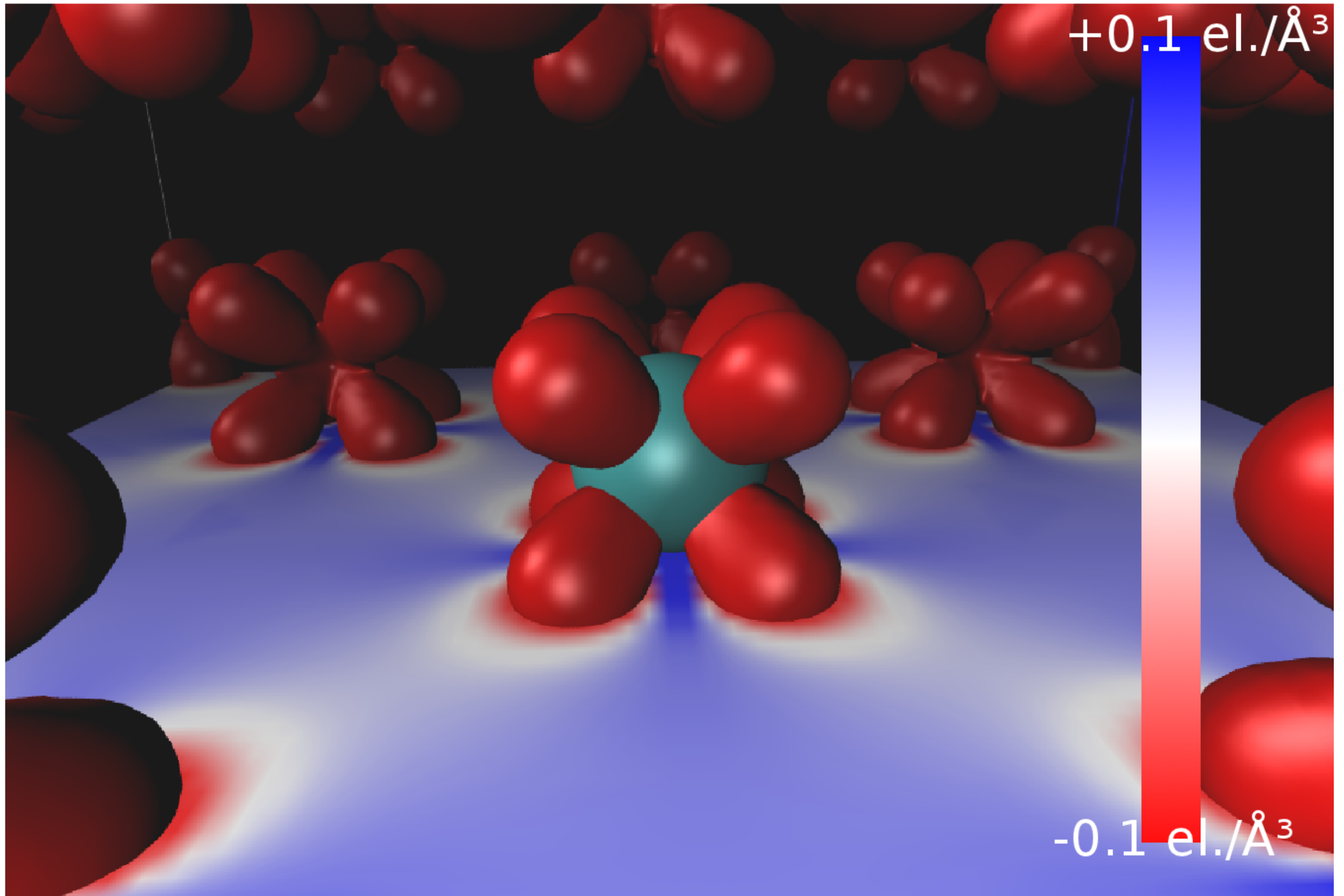


# The f-electron metal Cerium



# Cerium - density difference in PBE0

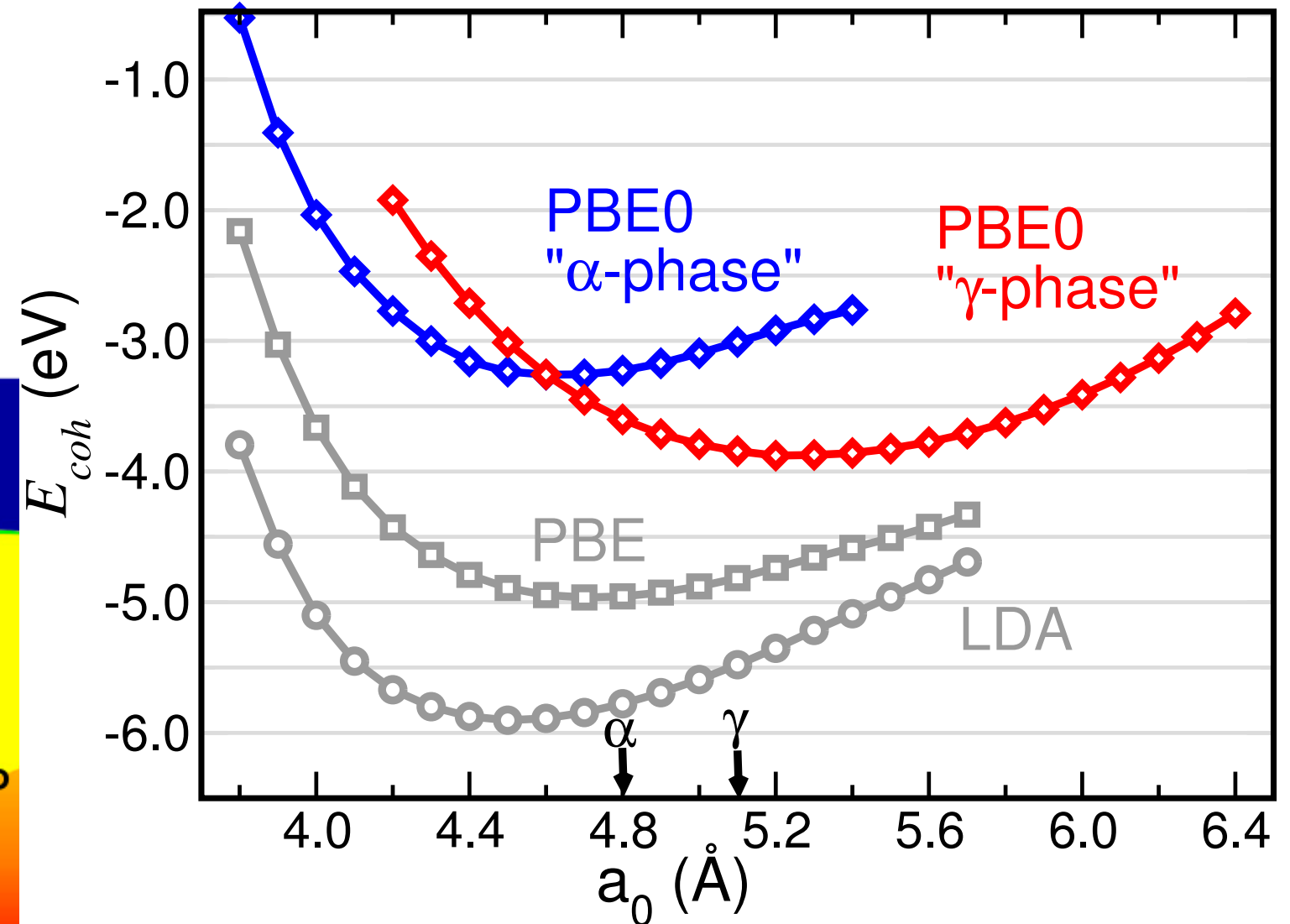
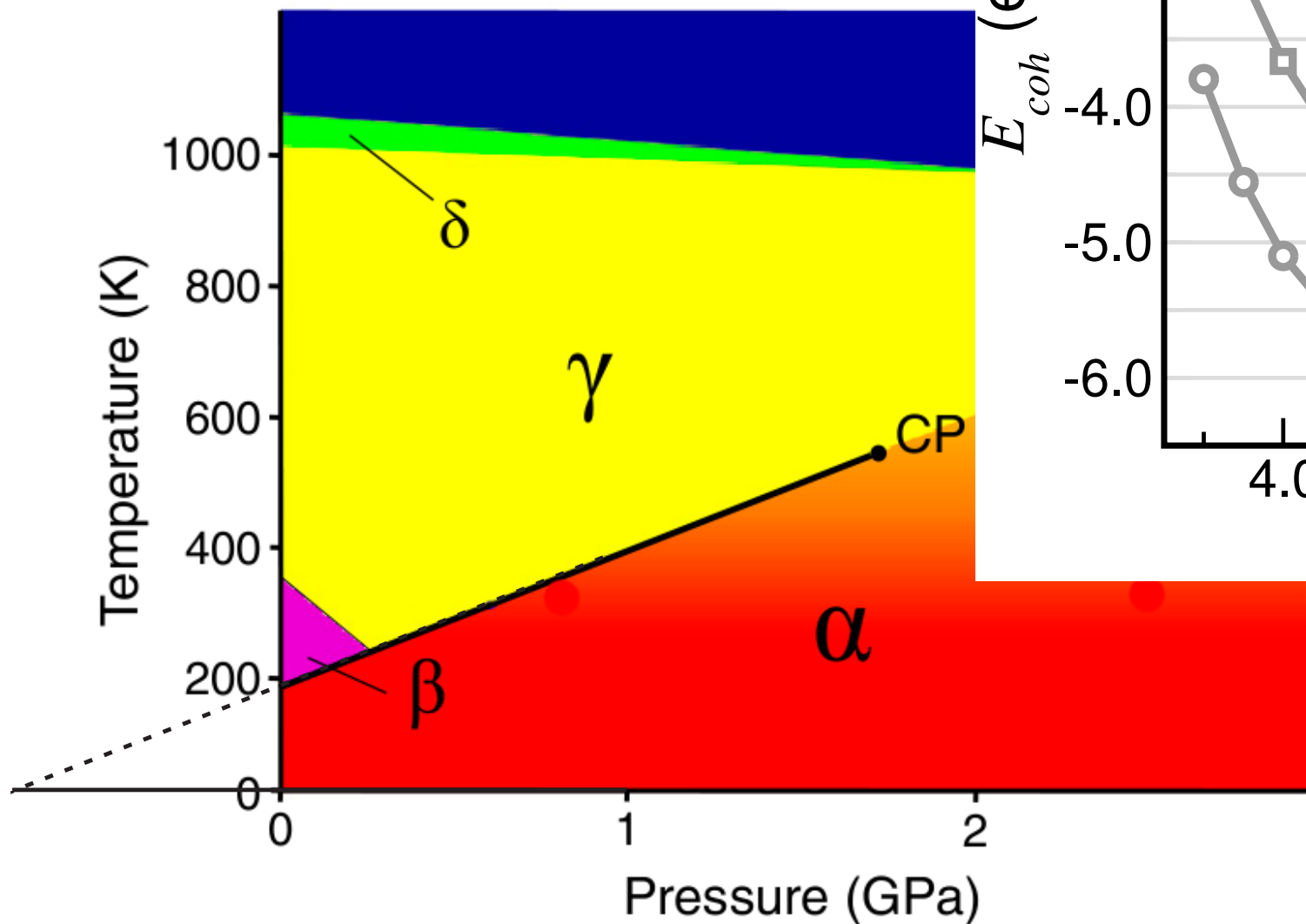
$$n(\alpha) - n(\gamma) \text{ at } a=4.6\text{\AA}$$





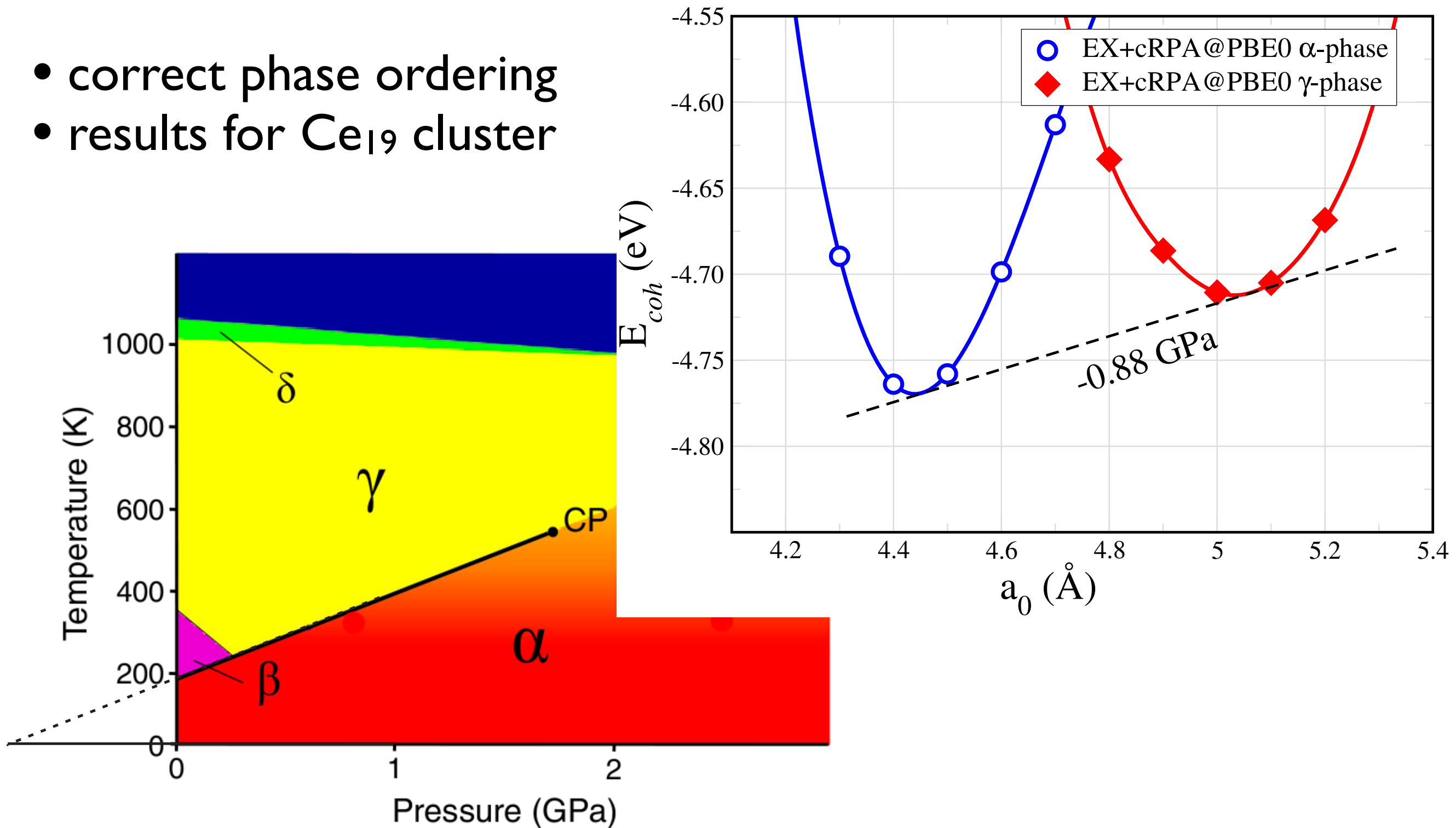
# The f-electron metal Cerium

- wrong phase ordering



# RPA applied to the f-electron metal Cerium

- correct phase ordering
- results for  $\text{Ce}_{19}$  cluster



# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

efficient

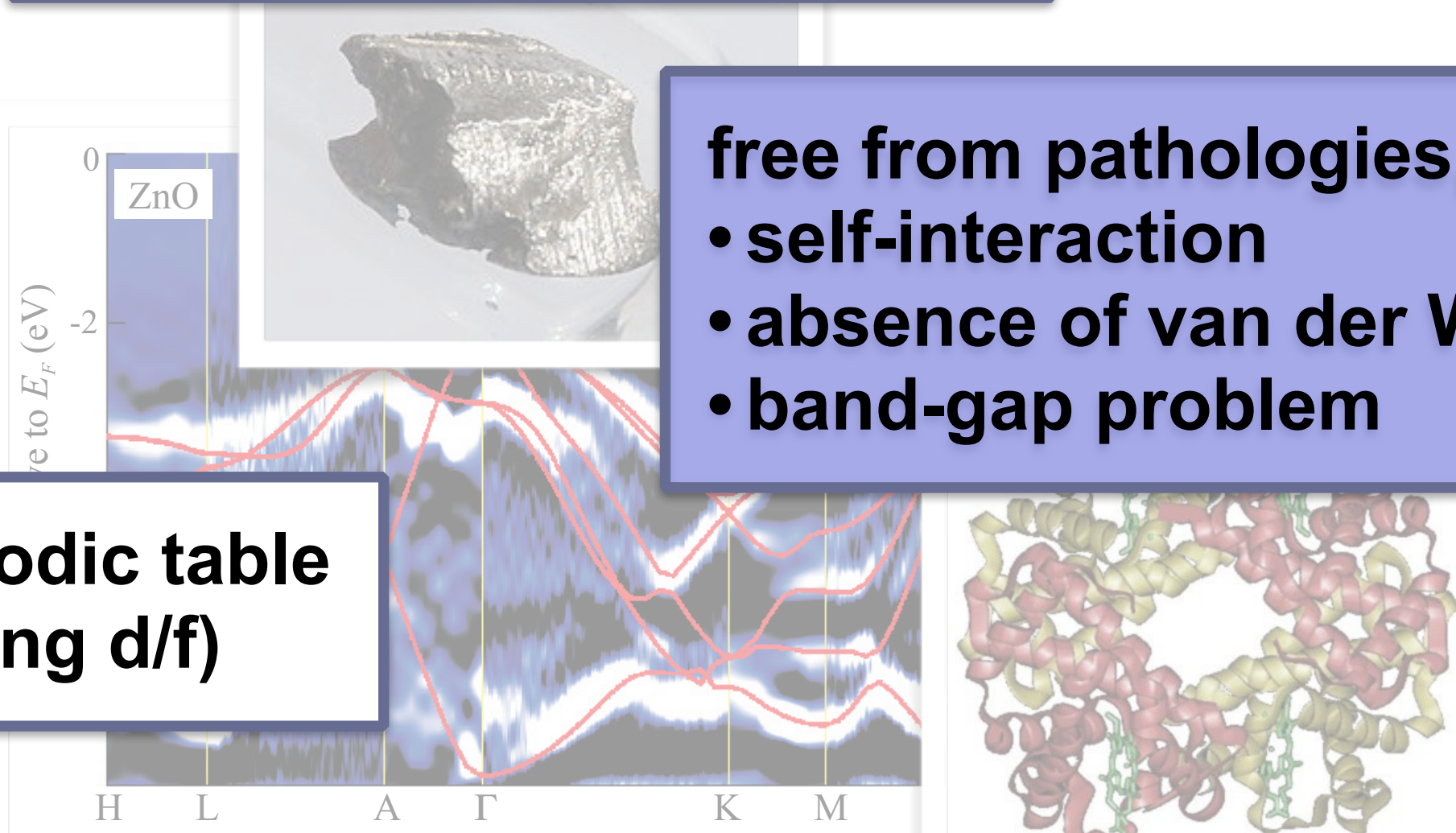
whole periodic table  
(including d/f)

ground+excited states

gradients+structure relaxation

free from pathologies, e.g.

- self-interaction
- absence of van der Waals
- band-gap problem





# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

efficient

whole period  
(including

Today  
Density-functional theory  
vs  
Green's function theory

ologies, e.g.  
on  
an der Waals  
blem

ground+excited states

gradients+structure relaxation

# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

ef

new  
functional

whole period  
(including

Today

Density-functional theory  
VS  
Green's function theory

ologies, e.g.

RPA

aals

*GW*

ground+excited states

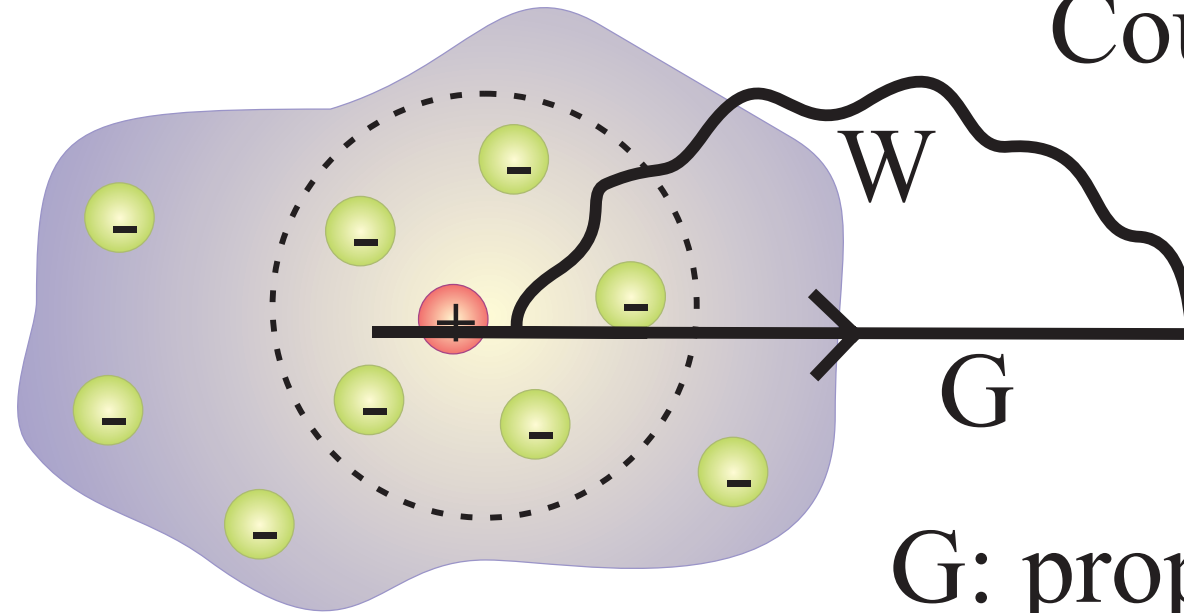
gradients+structure relaxation



# Theoretical spectroscopy - GW approximation

$$\Sigma = iGW$$

W: screened  
Coulomb



G: propagator

self-energy:

$$\Sigma^{GW}(\mathbf{r}, \mathbf{r}', \omega) = -\frac{i}{2\pi} \int d\omega' e^{i\omega'\eta} G(\mathbf{r}, \mathbf{r}', \omega + \omega') W(\mathbf{r}, \mathbf{r}', \omega')$$

- $G_0W_0$ : correction to eigenvalues from density-functional theory (DFT):

$$\epsilon_{n\mathbf{k}}^{qp} = \epsilon_{n\mathbf{k}}^{\text{DFT}} + \Sigma_{n\mathbf{k}}^{G_0W_0}(\epsilon_{n\mathbf{k}}^{qp}) - v_{n\mathbf{k}}^{xc}$$



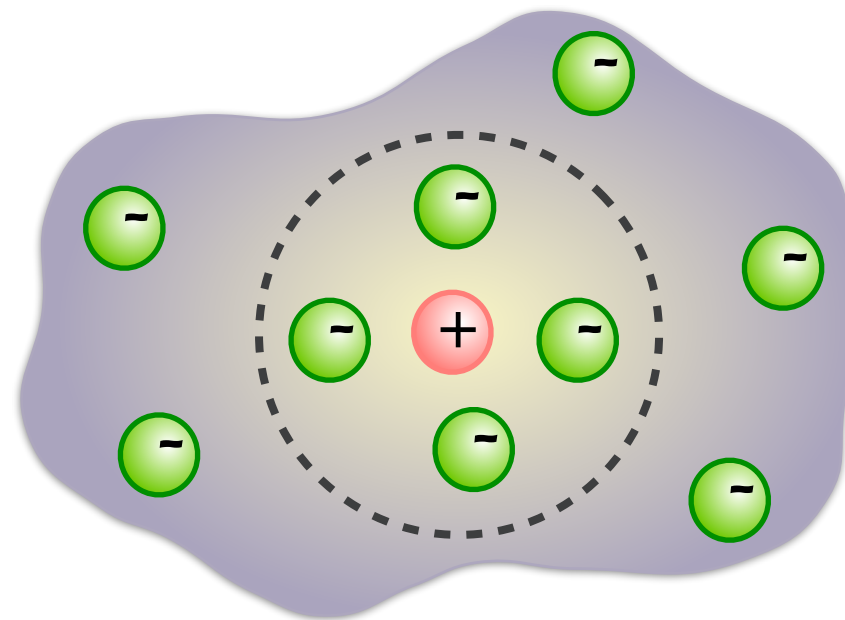
# Return to the GW self-energy

$$\Sigma_{xc}^{GW} = \text{[diagram 1]} + \text{[diagram 2]} + \text{[diagram 3]} + \dots$$

$\Sigma_x^{GW}$                        $\Sigma_c^{GW}$

**exact-exchange part  
(self-interaction-free)**

**correlation part  
(screening (includes  
van der Waals))**



# Correlation part of the GW self/total energy

$$\Sigma_c^{GW} = \text{[Diagram 1]} + \text{[Diagram 2]} + \dots$$

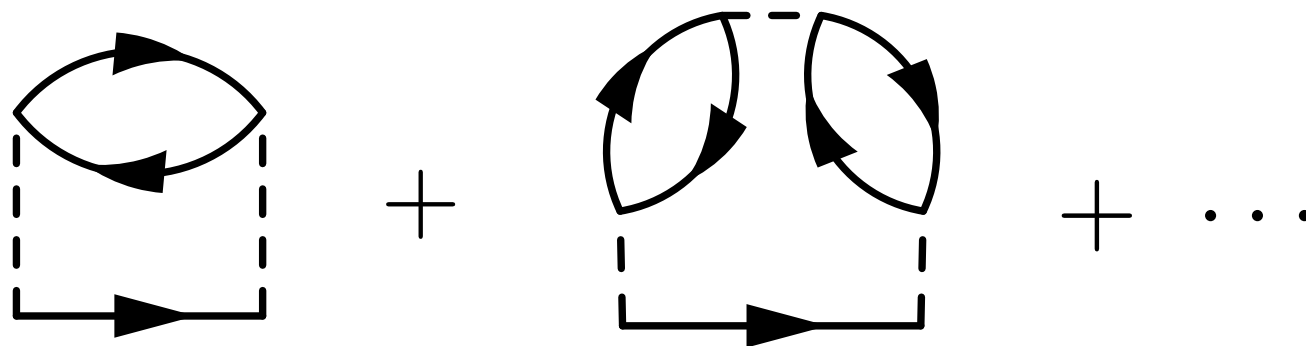
The first diagram shows a dashed rectangle with a solid line at the bottom and a solid line at the top. The top line has a loop with two arrows pointing right. The bottom line has an arrow pointing right. The second diagram shows a dashed rectangle with a solid line at the bottom and a dashed line at the top. The top dashed line has two loops, each with two arrows pointing right. The bottom solid line has an arrow pointing right.

$$E_c^{GW} = -\frac{1}{4} \text{[Diagram 3]} - \frac{1}{6} \text{[Diagram 4]} + \dots$$

The third diagram shows a dashed rectangle with two solid lines, one at the top and one at the bottom. Each line has a loop with two arrows pointing right. The fourth diagram shows a dashed rectangle with a dashed line at the top and a solid line at the bottom. The top dashed line has two loops, each with two arrows pointing right. The bottom solid line has a loop with two arrows pointing right.

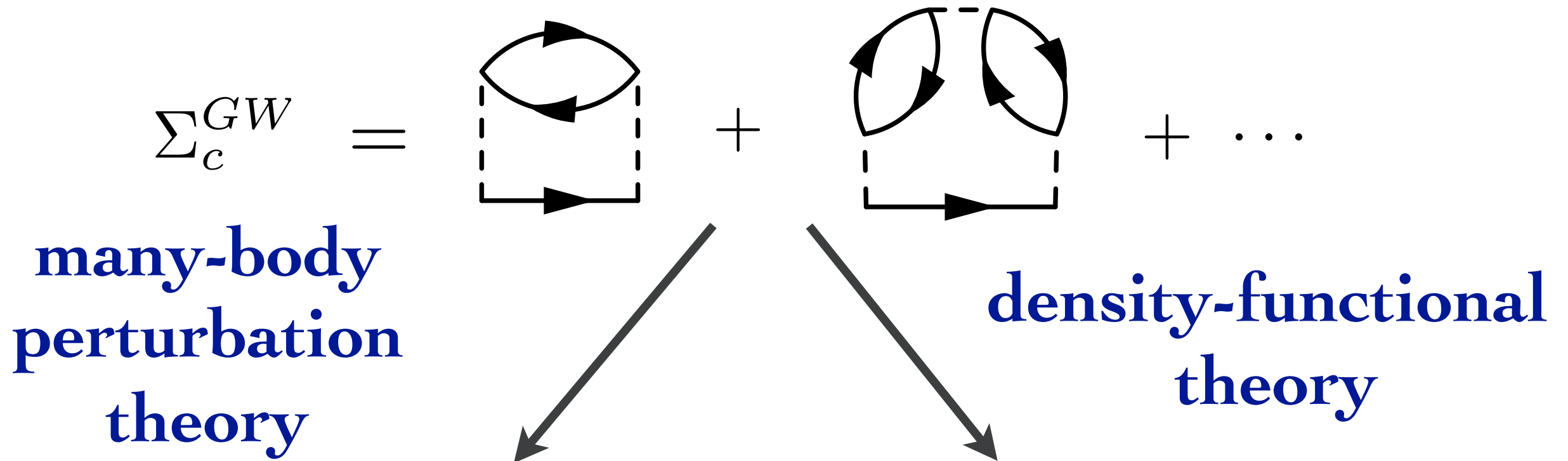
$$E_c^{GW}[G] = \int_0^\infty \frac{d\omega}{2\pi} \text{Tr}(\Sigma_c^{GW}(i\omega)G(i\omega))$$

# Question?

$$\Sigma_c^{GW} = \text{[diagram 1]} + \text{[diagram 2]} + \dots$$
The equation shows the GW self-energy  $\Sigma_c^{GW}$  as a sum of diagrams. The first diagram is a dashed rectangle with a solid line at the bottom and a solid line at the top. The top line has a loop with two arrows pointing clockwise. The second diagram is a dashed rectangle with a solid line at the bottom and a solid line at the top. The top line has two loops, each with two arrows pointing clockwise. The third diagram is an ellipsis.

**Does a given correlation energy approximation uniquely define the total energy?**

# GW versus RPA



Dyson's equation:

$$G^{-1} = G_0^{-1} - \Sigma$$

**GW**

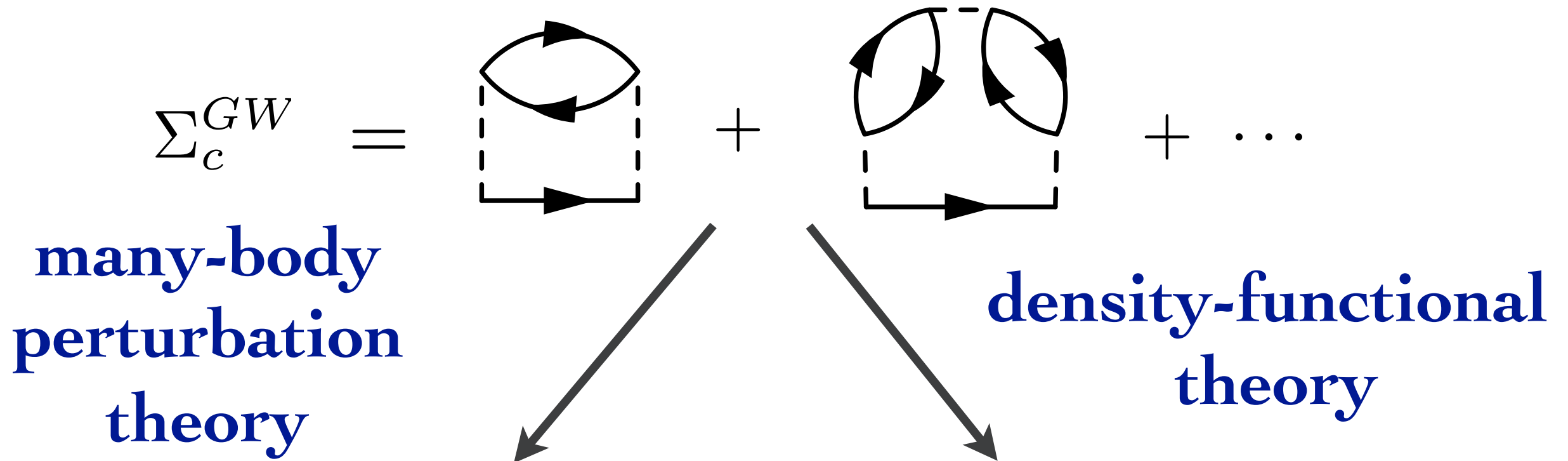
Optimized eff. potential:

$$G_0 [\Sigma - v_{xc}^{\text{OEP}}] G_0 = 0$$

**Random-Phase  
Approximation (RPA)**



# GW versus RPA



**total energy**  
e.g. Galitskii-Migdal

**adiabatic-connection  
fluctuation-dissipation  
theorem**

$$E_{xc} = E_{xc}[G]$$

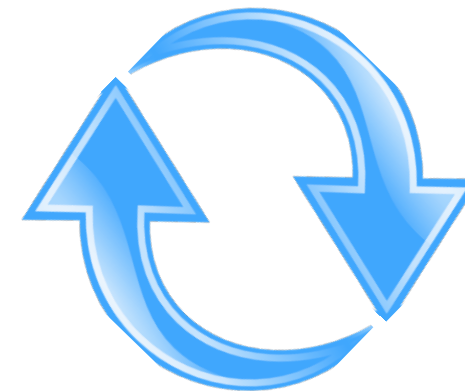
$$E_{xc} = E_{xc}[-iG_0G_0]$$

# GW versus RPA

$$\Sigma_c^{GW} = \text{[diagram 1]} + \text{[diagram 2]} + \dots$$



iterate to self-consistency



Dyson's equation:

$$G^{-1} = G_0^{-1} - \Sigma$$

scGW

Optimized eff. potential:

$$G_0 [\Sigma - v_{xc}^{OEP}] G_0 = 0$$

scRPA



Aalto University  
School of Science

F. Caruso, P. Rinke, et al, Phys. Rev. Lett. 110, 146403 (2013)

F. Caruso, P. Rinke et al. Phys. Rev. B 88, 075105 (2013)

M. Hellgren, Rohr, Gross, J. Phys. Chem. 136, 034106 (2012)

# GW versus RPA

implemented in FHI-aims [1]

- local atomic basis
- all-electron



Dyson's equation:

$$G^{-1} = G_0^{-1} - \Sigma$$

- Perturbative RPA in FHI-aims
- self-consistent RPA in [2]

*GW/scGW*

*RPA/scRPA*



Aalto University  
School of Science

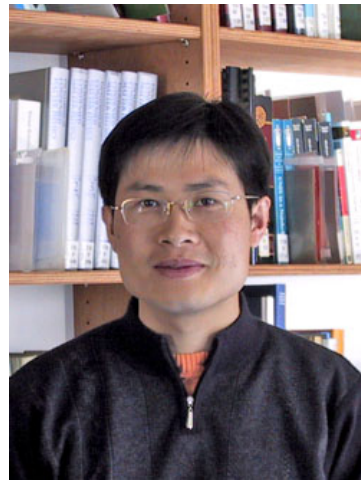
[1] Ren, Rinke, et al., New J. Phys. 14, 053020 (2012),  
Caruso, Rinke et al. Phys. Rev. B 86, 081102(R) (2012)

[2] Hellgren, Rohr, Gross, J. Phys. Chem. 136, 034106 (2012)

# GW versus RPA



**Fabio Caruso**  
*Humboldt University,  
Berlin*



**Xinguo Ren**  
*Hefei University of  
Technology, China*



**Maria Hellgren**  
*IMPMC, UPMC, Paris*



**Daniel Rohr**  
*Rosa Luxemburg Gymnasium*



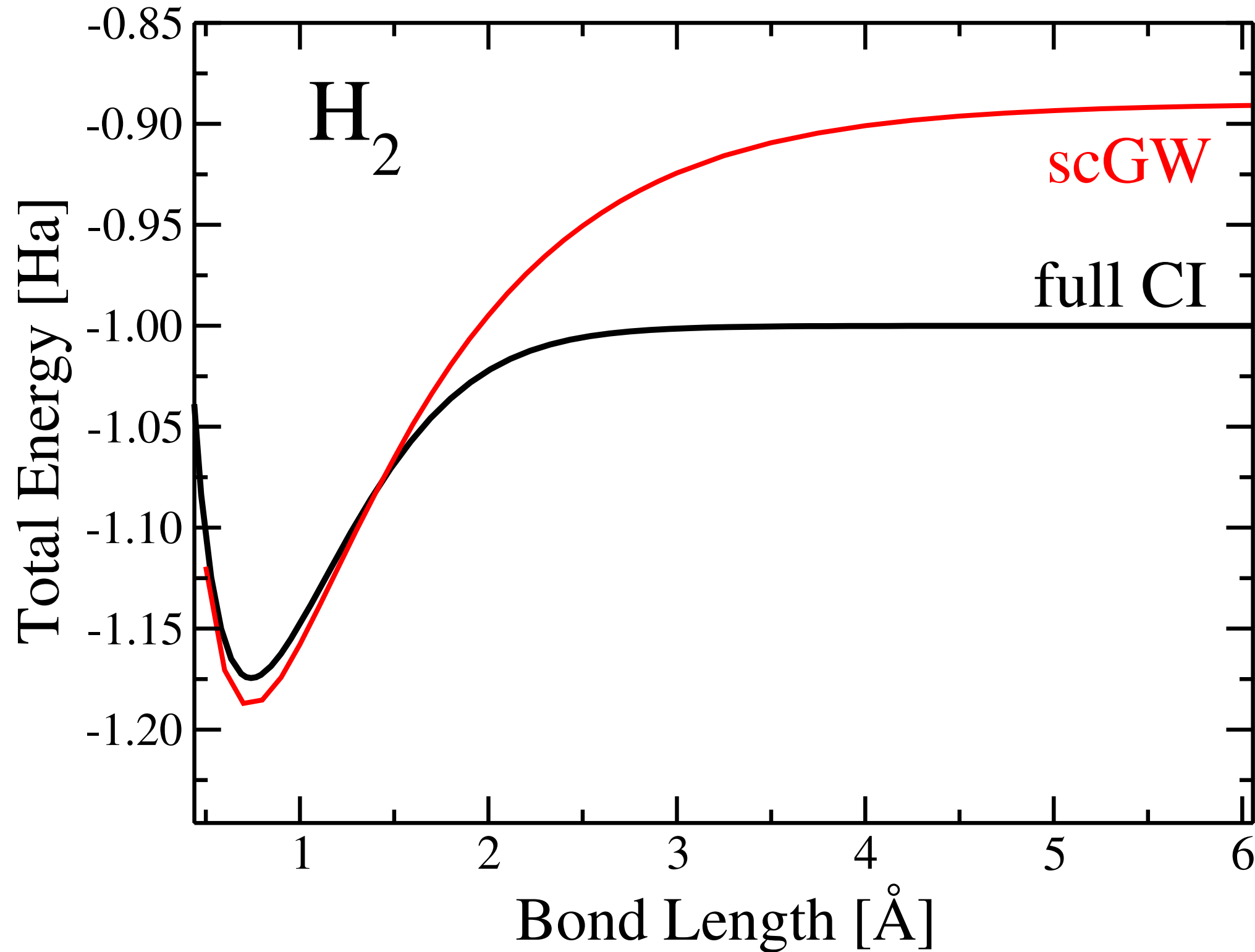
**Matthias Scheffler**  
*FHI, Berlin*



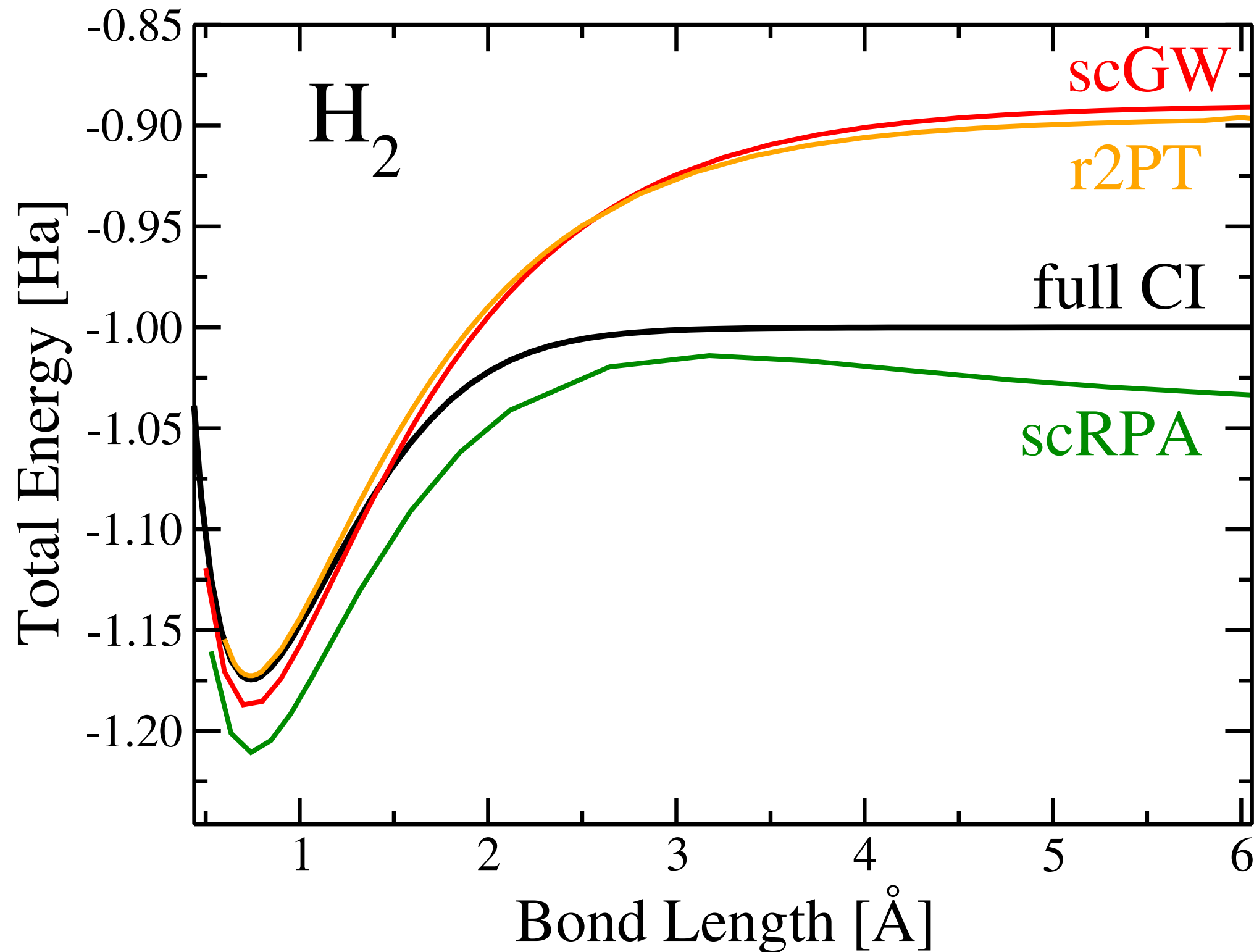
**Angel Rubio**  
*MPSD, Hamburg*



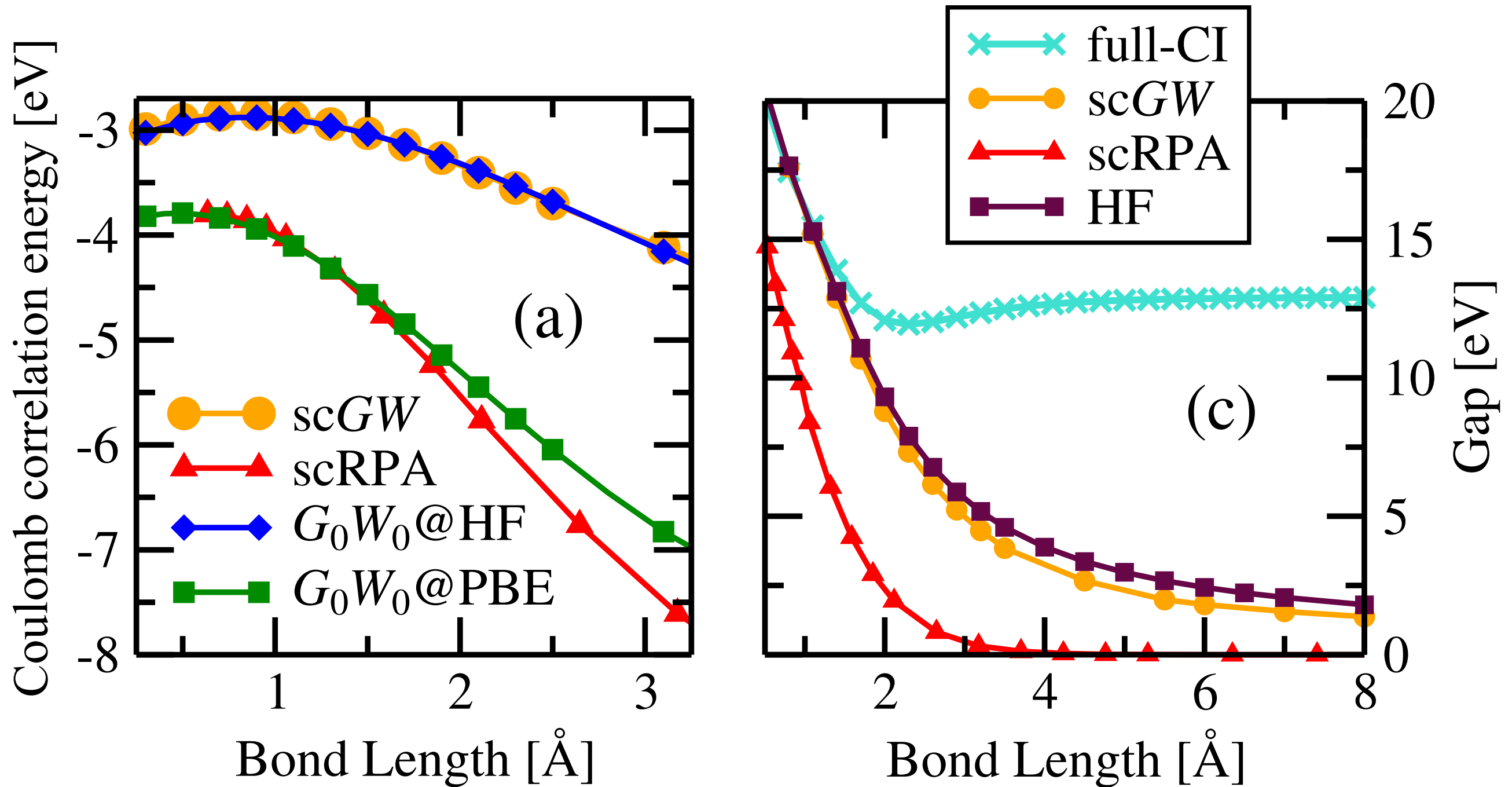
# Bond-making and bond-breaking - H<sub>2</sub>



# Bond-making and bond-breaking - H<sub>2</sub>



# Bond-making and bond-breaking - H<sub>2</sub>





# Kinetic energy analysis

total energy:

$$E^{GW}[G] = T[G] + E_{\text{ext}}[G] + E_{\text{H}}[G] + E_{\text{x}}[G] + E_{\text{c}}^{GW}[G]$$

$$E^{G_0W_0}[G_0] = T_s[G_0] + E_{\text{ext}}[G_0] + E_{\text{H}}[G_0] + E_{\text{x}}[G_0] + E_{\text{c}}^{GW}[G_0]$$

scGW includes interacting kinetic energy,  $G_0W_0$  does not.



# Kinetic energy analysis

total energy:

$$E^{GW}[G] = T[G] + E_{\text{ext}}[G] + E_{\text{H}}[G] + E_{\text{x}}[G] + E_{\text{c}}^{GW}[G]$$

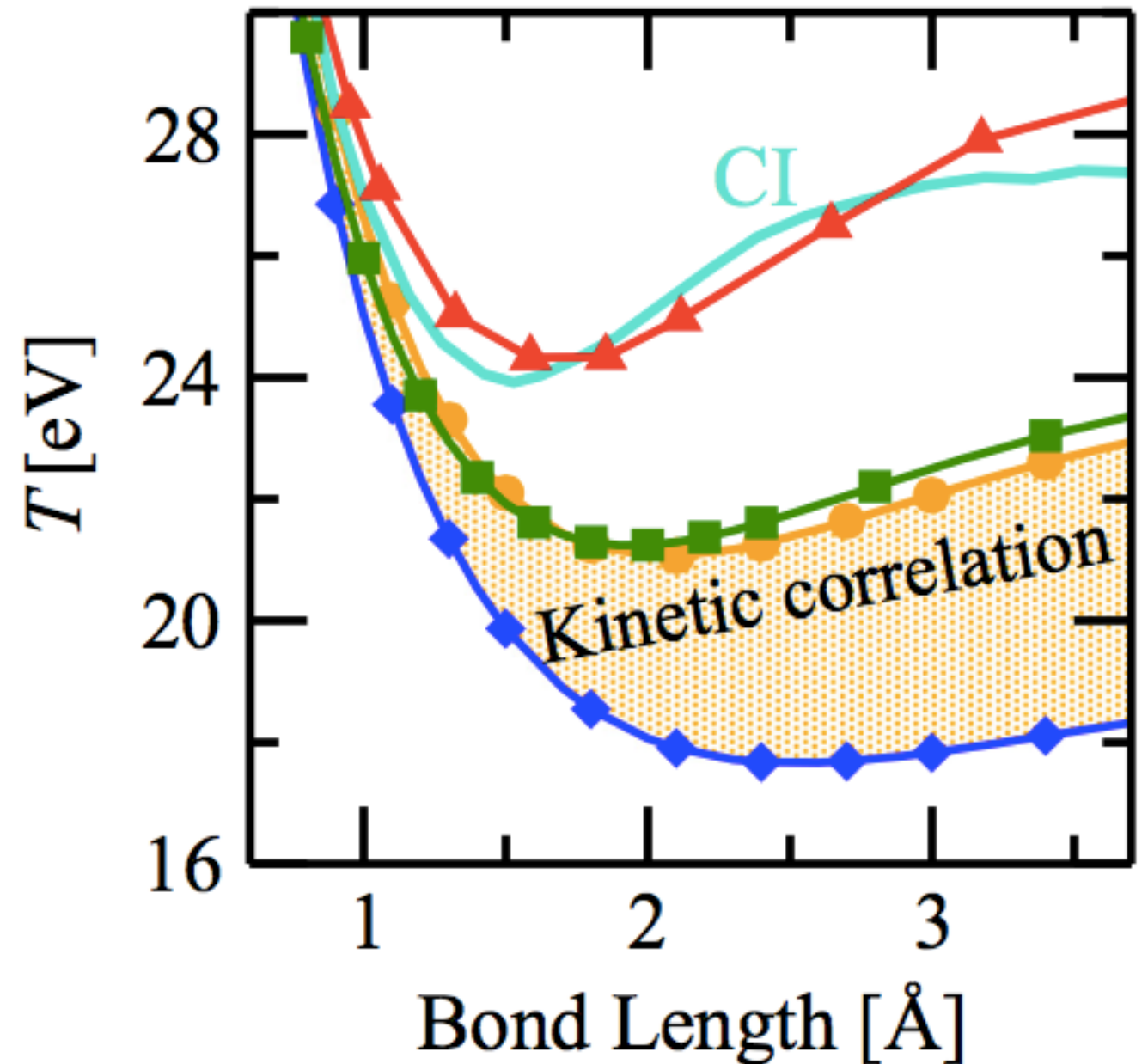
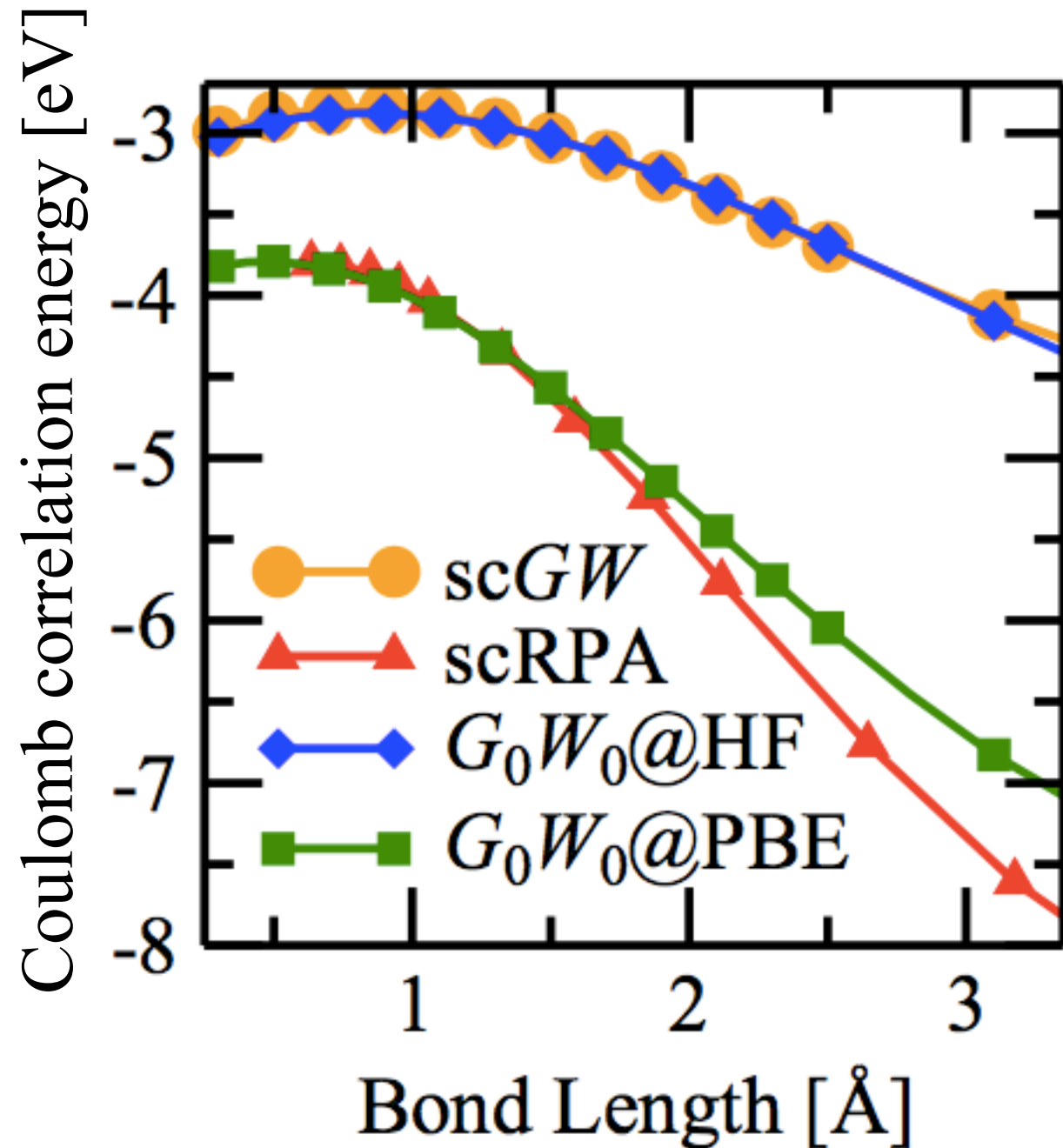
$$E^{G_0W_0}[G_0] = T_s[G_0] + E_{\text{ext}}[G_0] + E_{\text{H}}[G_0] + E_{\text{x}}[G_0] + E_{\text{c}}^{GW}[G_0]$$

$$E^{\text{RPA}}[G_0] = T_s[G_0] + E_{\text{ext}}[G_0] + E_{\text{H}}[G_0] + E_{\text{x}}[G_0] + E_{\text{c}}^{\text{RPA}}[G_0] + T_c^{\text{RPA}}[G_0]$$

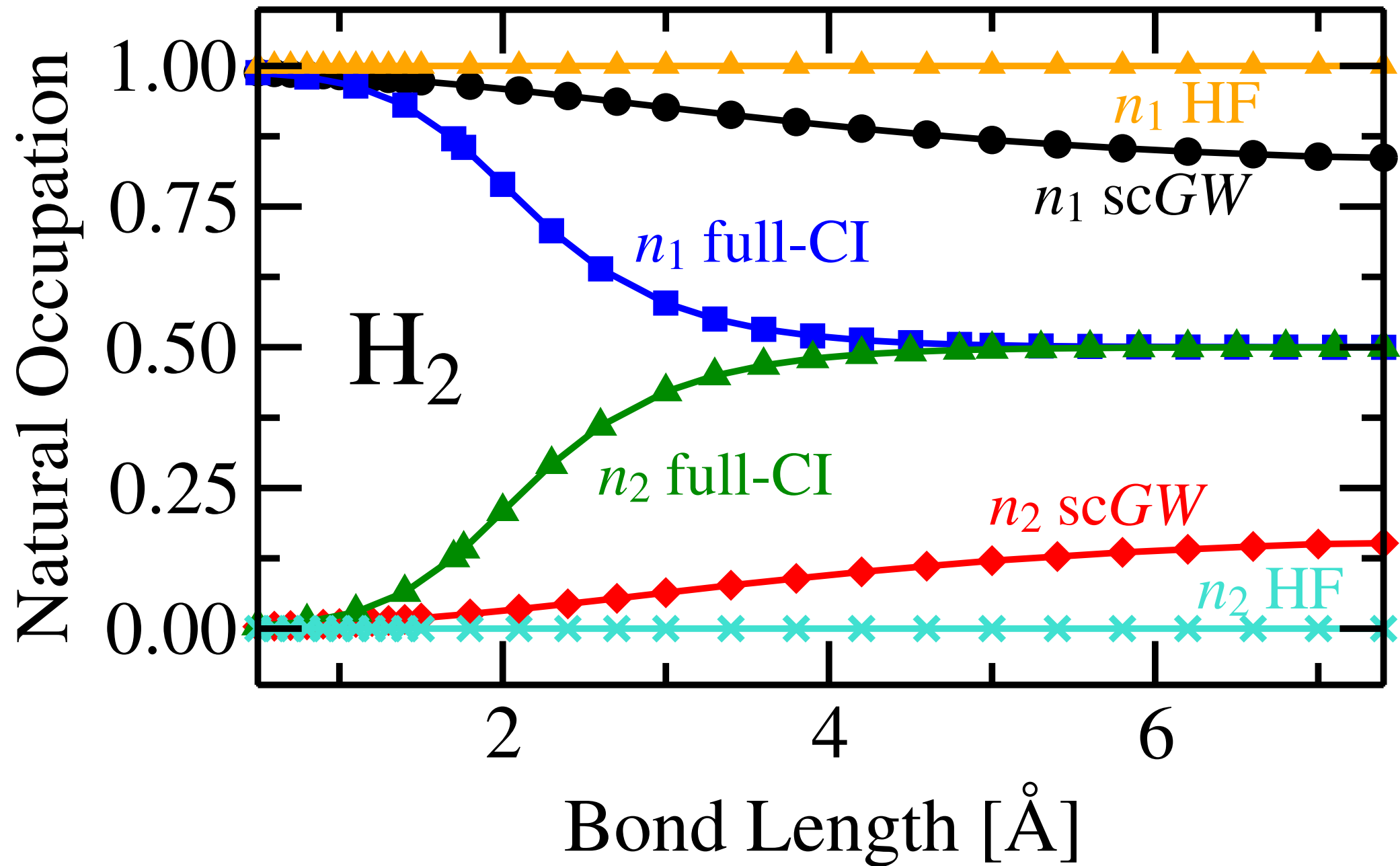
**Kinetic correlation energy is included in RPA by means of adiabatic connection.**



# Bond-making and bond-breaking - H<sub>2</sub>

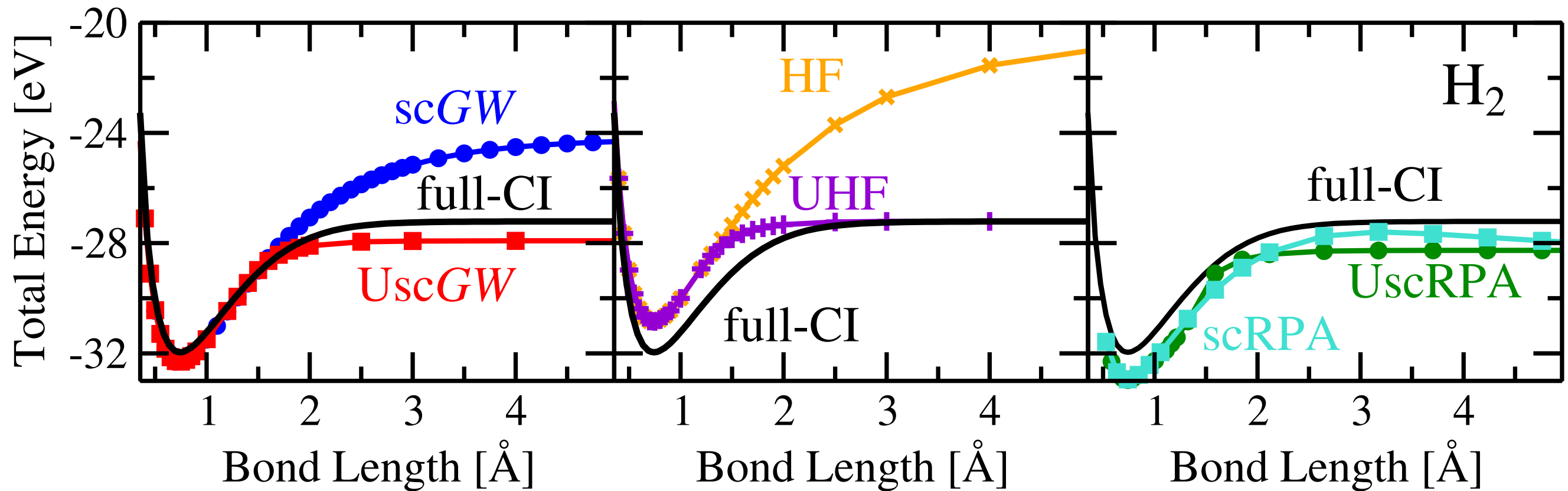


# Natural orbital occupations - H<sub>2</sub>

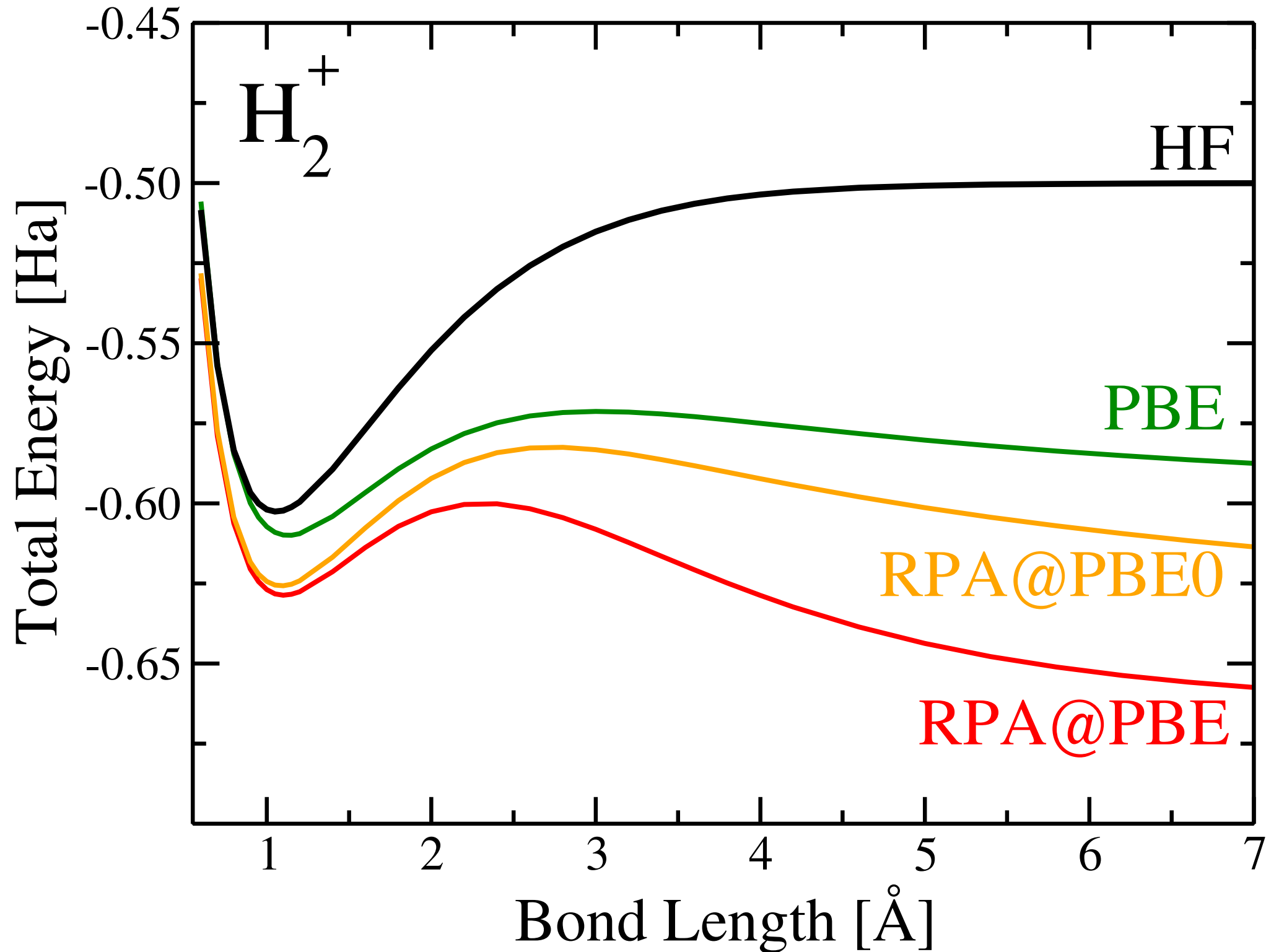




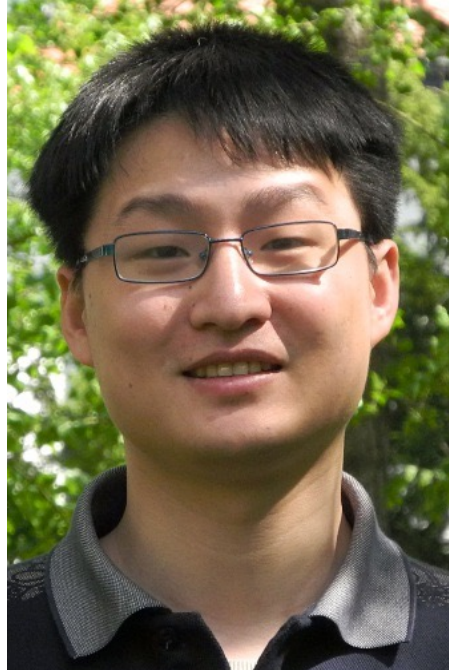
# Unrestricted calculations - H<sub>2</sub>



# H<sub>2</sub><sup>+</sup> is the real problem



# Correlations from the Bethe-Goldstone equation



**Igor Zhang**

*Fritz-Haber-Institut  
Berlin*



**Matthias Scheffler**

*Fritz-Haber-Institut  
Berlin*




**John Perdew**

*Temple University  
Philadelphia*

# Bethe-Goldstone equation (exact for 2 electrons)

$$\Psi_{ab}(\lambda) = \Phi_{ab} - \sum_{r < s}^{vir} \frac{\Phi_{rs}}{\Delta\epsilon_{ab}^{rs} - e_{ab}(\lambda)} \langle \Phi_{rs} | \lambda \hat{V}_{ee} | \Psi_{ab} \rangle$$


$$\Delta\epsilon_{ab}^{rs} = \epsilon_r + \epsilon_s - \epsilon_a - \epsilon_b$$

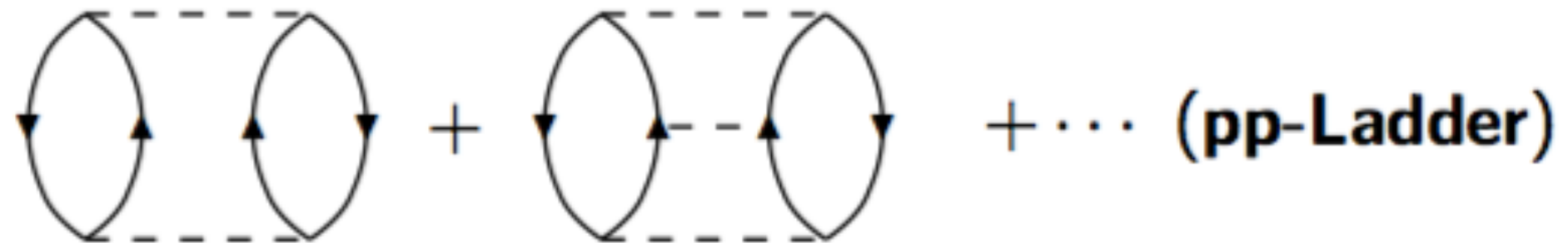
$$e_{ab}(\lambda) = -\lambda^2 \sum_{r < s}^{vir} \frac{\langle \Phi_{ab} | \hat{V}_{ee} | \Phi_{rs} \rangle \langle \Phi_{rs} | \hat{V}_{ee} | \Psi_{ab} \rangle}{\Delta\epsilon_{ab}^{rs} - e_{ab}(\lambda)}$$





# Bethe-Goldstone equation (exact for 2 electrons)

$$\Psi_{ab}(\lambda) = \Phi_{ab} - \sum_{r < s}^{vir} \frac{\Phi_{rs}}{\Delta\epsilon_{ab}^{rs} - e_{ab}(\lambda)} \langle \Phi_{rs} | \lambda \hat{V}_{ee} | \Psi_{ab} \rangle$$



$$e_{ab}(\lambda) = -\lambda^2 \sum_{r < s}^{vir} \frac{\langle \Phi_{ab} | \hat{V}_{ee} | \Phi_{rs} \rangle \langle \Phi_{rs} | \hat{V}_{ee} | \Psi_{ab} \rangle}{\Delta\epsilon_{ab}^{rs} - e_{ab}(\lambda)}$$



# 2<sup>nd</sup> order Bethe-Goldstone equation (BGE2)

$$\Psi_{ab}(\lambda) = \Phi_{ab} - \sum_{r < s}^{vir} \frac{\Phi_{rs}}{\Delta\epsilon_{ab}^{rs} - e_{ab}(\lambda)} \langle \Phi_{rs} | \lambda \hat{V}_{ee} | \Psi_{ab} \rangle$$
$$\approx \Phi_{ab} - \sum_{r < s}^{vir} \frac{\Phi_{rs}}{\Delta\epsilon_{ab}^{rs} - e_{ab}(\lambda)} \langle \Phi_{rs} | \lambda \hat{V}_{ee} | \Phi_{ab} \rangle$$

but! retain coupling

# 2<sup>nd</sup> order Bethe-Goldstone equation (BGE2)

$$E_c^{\text{BGE2}} = \sum_{a < b}^{\text{occ}} e_{ab}(\lambda)$$

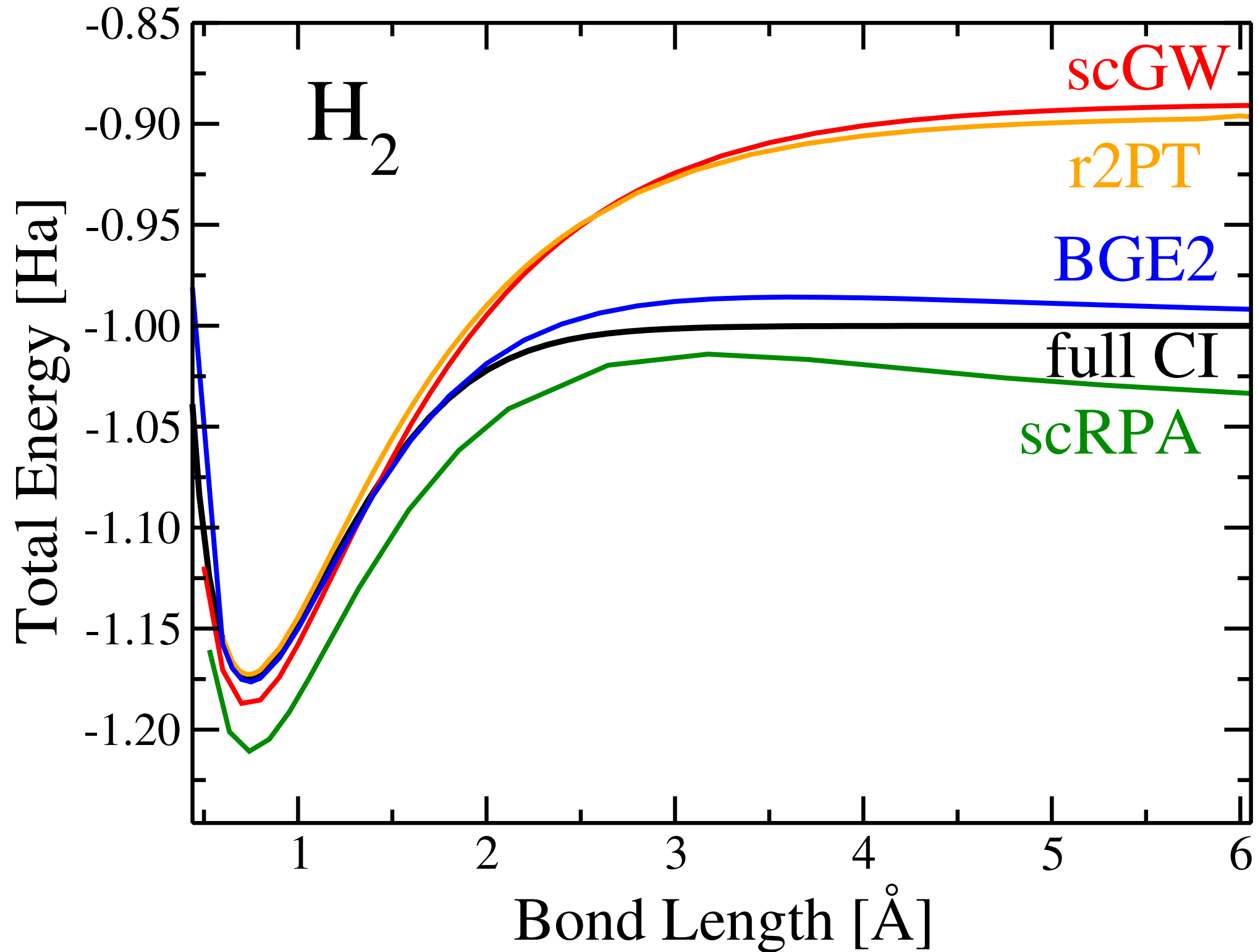
pair approximation

$$e_{ab}(\lambda) \approx -\lambda^2 \sum_{r < s}^{\text{vir}} \frac{|\langle \phi_a \phi_b || \phi_r \phi_s \rangle|^2}{\Delta \epsilon_{ab}^{rs} - e_{ab}(\lambda)}$$

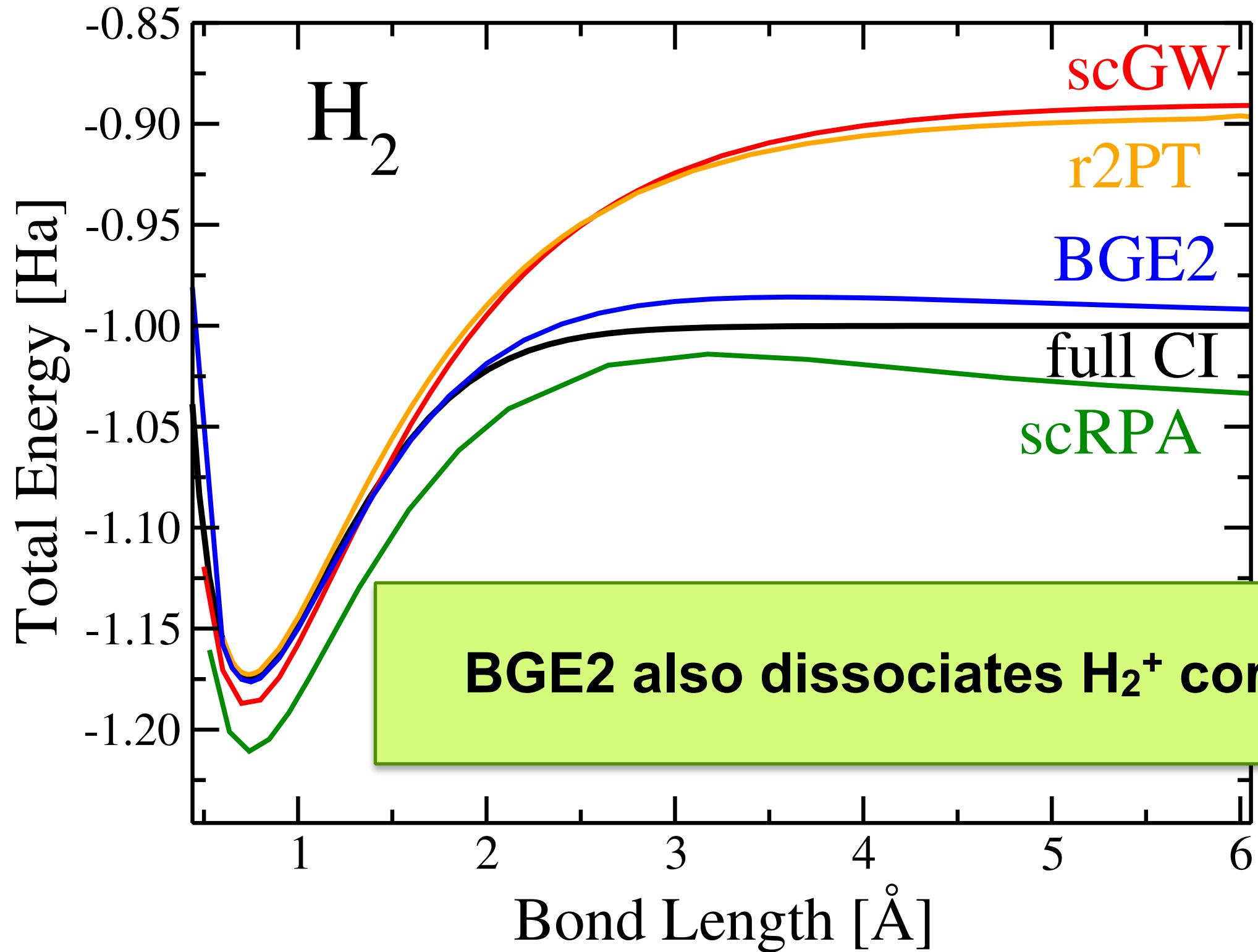
simple MP2  
expression

but! self-consistent coupling

# BGE2 applied to H<sub>2</sub> dissociation

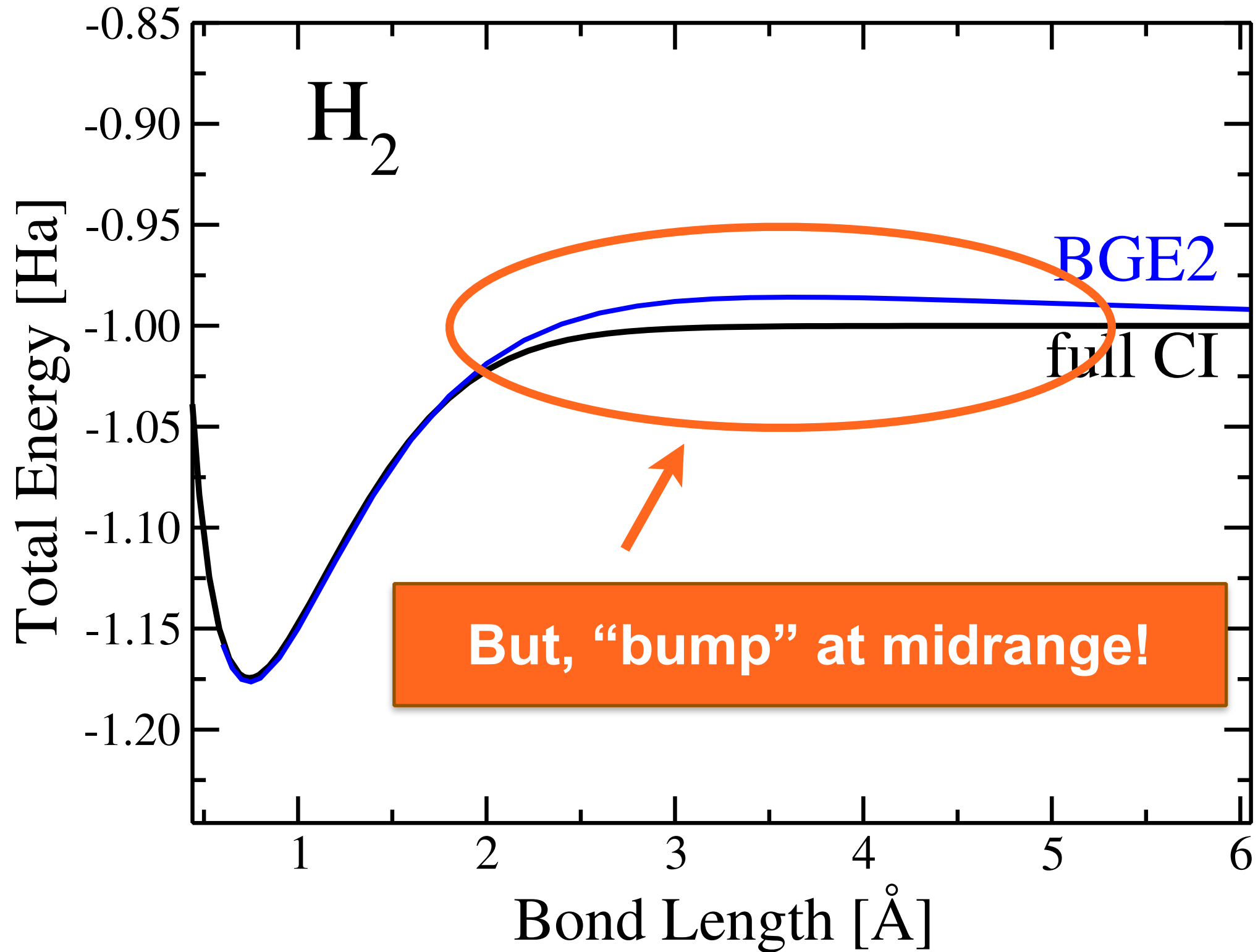


# BGE2 applied to H<sub>2</sub> dissociation





# BGE2 applied to H<sub>2</sub> dissociation



# Beyond BGE2

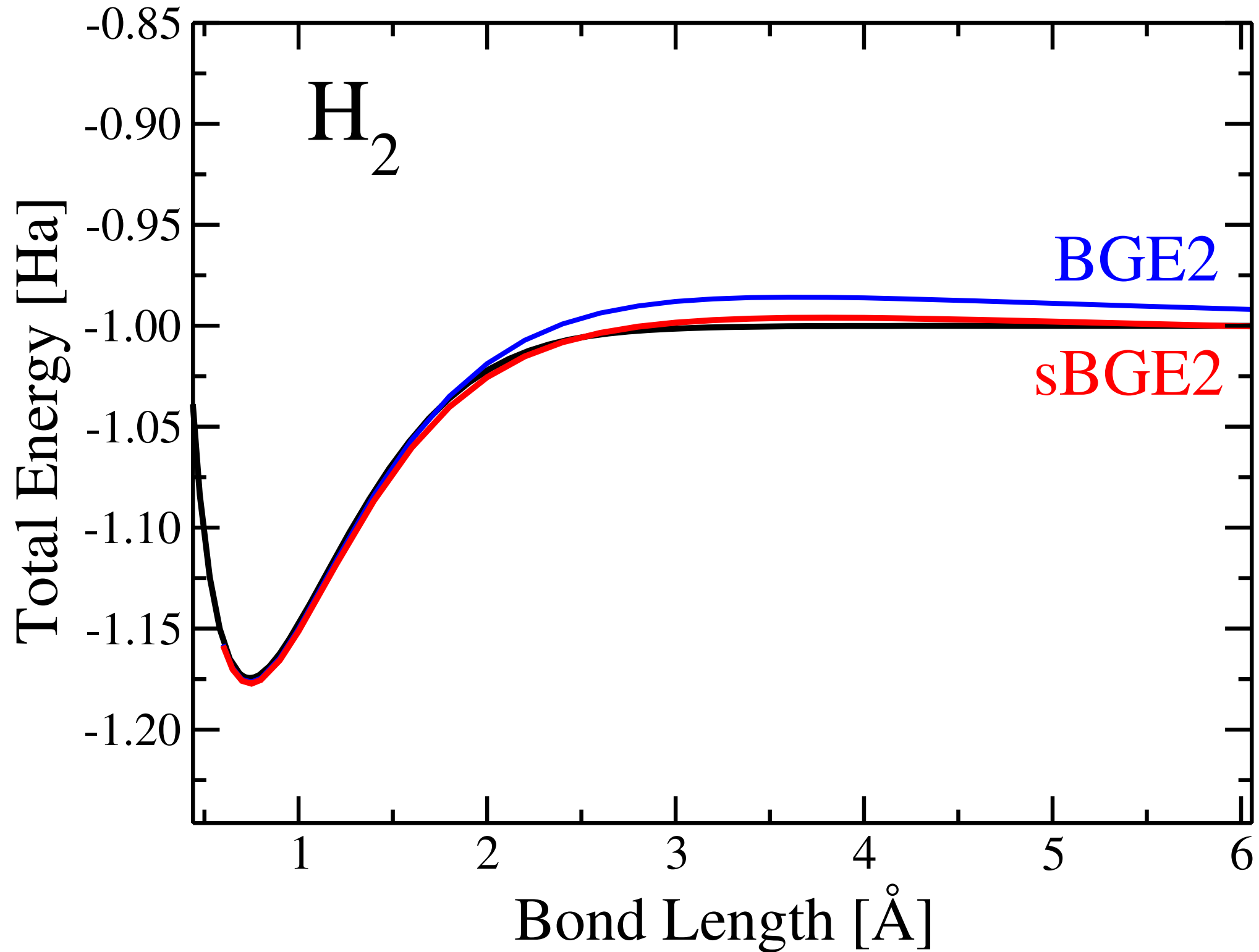
1: Additional diagrams (towards BGE) - not today

2: Screening factor  $s_{rs}^{ab}$  (sBGE2)

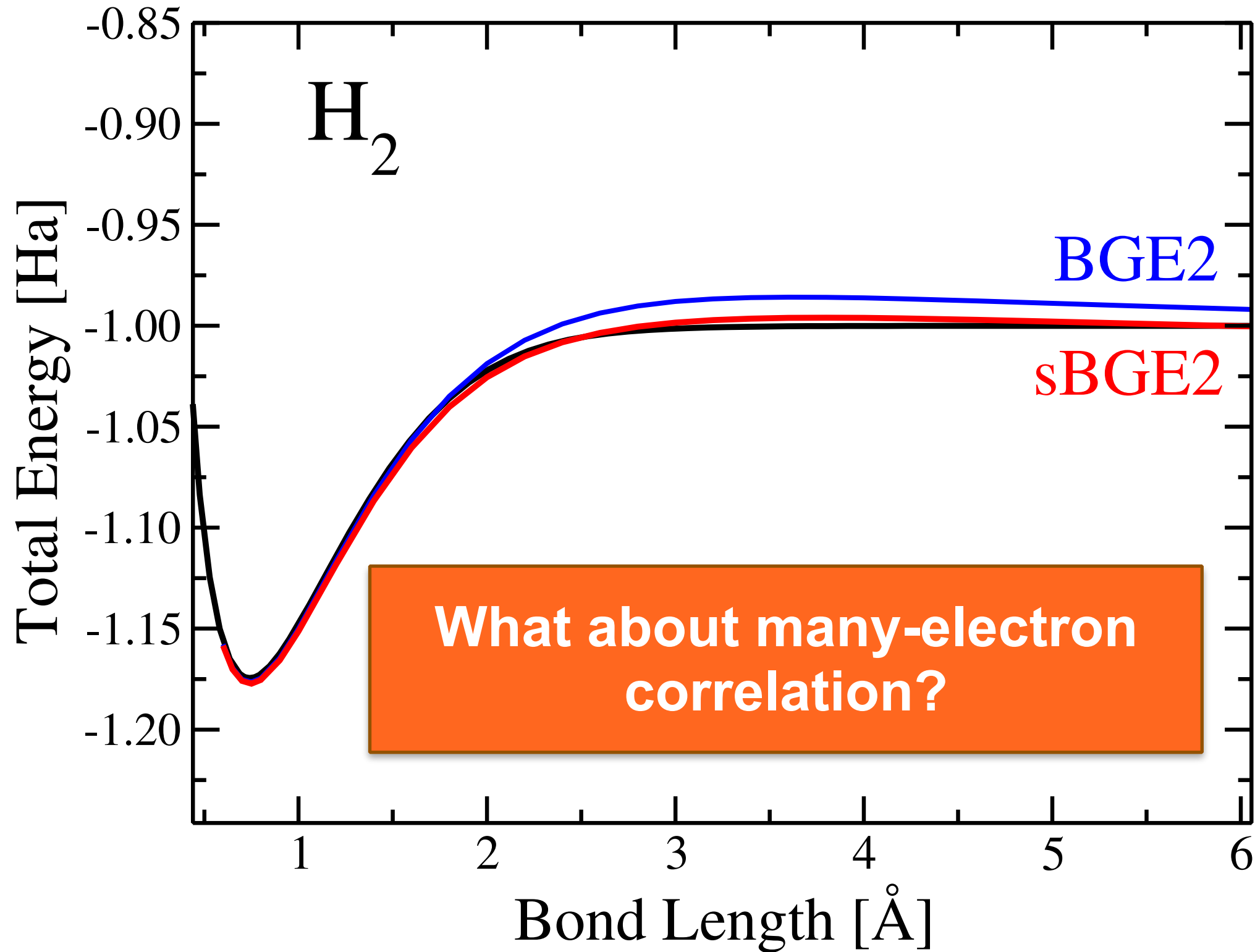
$$e_{ab}(\lambda) \approx -\lambda^2 \sum_{r < s}^{vir} \frac{|\langle \phi_a \phi_b || \phi_r \phi_s \rangle|^2}{\Delta \epsilon_{ab}^{rs} - s_{rs}^{ab} e_{ab}(\lambda)}$$

$$s_{rs}^{ab} = \text{erfc}(\Delta \epsilon_{ab}^{rs})$$

# BGE2 applied to H<sub>2</sub> dissociation



# BGE2 applied to H<sub>2</sub> dissociation



# A new, parameter free level 5 DFT functional

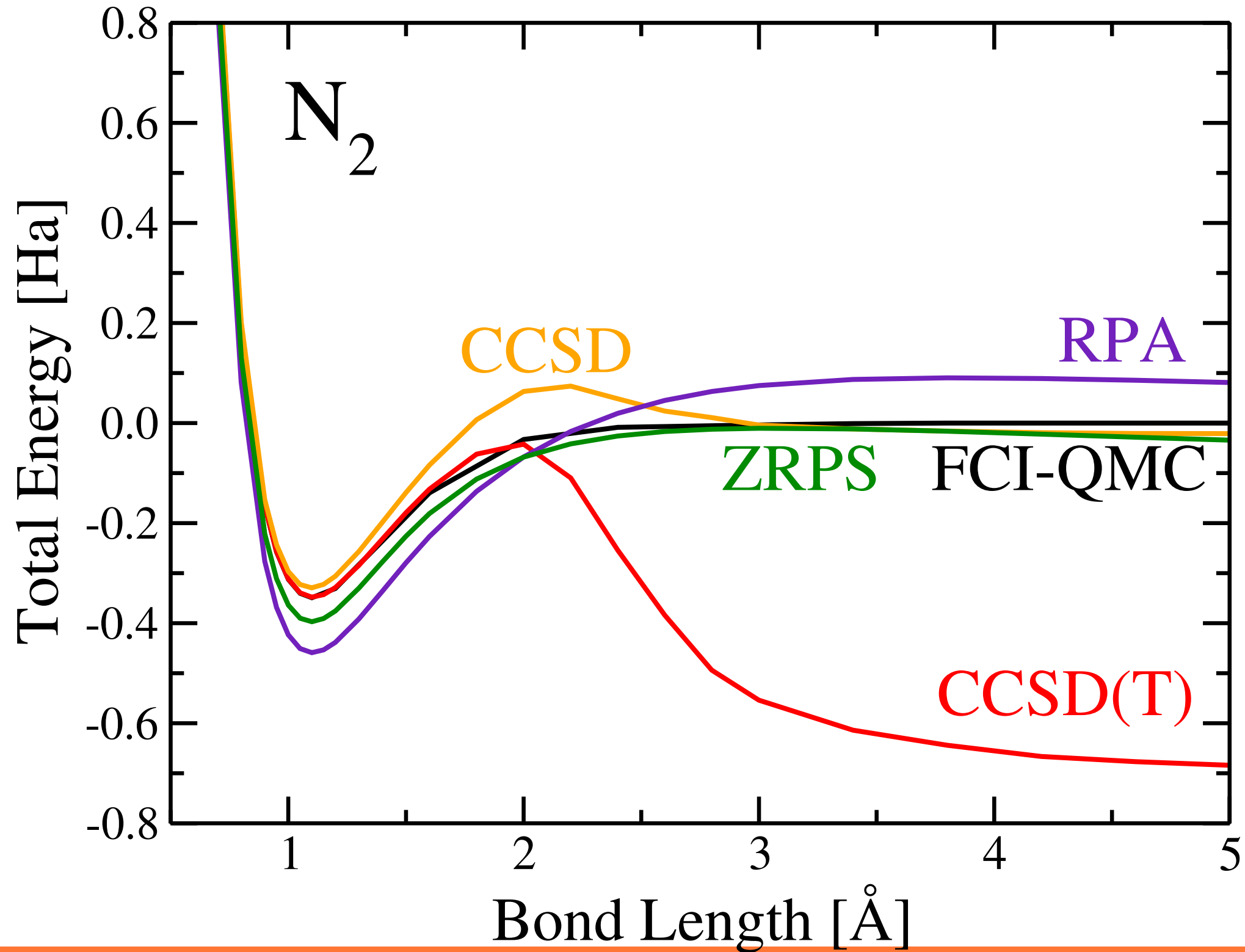
## DFT exchange-correlation functional

$$E_{xc}^{\text{ZRPS}} = \frac{1}{2} E_x^{\text{PBE}} + \frac{1}{2} E_x^{\text{HF}} + \frac{3}{4} (E_c^{\text{PBE}} + E_{vdw}^{\text{TS}}) + \frac{1}{4} E_{c,os}^{\text{sBGE2}}$$

- Currently applied non-self-consistently.
- sBGE2 only applied to opposite spins.

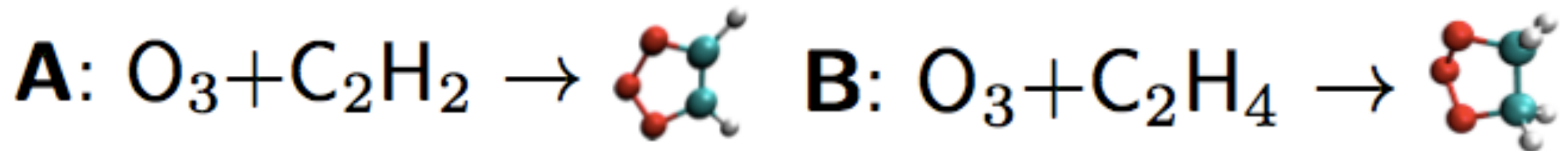


# ZRPS applied to N<sub>2</sub> dissociation



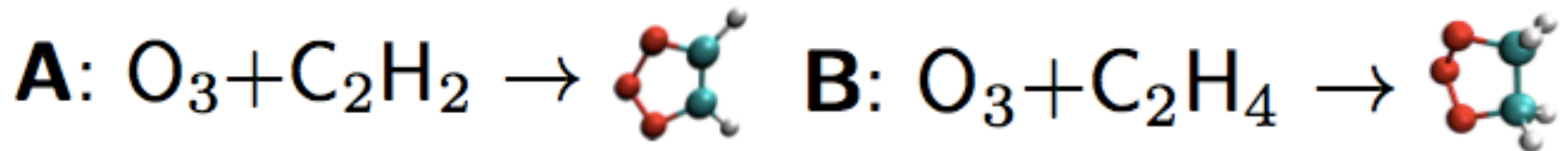
# Singlet multi-reference problem

in meV	PBE	PBE0	RPA	rPT2	ZRPS
A	65	-432	-190	-738	41
B	259	-311	-206	-768	12



# Singlet multi-reference problem

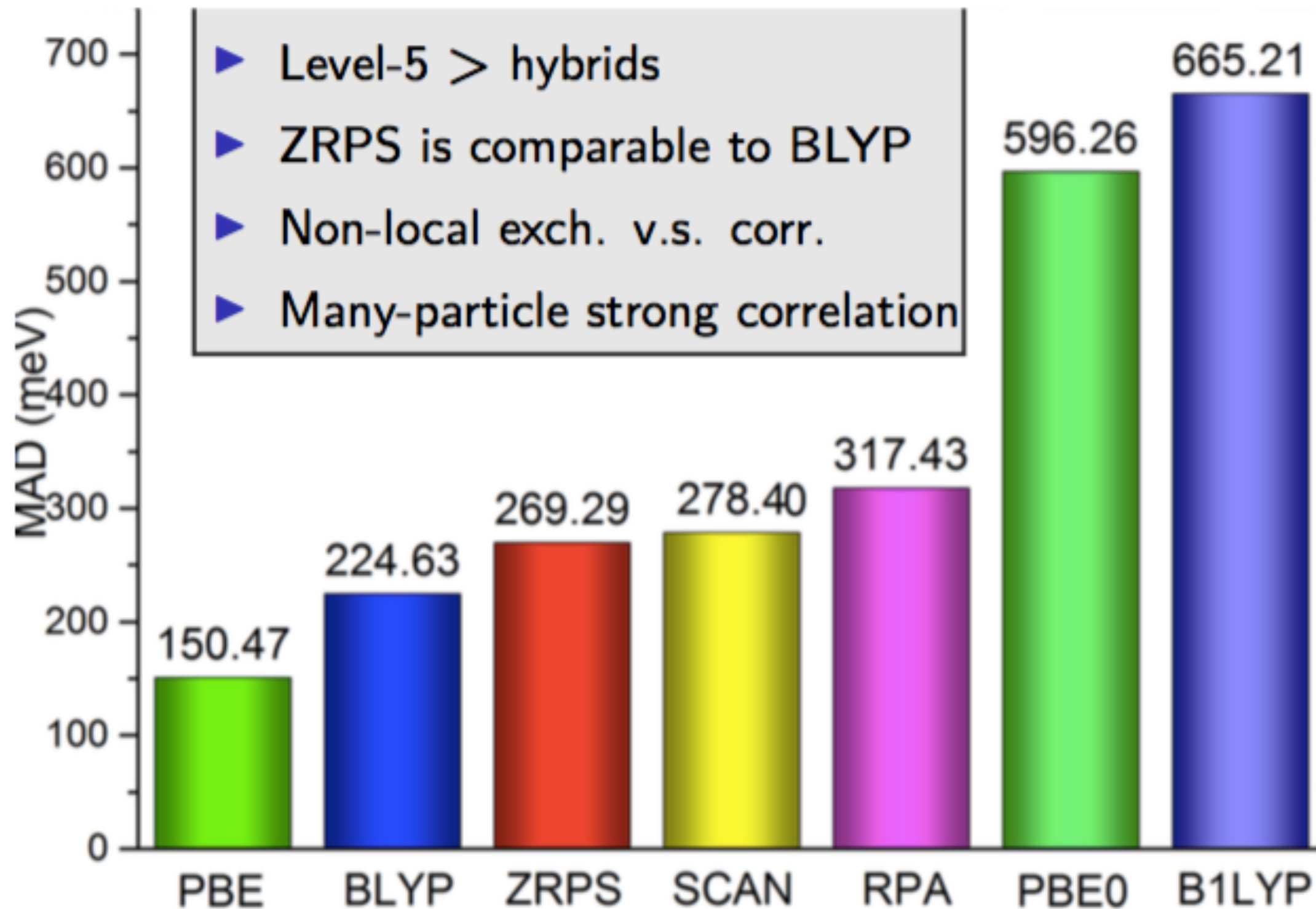
in meV	PBE	PBE0	RPA	rPT2	ZRPS
A	65	-432	-190	-738	41
B	259	-311	-206	-768	12



**ZRPS also performs very well for weakly correlated systems.**



# New benchmark set for strong correlation





# Wish list for electronic structure approaches

all dimensionalities (0D-3D)

efficient

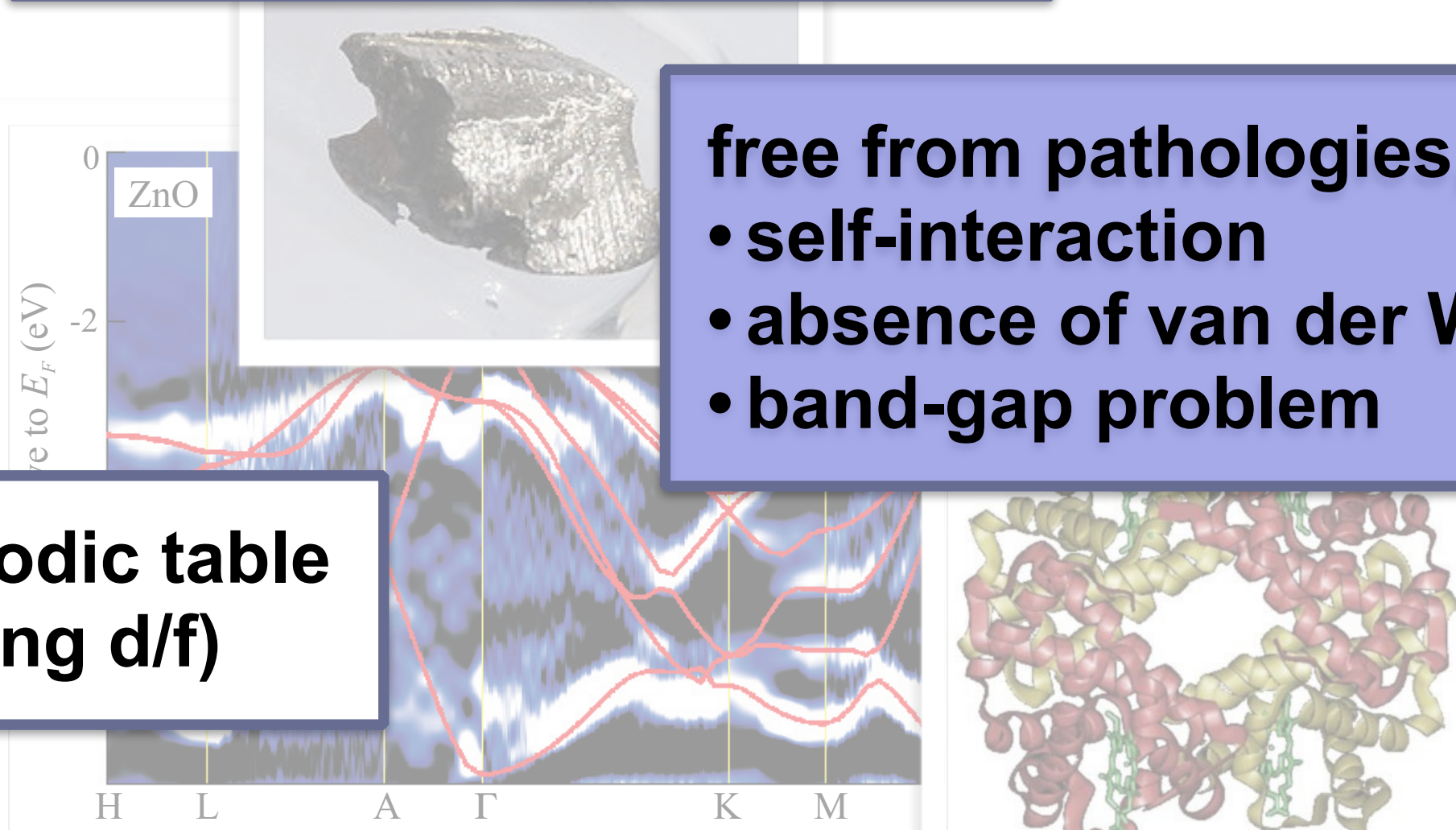
whole periodic table  
(including d/f)

ground+excited states

gradients+structure relaxation

free from pathologies, e.g.

- self-interaction
- absence of van der Waals
- band-gap problem



# Future work

## Outlook

How to combine BGE2 and ZRPS with RPA and GW?

Bethe-Salpeter equation?

# Thank you!

J Mater Sci (2012) 47:7447–7471

DOI 10.1007/s10853-012-6570-4

FIRST PRINCIPLES COMPUTATIONS

## **Random-phase approximation and its applications in computational chemistry and materials science**

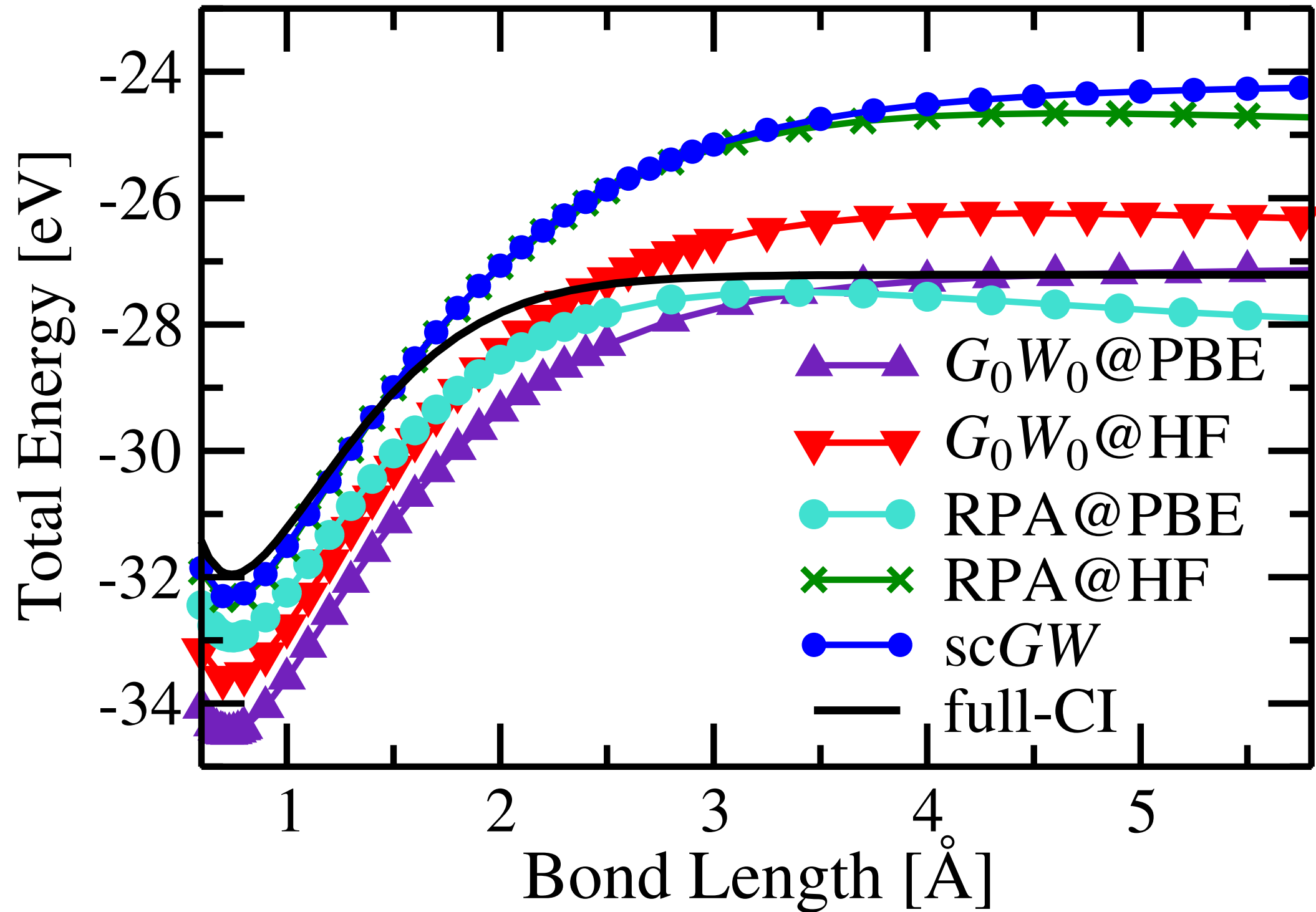
**Xinguo Ren · Patrick Rinke · Christian Joas ·  
Matthias Scheffler**



Aalto University  
School of Science



# H<sub>2</sub> dissociation - performance of GW and RPA





# ZRPS performance for weak correlation

Mean absolute error (MAE). Max absolute error (Max) is in parentheses (in meV).

	G2-1	RH76	ISO34	S22	Overall
PBE0-TS	<b>124</b> (404)	178 (614)	74 (236)	<b>15</b> (58)	124 (614)
RPA	405 (1171)	<b>88</b> (292)	<b>44</b> (162)	33 (79)	167 (1171)
rPT2	159 (936)	101 (382)	51 (186)	21 (69)	<b>100</b> (936)
PT2	1570 (4623)	483 (2038)	116 (451)	137 (537)	695 (4623)
sBGE2	1555 (4602)	480 (2029)	113 (451)	145 (553)	695 (4602)
ZRPS	<b>73</b> (195)	<b>92</b> (363)	<b>47</b> (197)	<b>10</b> (32)	<b>69</b> (363)

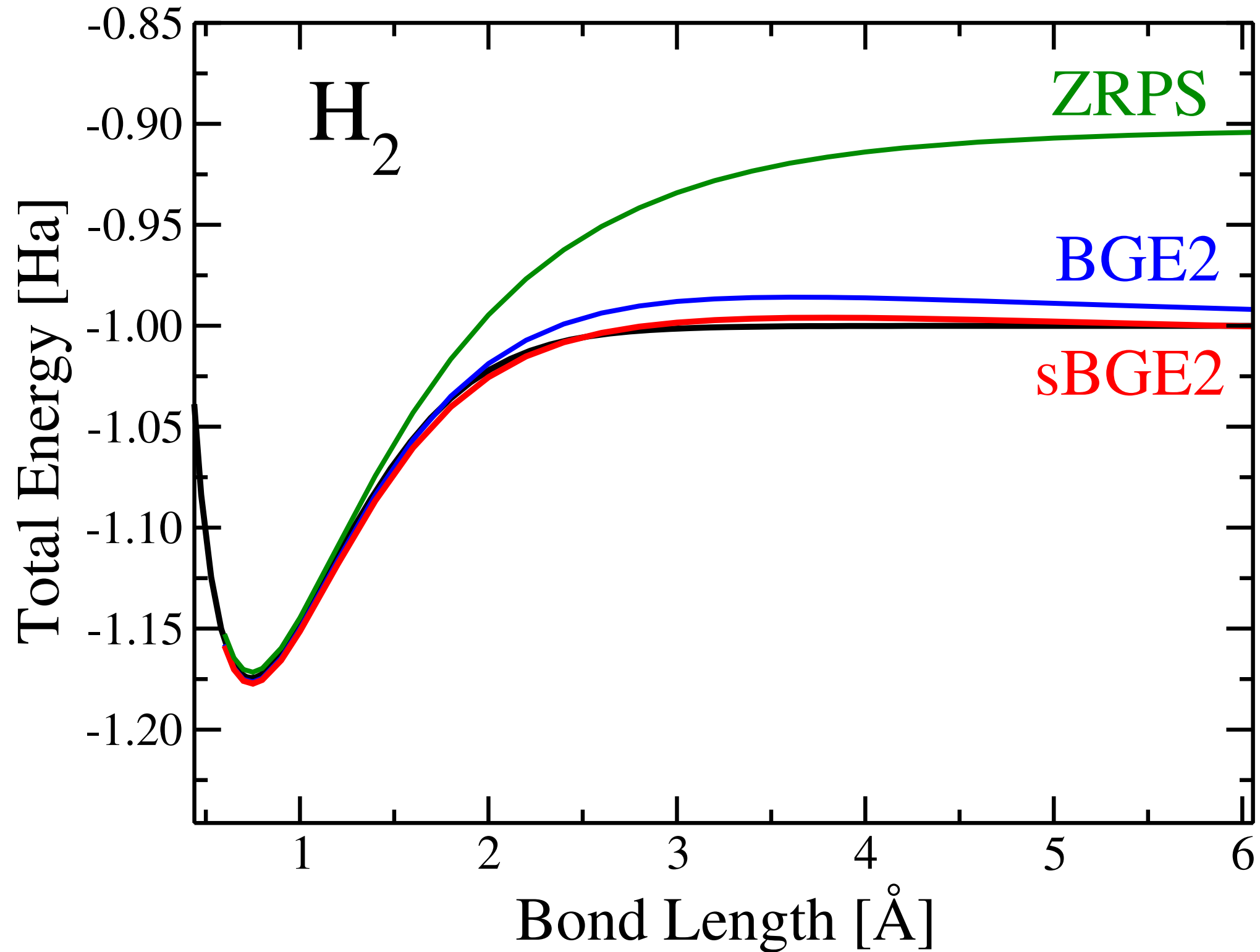
**G2-1** : 55 atomization energies

**BH76** : 76 reaction barriers

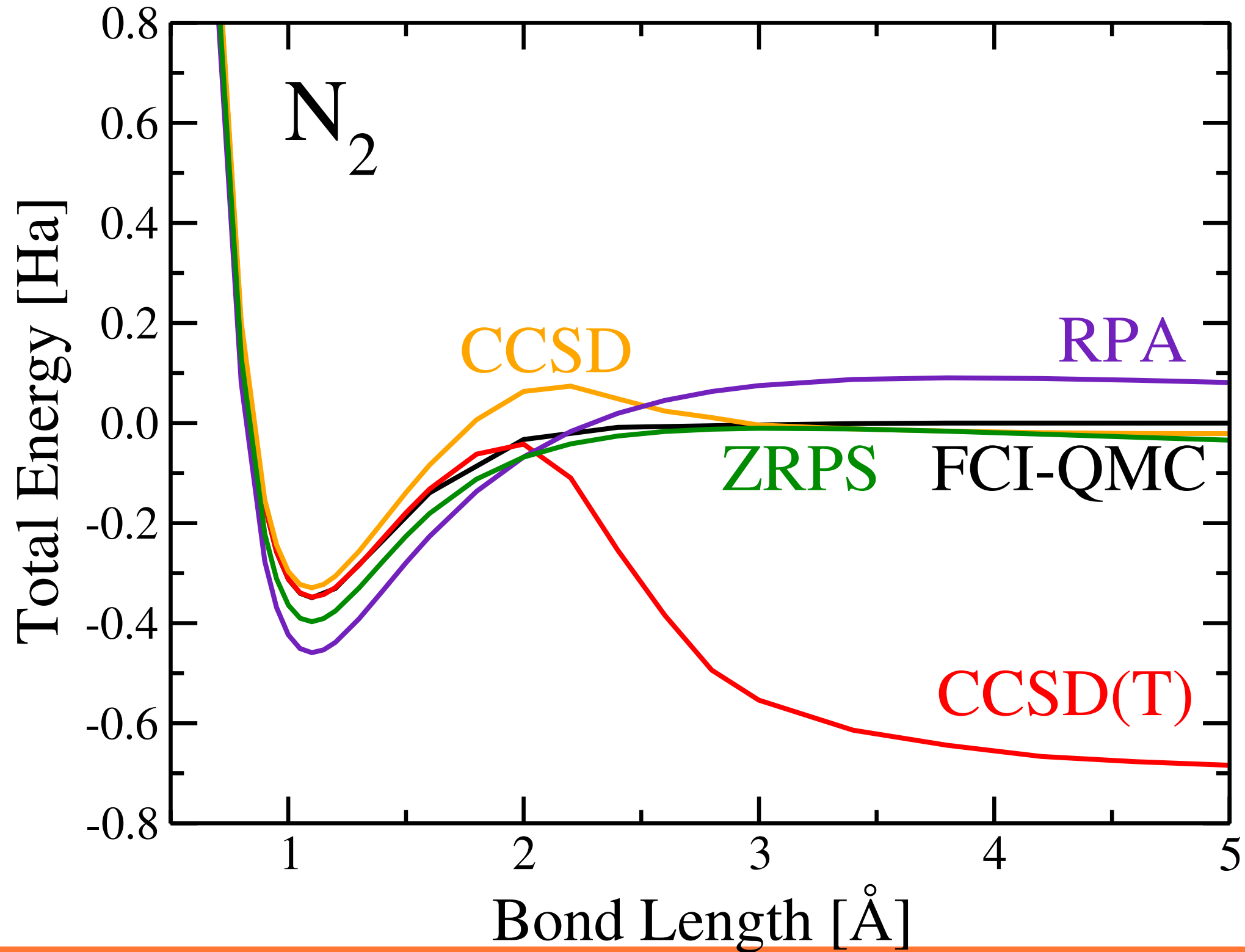
**ISO34** : 34 isomerization energies

**S22** : 22 bio-oriented weak interactions

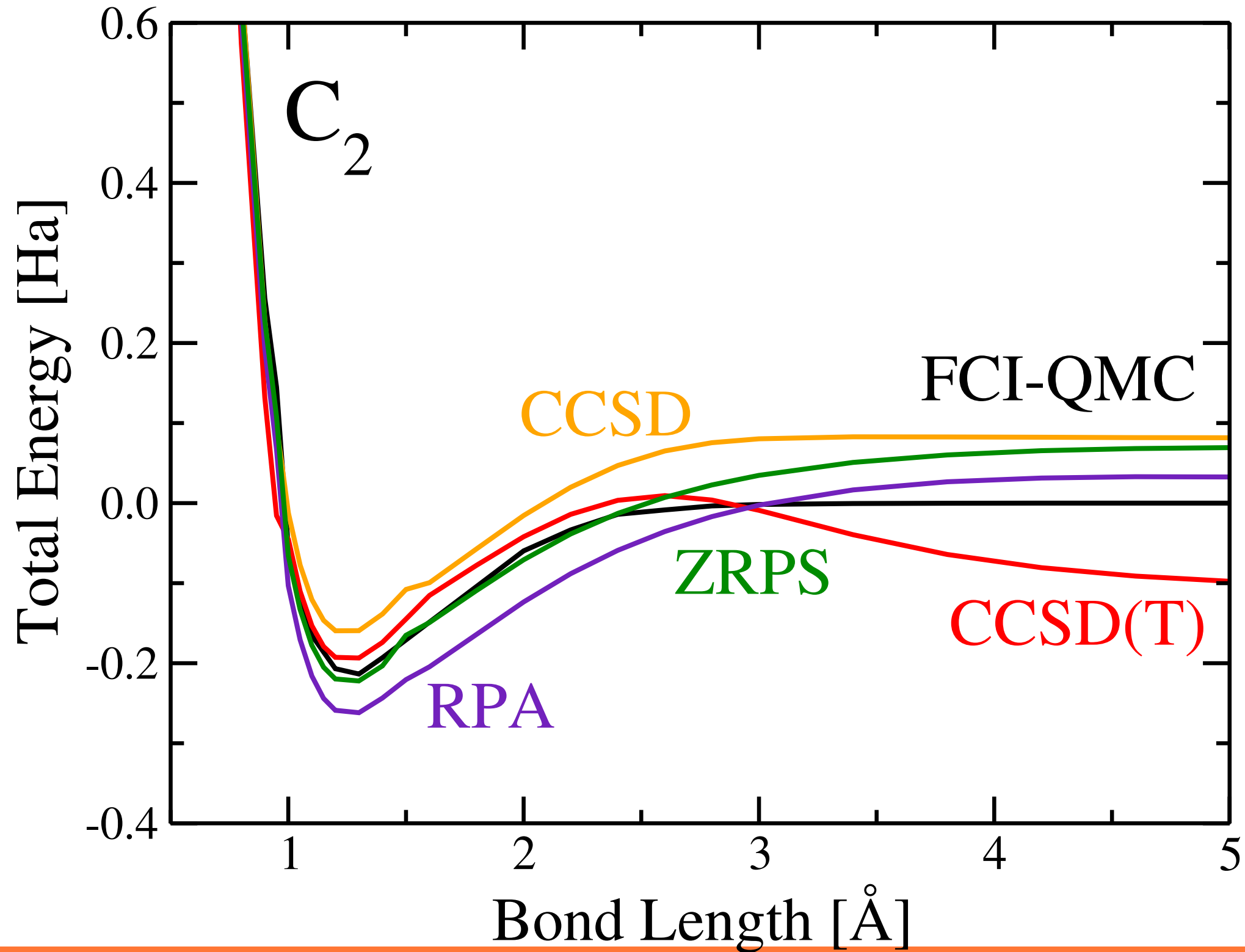
# ZRPS applied to H<sub>2</sub> dissociation



# ZRPS applied to N<sub>2</sub> dissociation



# ZRPS applied to C<sub>2</sub> dissociation



# Thank you!



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