

# Part 4. VB diagrams

# VB diagrams by Shaik and Pross

- A powerful VB model for rationalizing reactivity :



*J. Am. Chem. Soc.* **1981**, *103*, 3692–3701

## What Happens to Molecules as They React? A Valence Bond Approach to Reactivity

**Sason S. Shaik**

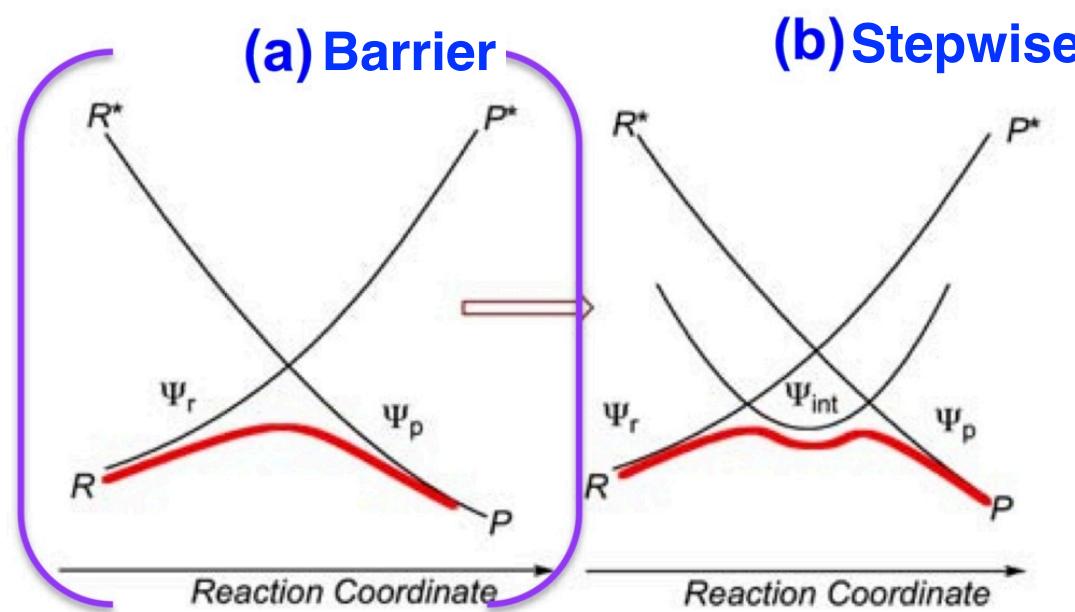
*Contribution from the Department of Chemistry, Ben-Gurion University of the Negev, P.O.B. 653, Beer Sheva, 84120, Israel. Received June 12, 1980*

- Developed and applied since then to a huge number of organic chemical reactions, inorganic reactions, clusters and metalloenzymes
- Reviews : [https://wiki.lct.jussieu.fr/workshop/index.php/VB\\_tutorial](https://wiki.lct.jussieu.fr/workshop/index.php/VB_tutorial)

# VB diagrams by Shaik and Pross

- A powerful VB model for rationalizing reactivity :

Two archetypal diagrams that describe the major reactivity patterns in **any** chemical reaction :



# Reminders

- Energy expressions from qualitative VB :

Energy of a determinant with *n* pairs of interacting  $e^-$  :  $\sigma 2n\beta S$  

$\sigma$  sign : + if attractive, – if repulsion

- Bonding energy:

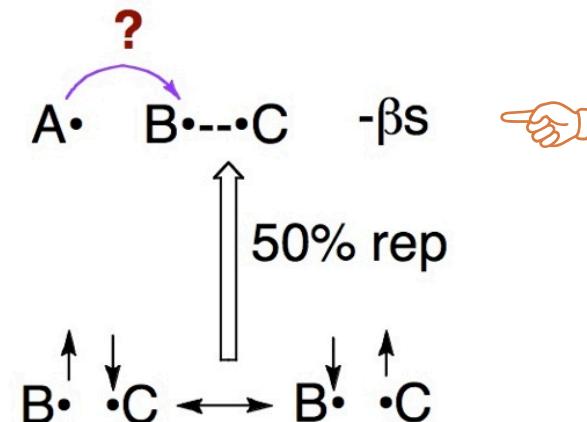


- Pauli Repulsion in VB Theory:

Elementary Repulsion



Nonbonded Interactions



# Reminders

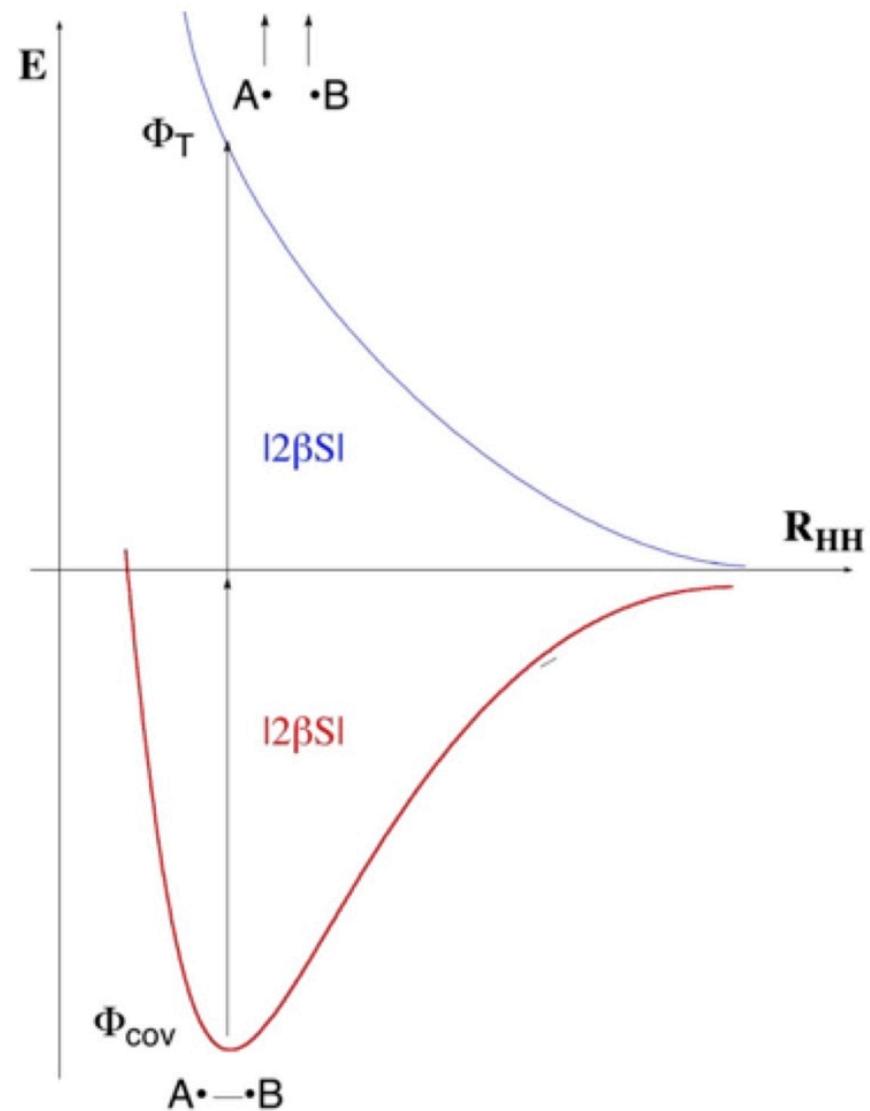
- Singlet-triplet gap :



- Lecture 1 :  
 $\Delta E_{ST} \approx 2D_e$

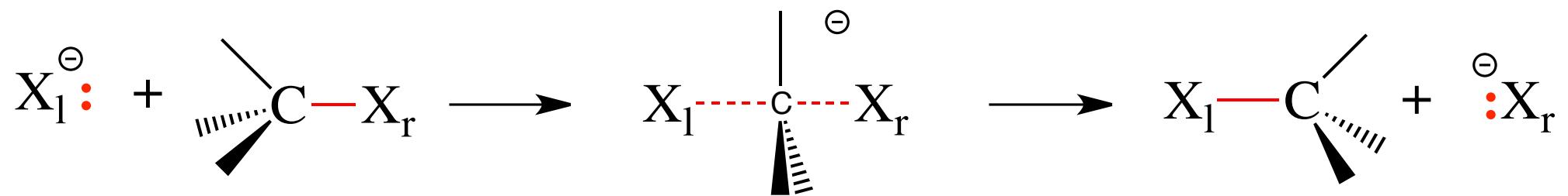
- A more accurate relation :

$$\frac{3}{4} \Delta E_{ST} \approx 2D_e$$



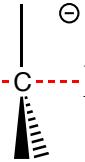
# Principles

- VB diagram for the S<sub>N</sub>2 reaction :



# Principles

- VB diagram for the S<sub>N</sub>2 reaction :



$E$

$$\Psi_R \propto \left| x_l \bar{x}_l (c \bar{x}_r + x_r \bar{c}) \right|$$

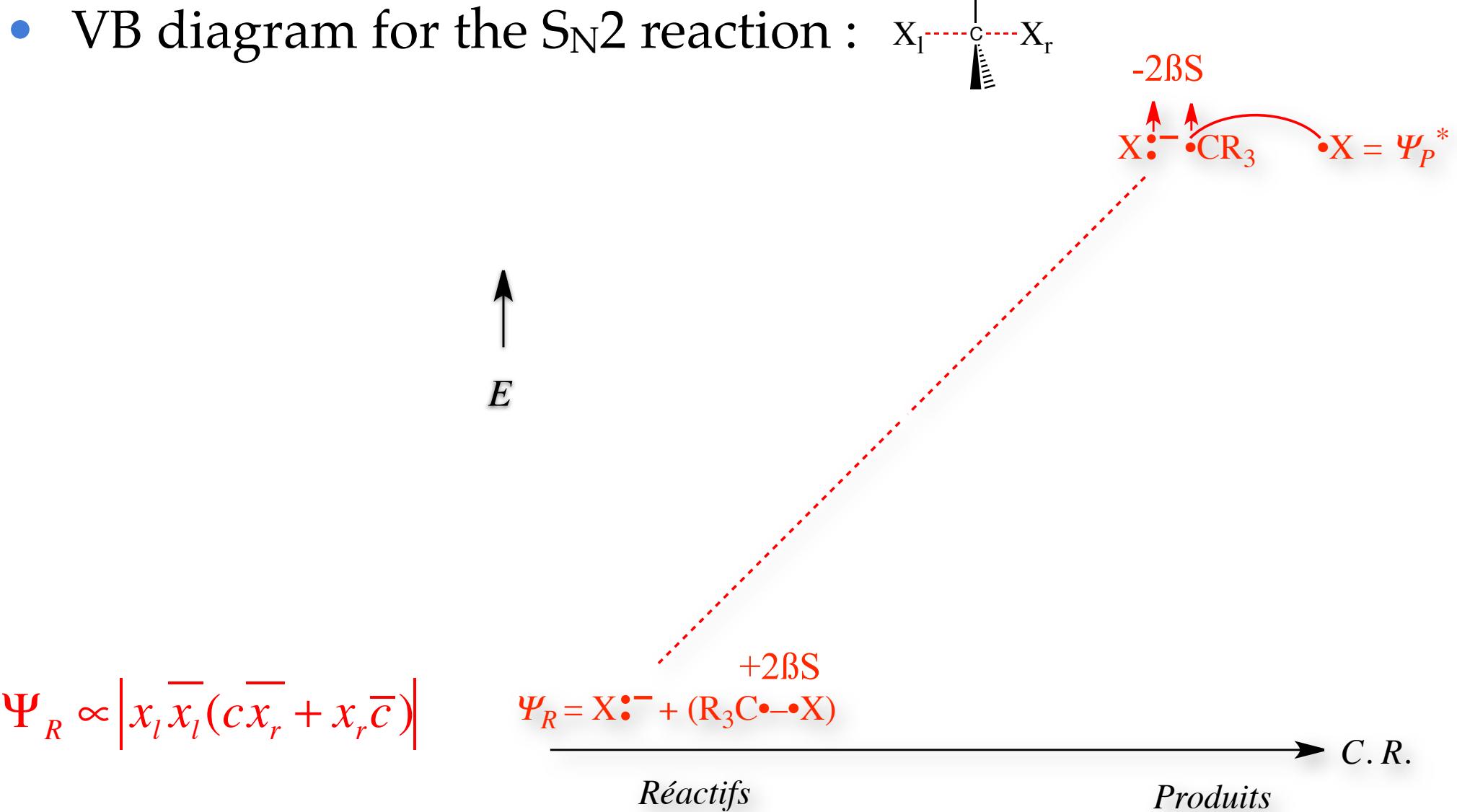
$$\Psi_R = X^- + (R_3 C \bullet \bullet X)$$

Réactifs

C. R.

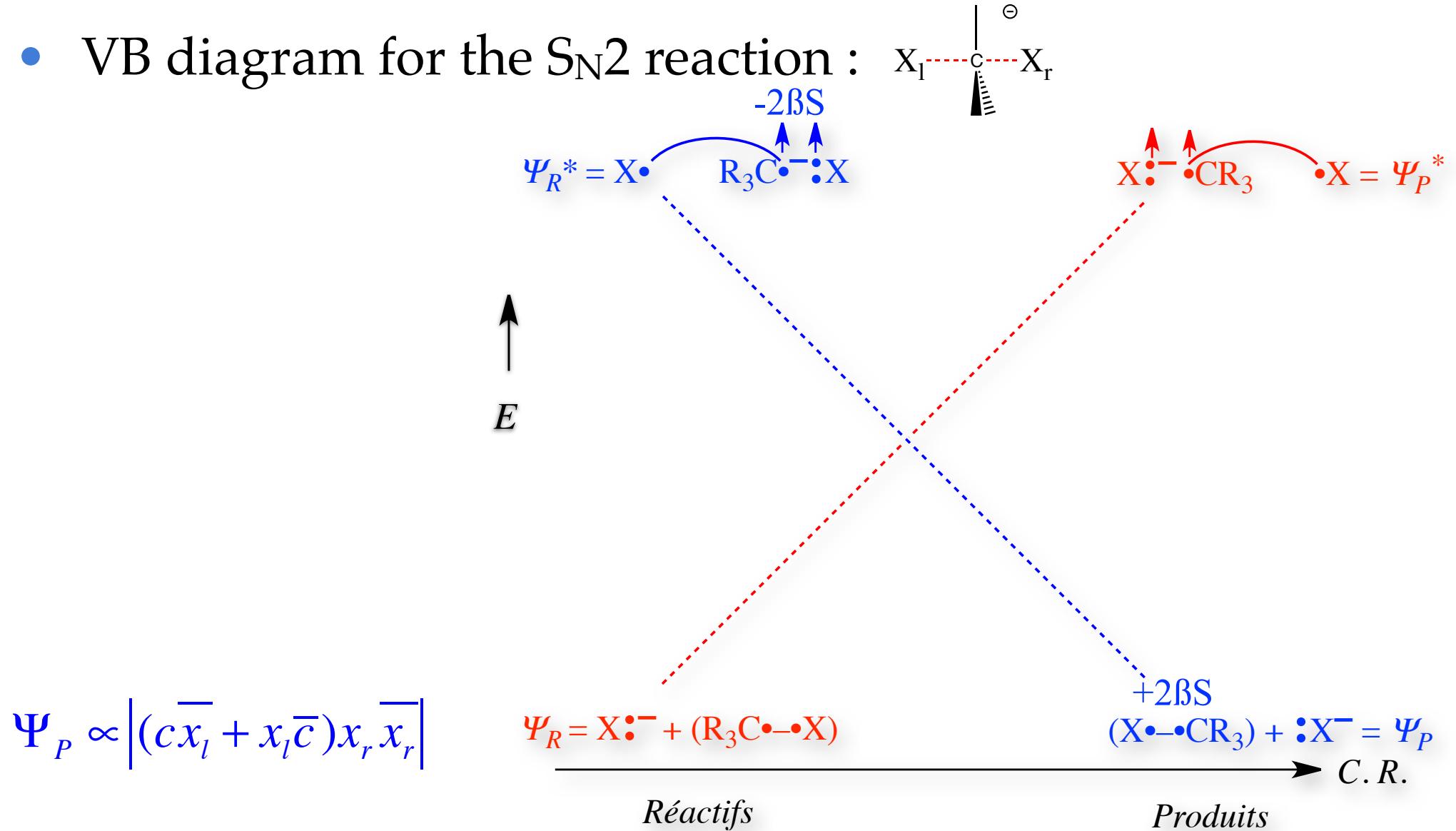
Produits

# Principles

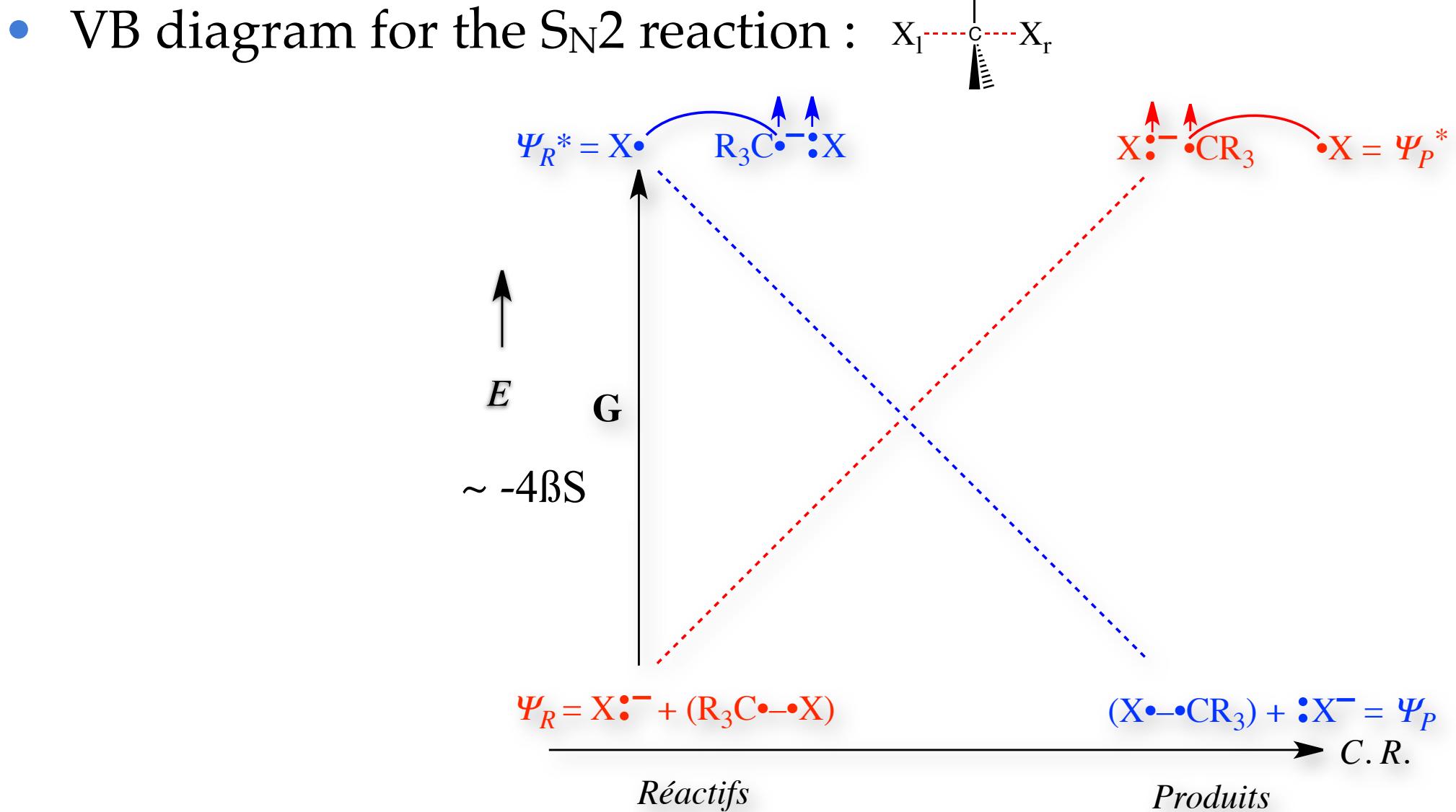


# Principles

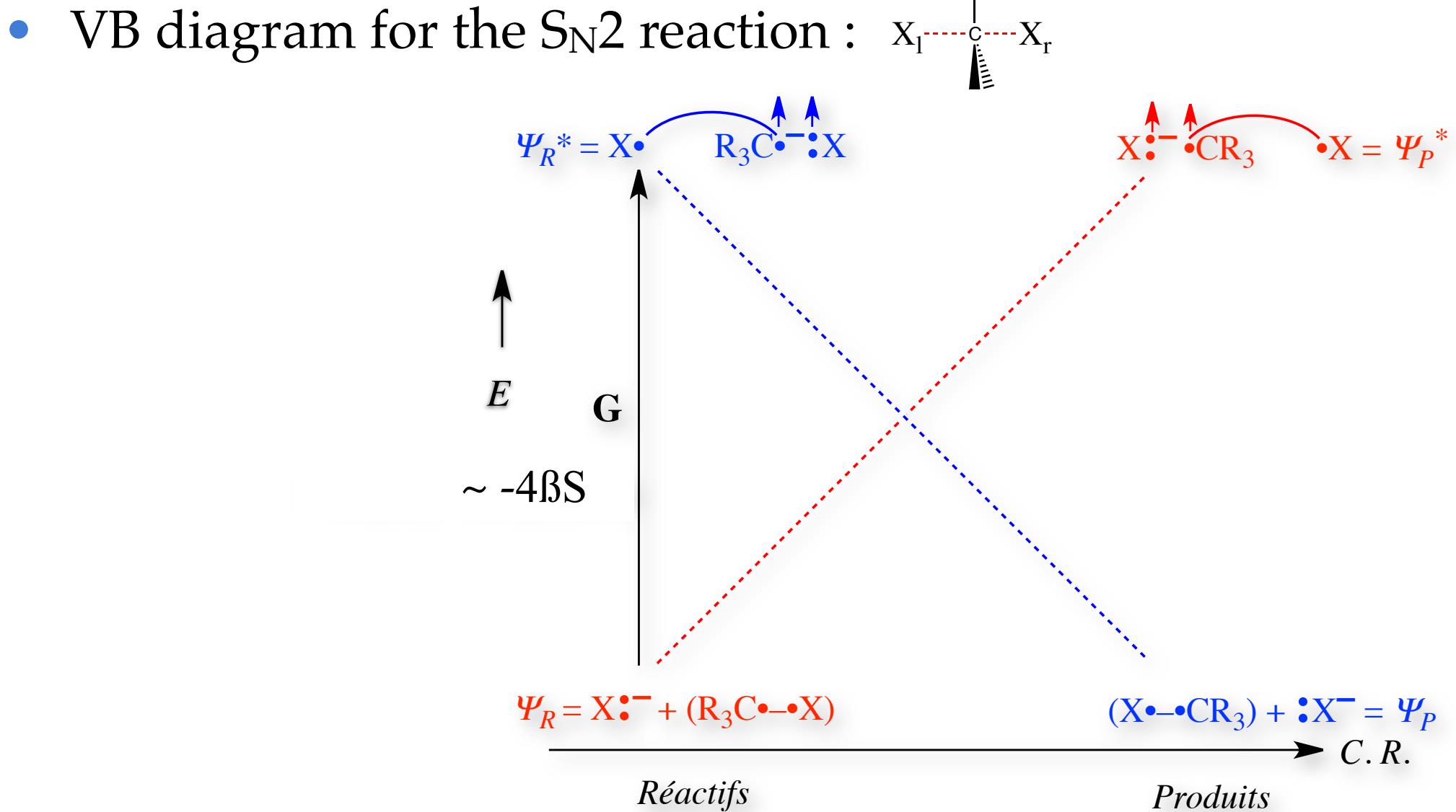
- VB diagram for the S<sub>N</sub>2 reaction :



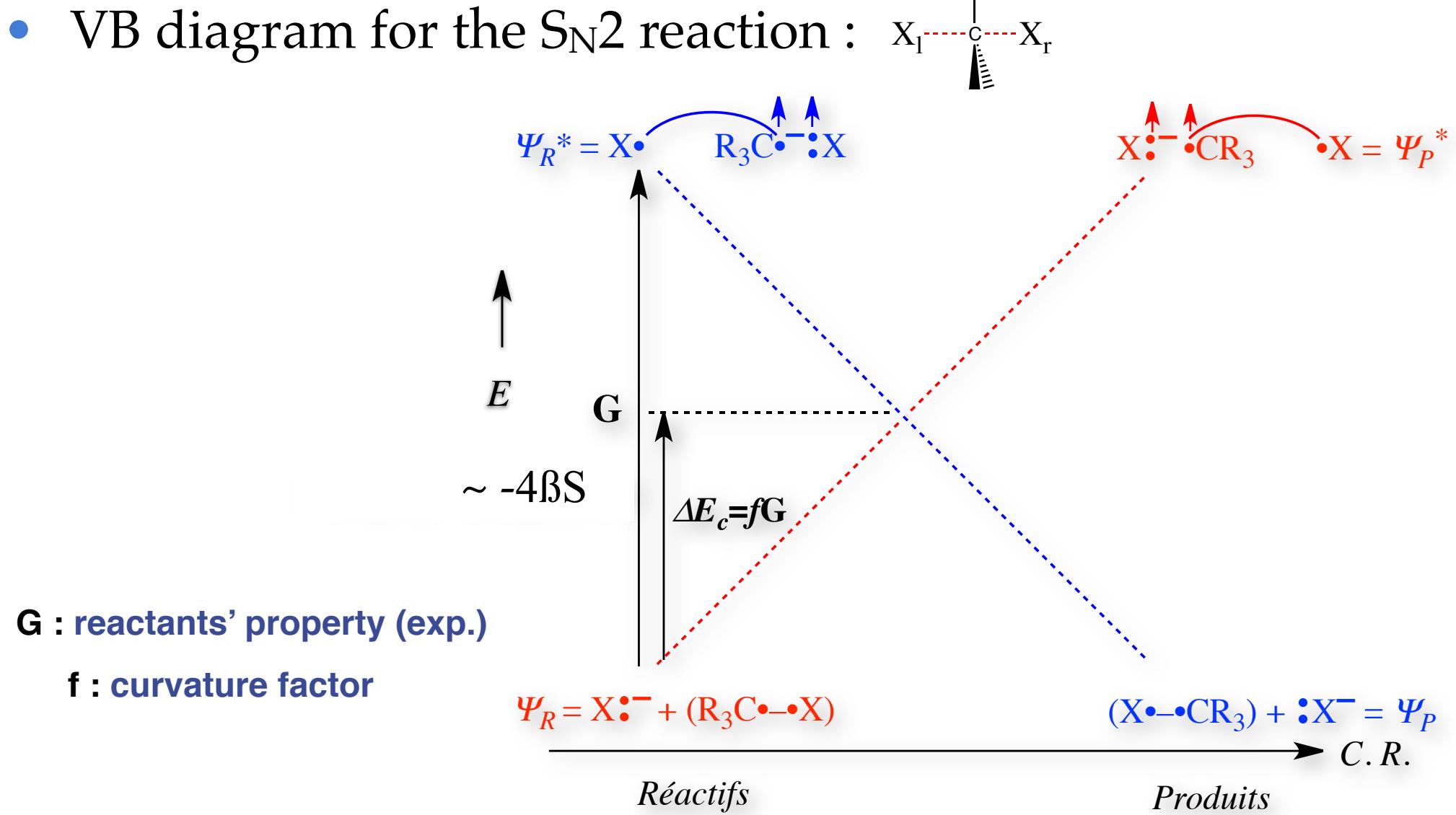
# Principles



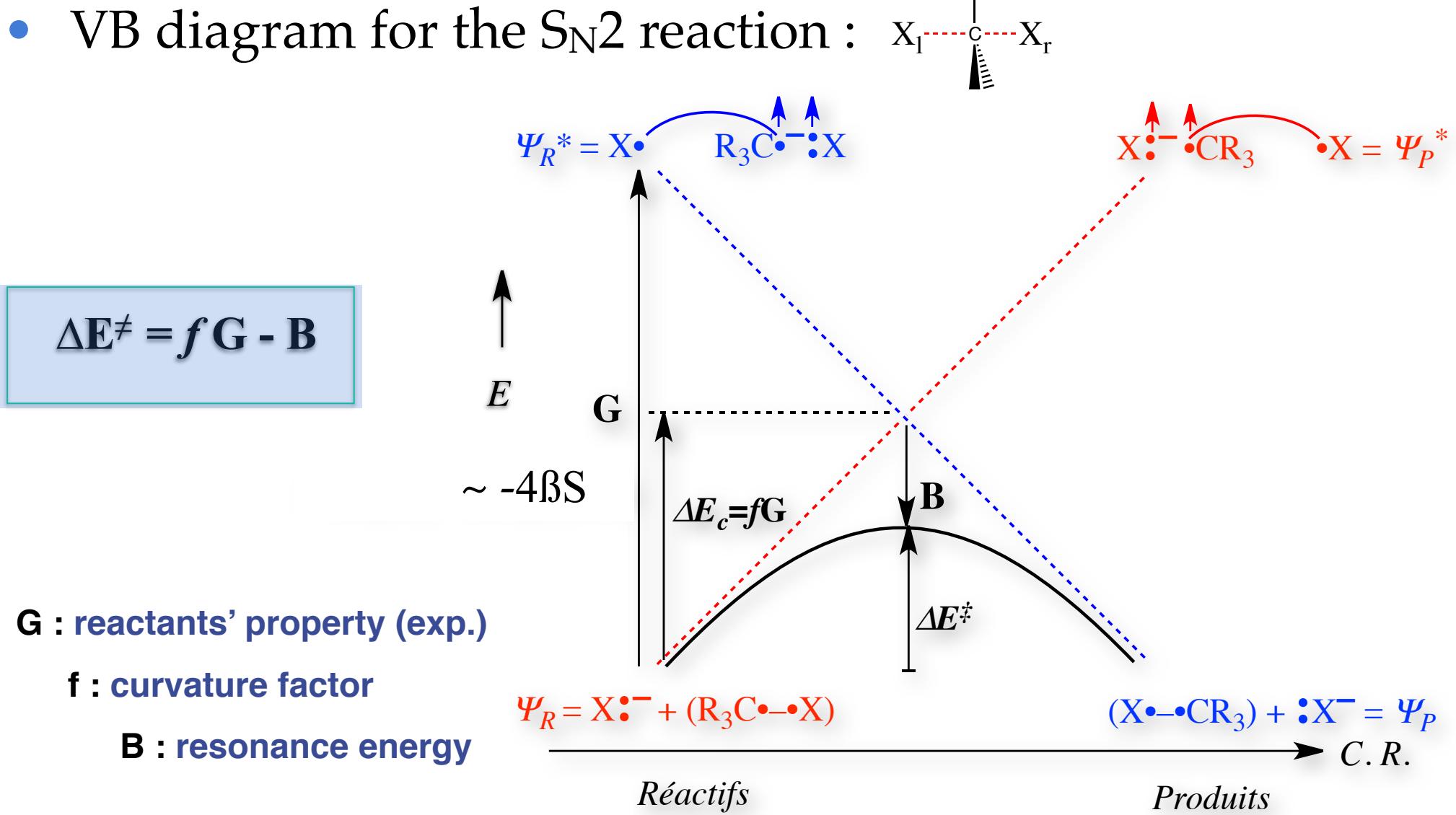
# Principles



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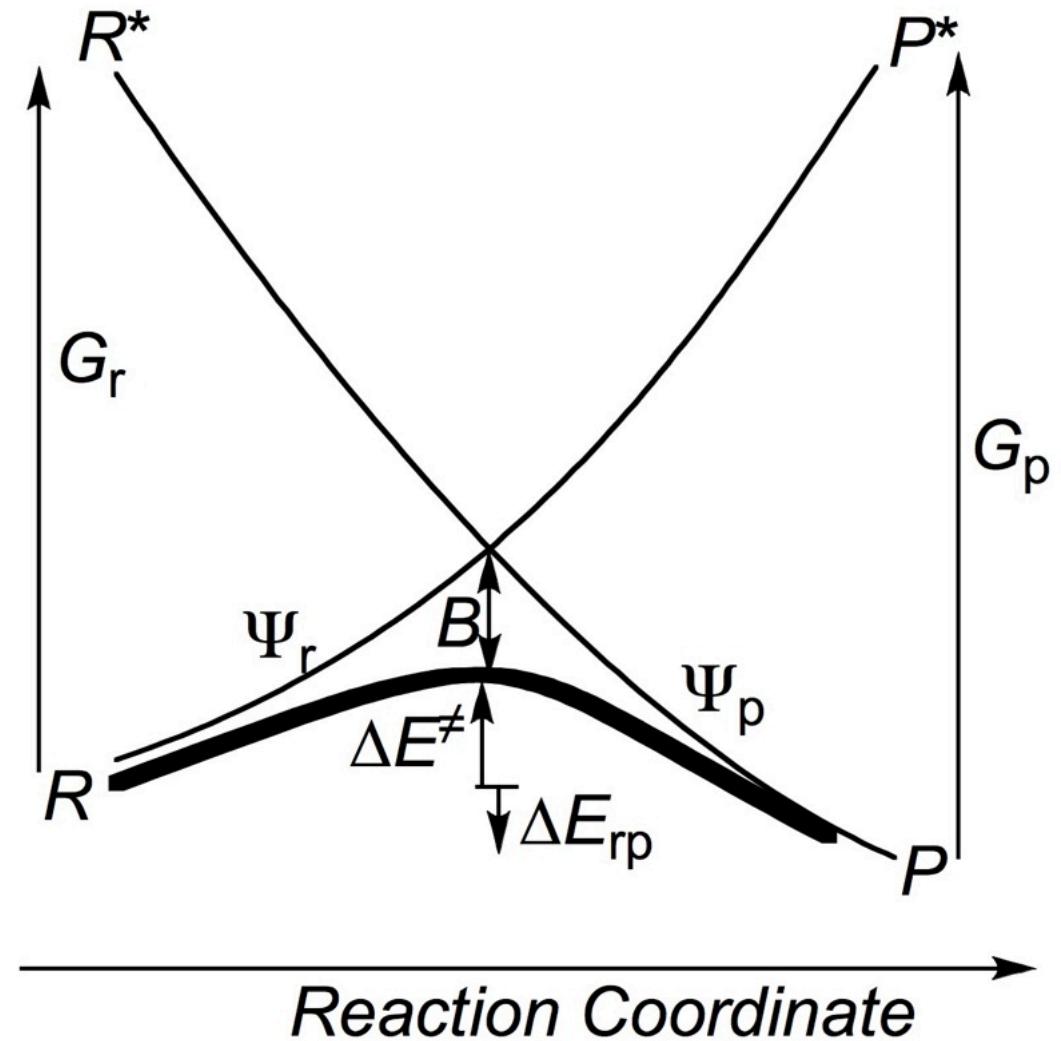
# Principles



# Principles

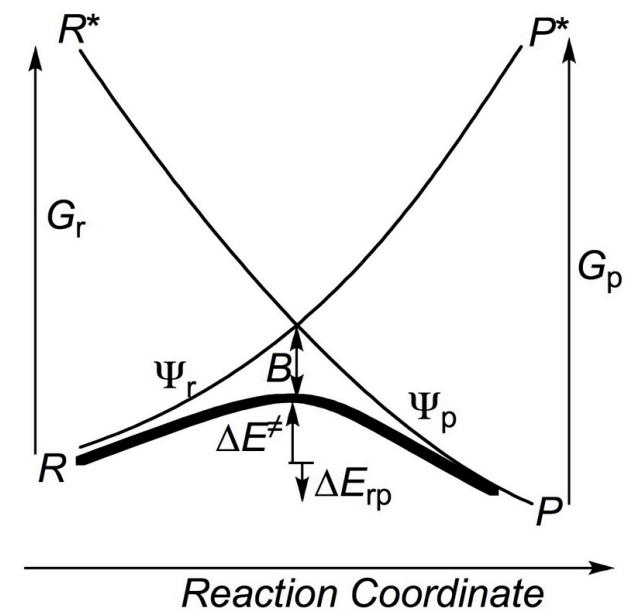
- Extended formula for the two-state diagrams :

$$\Delta E^\ddagger \approx f_0 G_0 - B + 0.5 \Delta E_{RP} + 0.5 \frac{\Delta E_{RP}^2}{G_0}$$



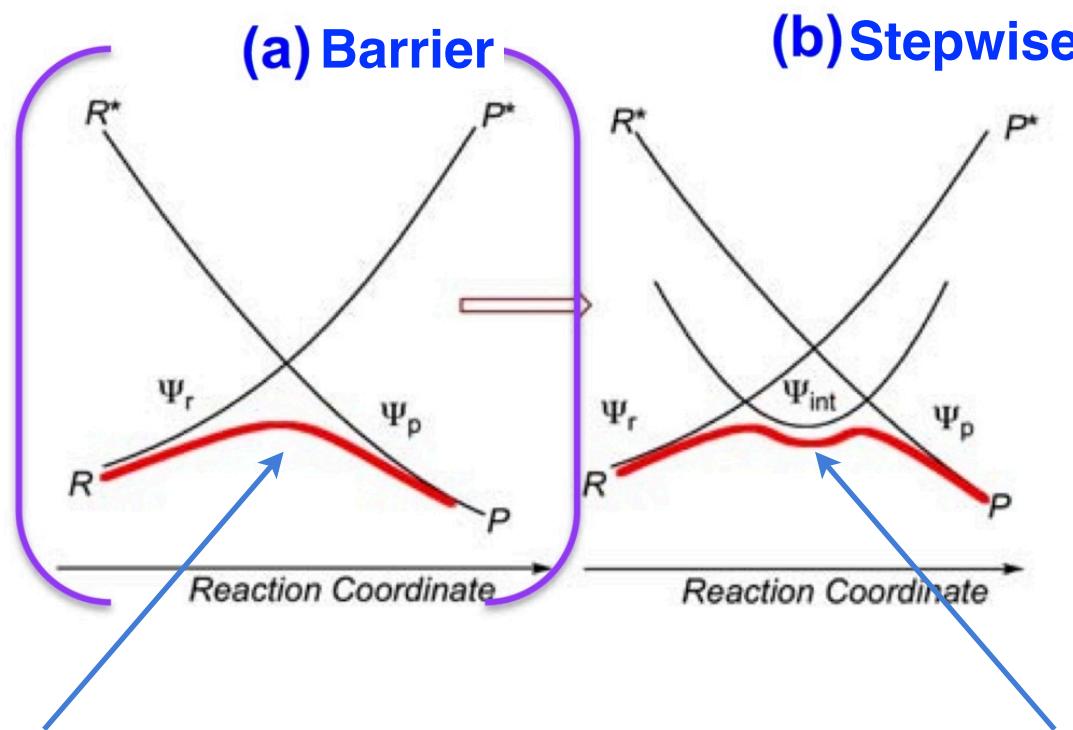
# Principles

- Basic ingredients of the VB diagrams :
  - **G : promotion energy** :  $R \rightarrow R^*$  is an excited diabatic state which prepare the reactants ground state for the bonding changes from R to P.
  - **f**: measure the **intrinsic «smoothness»** of the electronic structure change in R and P  
 $\Rightarrow fG$  : gauges the total deformation and repulsive interactions R have to experience to achieve resonance with P
  - **B : resonance energy** of the TS due to VB mixing at the crossing point



# Principles

- Two-state (VBSCD) vs. multi-state diagrams (VBCMD) :



R and P mix to form the barrier and the TS for an elementary process

The intermediate has a different electronic structure than R and P («internal catalysis»)

# G expressions

- How to derive quantitative expressions for G ?

The promoted states involve two elementary excitations, depending on whether there are **changes in the oxidations states** of fragments or not

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- How do I know if there is a change in oxydation state ?
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  - 2) count the **number of electrons on each fragment**
  - 3) **does this number change** during reaction ? → YES : **change** of ox. state  
→ NO : **no change** “ ”

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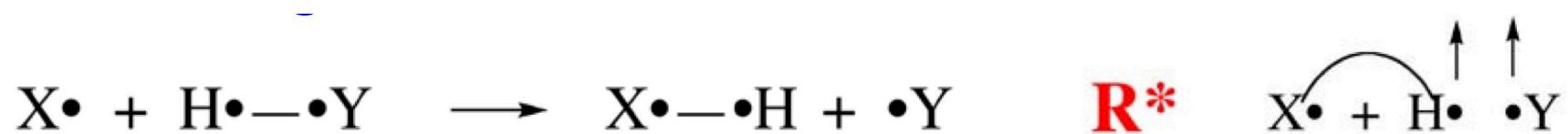


# G expressions

- Rule 1 : no change in oxydation state :

- What happens during  $R \rightarrow R^*$  promotion :

- 1) Bonds which are broken are decoupled to their triplet state in  $R^*$
- 2) Electrons are paired anew as in P



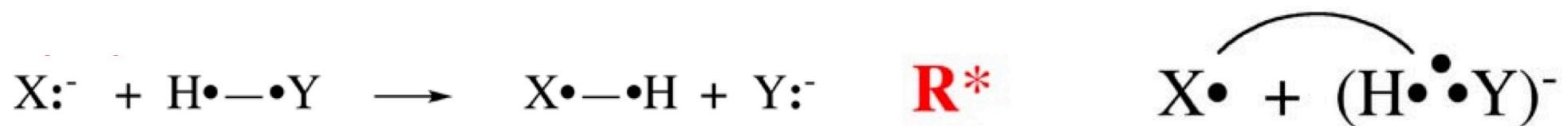
$$\Rightarrow G_r \approx \Delta E(S \rightarrow T)$$

# G expressions

- Rule 2 : change in the oxydation state :

- What happens during  $R \rightarrow R^*$  promotion :

Charge transfer from the fragment being oxydized (lose  $1e^-$ )  
to the fragment being reduced (gain  $1e^-$ )



$$\Rightarrow G_r \approx IP(X:) - EA(H - Y)$$

# G expressions

- Rule 1 : no change in oxydation state :

$$\Rightarrow G_r \approx \Delta E(S \rightarrow T)$$

- Rule 2 : change in the oxydation state :

$$\Rightarrow G_r \approx IP(X:) - EA(H - Y)$$

- How to get  $\Delta E(S \rightarrow T)$ , IP, EA ?
  - Accurate computations (not specially VB !)
  - From experiments

# Illustrations

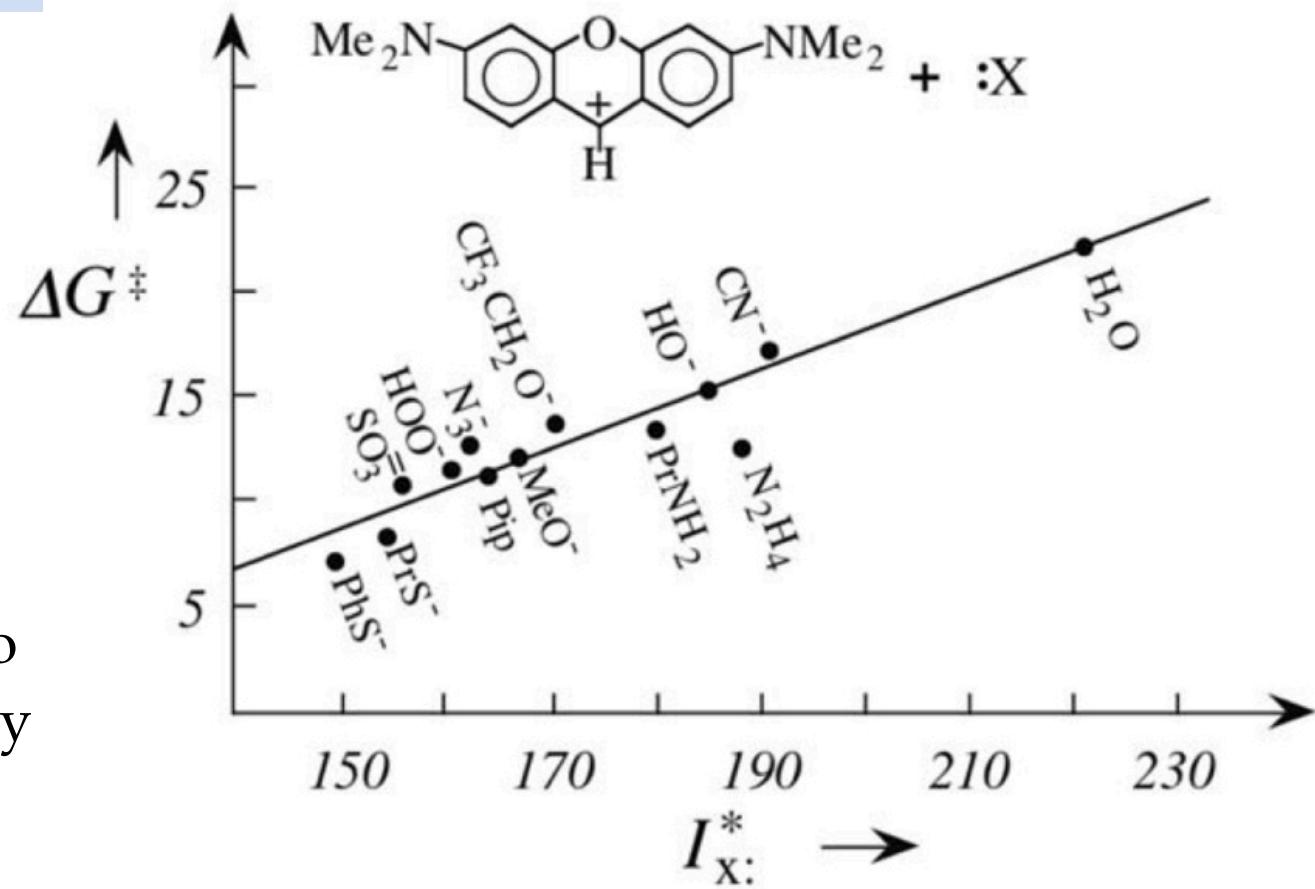
- Anion/Cation recombination :



Rule 2 :  $G_r \approx IP(X^-) - EA(R^+)$

In this serie the carbocation  
 $R^+$  is common

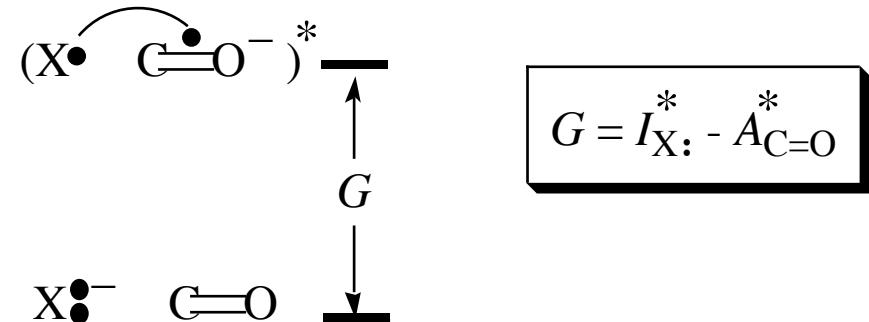
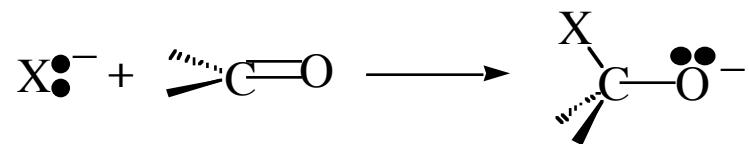
→ VB diagram bring order to  
the concept of nucleophilicity



# Illustrations

- Nucleophilic addition :

Rule 2 :  $G_r \approx IP(X^- :) - EA(R^+)$

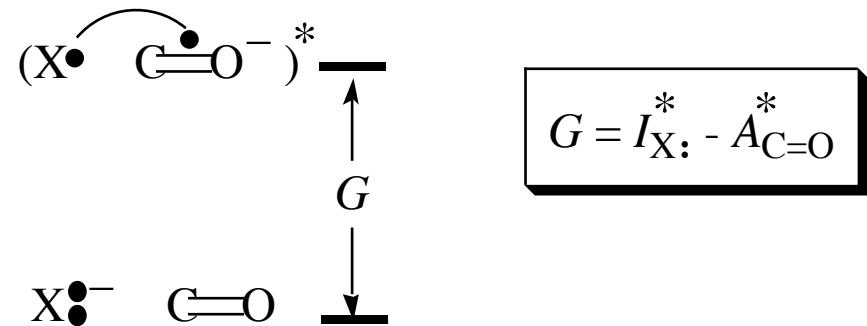
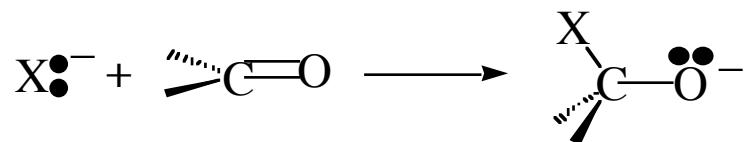


$$G = I_{X:}^* - A_{\text{C}=\text{O}}^*$$

# Illustrations

- Nucleophilic addition :

Rule 2 :  $G_r \approx IP(X^-) - EA(R^+)$



**First set : localized**



$$\Delta E^\ddagger = f_1(\text{IP}(X^-)) + \text{cte}$$

**Second set : delocalized**



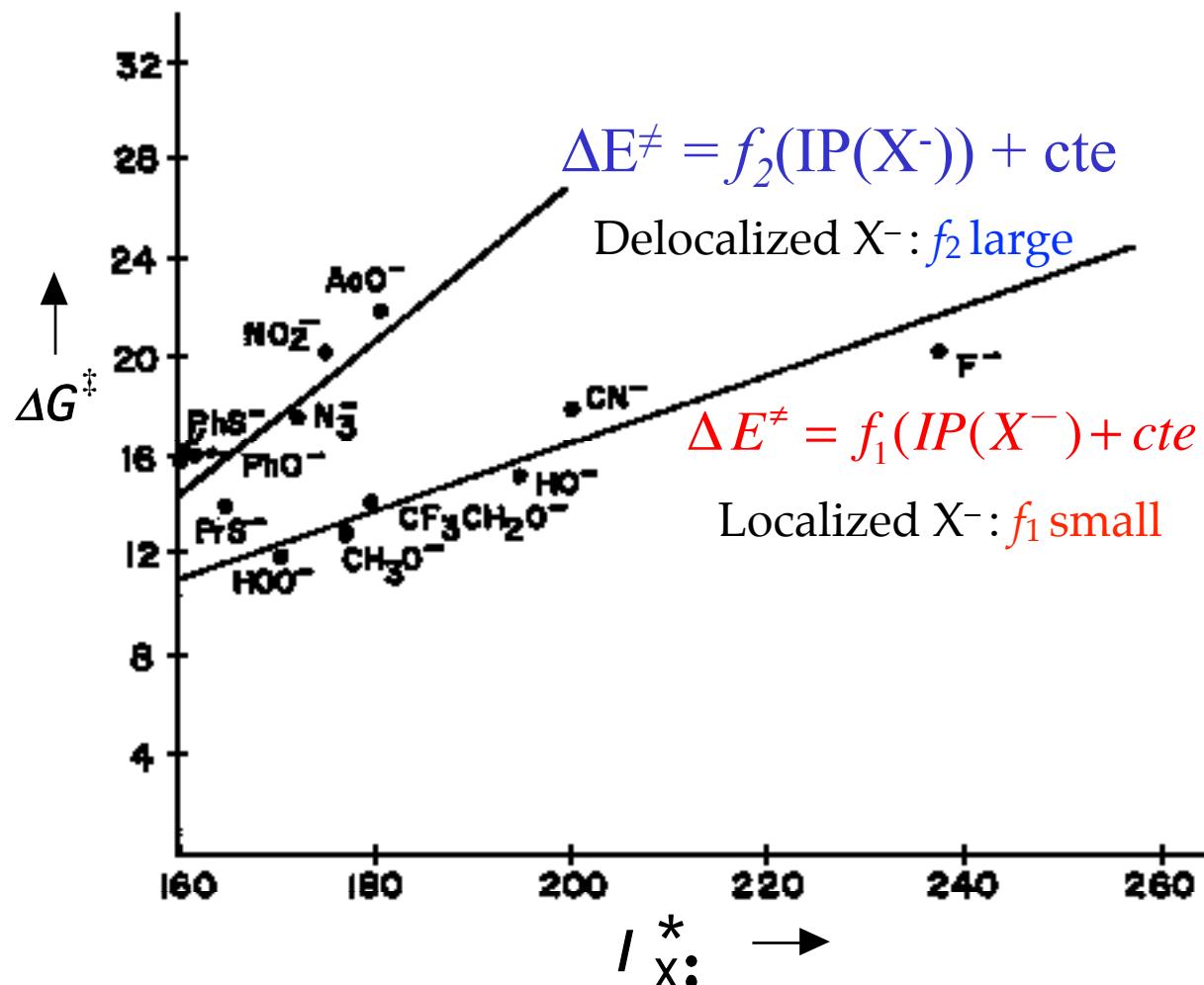
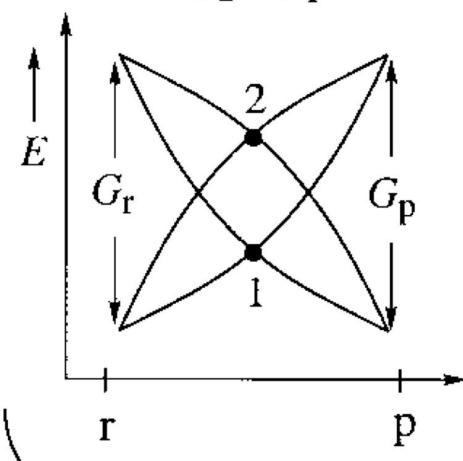
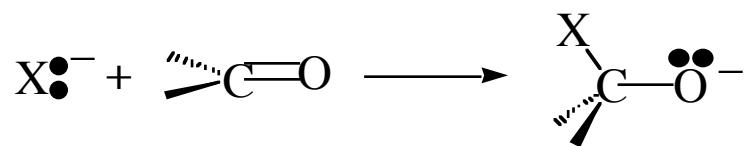
$$\Delta E^\ddagger = f_2(\text{IP}(X^-)) + \text{cte}$$

$$f_2 > f_1$$

# Illustrations

- Nucleophilic addition :

Rule 2 :  $G_r \approx IP(X^- :) - EA(R^+)$



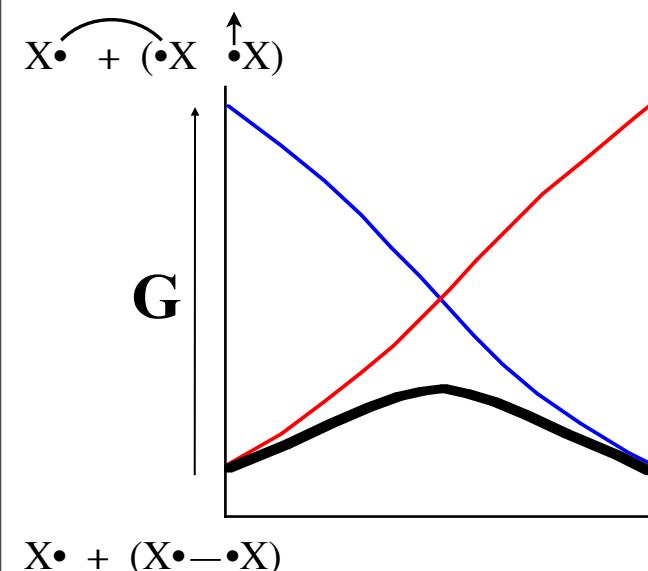
# Illustrations

- Radical exchange reactions

Stability or instability of  $X_3\bullet$  clusters ( $X = H, F, Cl, Br, I, Li, Na$ , etc.)



$$\text{Rule 1 : } \Rightarrow G \approx \Delta E_{ST}(X - X) \propto 2D_e$$



Strong bonds ( $H_3$ ):  
Large barrier

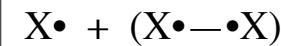
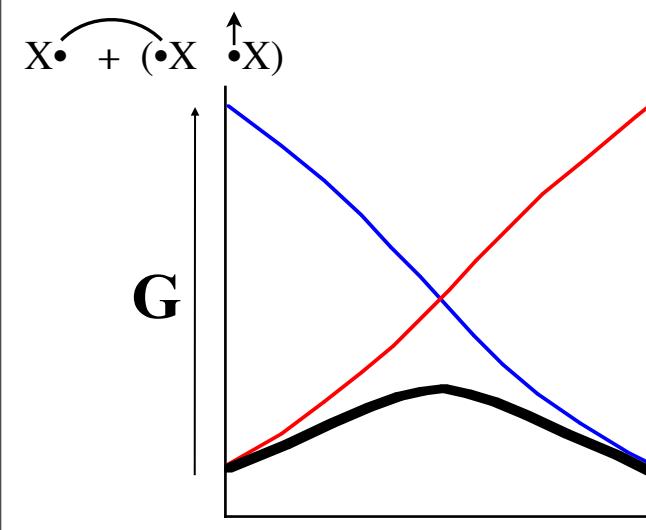
# Illustrations

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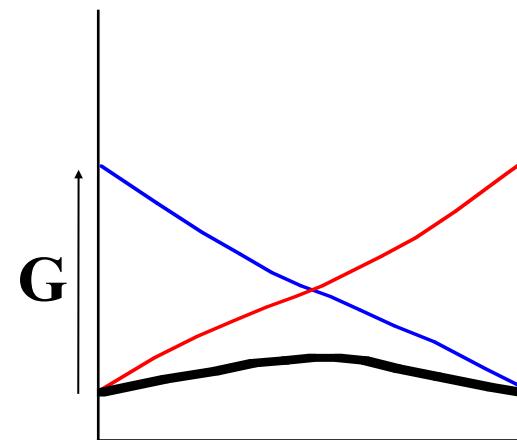
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Strong bonds ( $H_3$ ):  
Large barrier



Weaker bonds ( $Cl_3$ ):  
Smaller barrier

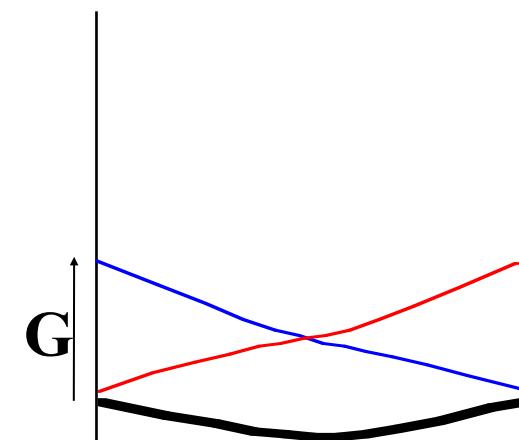
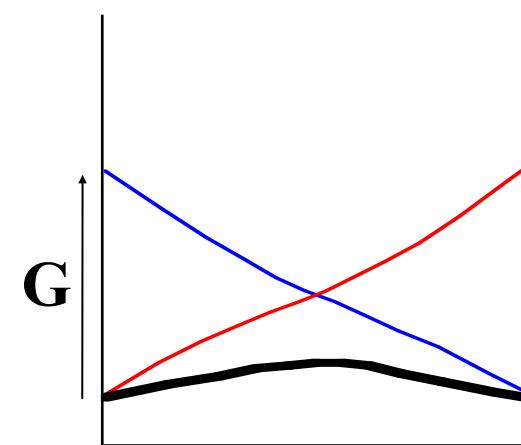
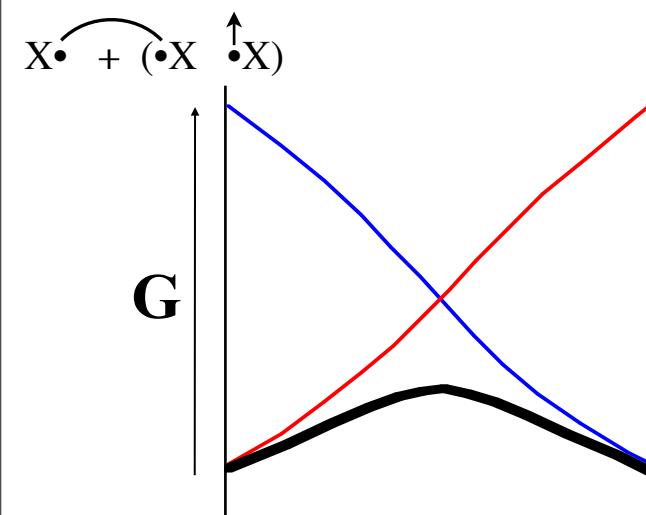
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- Radical exchange reactions

Stability or instability of  $X_3\bullet$  clusters ( $X = H, F, Cl, Br, I, Li, Na$ , etc.)



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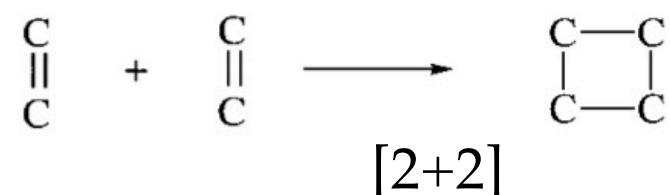
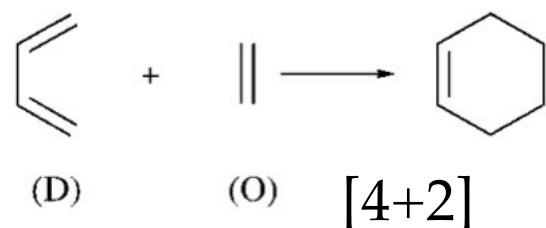
Strong bonds ( $H_3$ ):  
Large barrier

Weaker bonds ( $Cl_3$ ):  
Smaller barrier

Weak bonds ( $Li_3$ ):  
Stable cluster

# Illustrations

- Allowed / forbidden cycloadditions

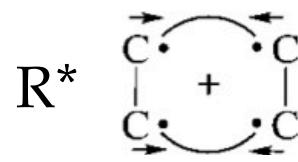
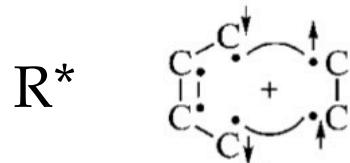
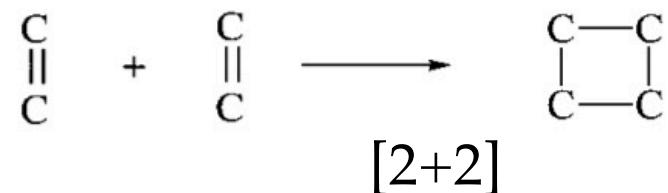
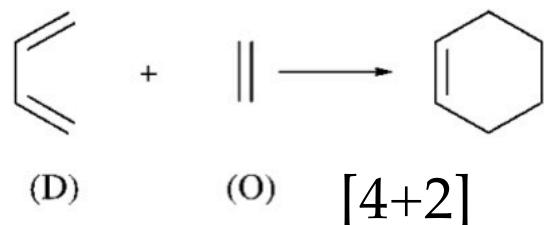


[4+2]

[2+2]

# Illustrations

- Allowed / forbidden cycloadditions



$$\text{Rule 1 : } G = \Delta E_{ST}(D) + \Delta E_{ST}(O)$$

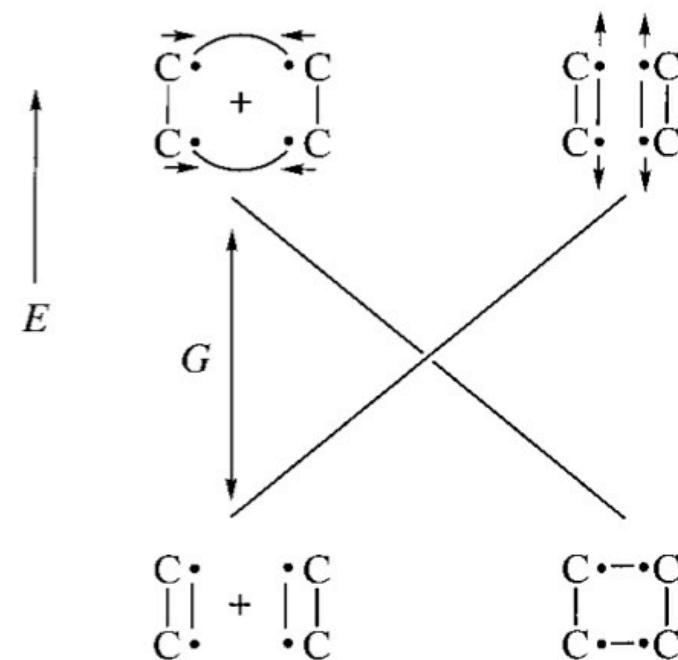
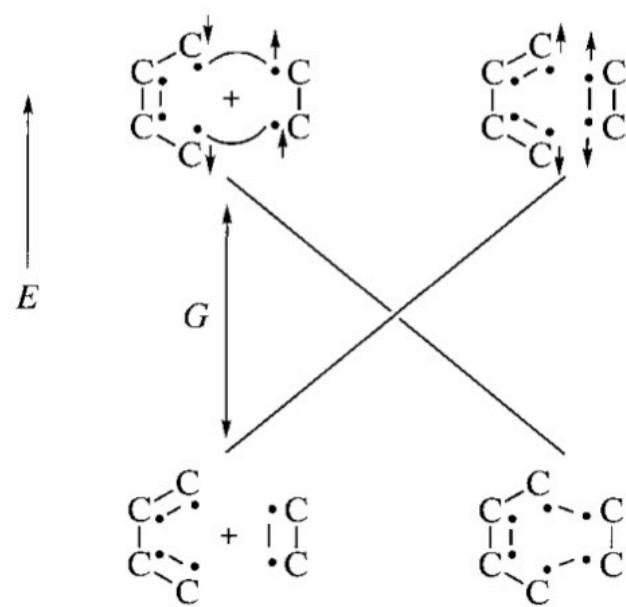
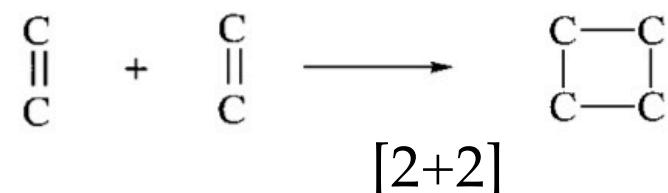
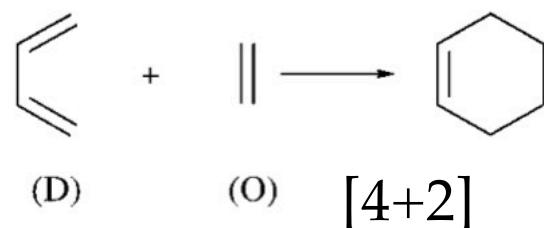
$$G = 2 \Delta E_{ST}(O)$$

with :  $\overbrace{\Delta E_{ST}(O) > \Delta E_{ST}(D)}$

→ G lower for [4+2] cycloaddition

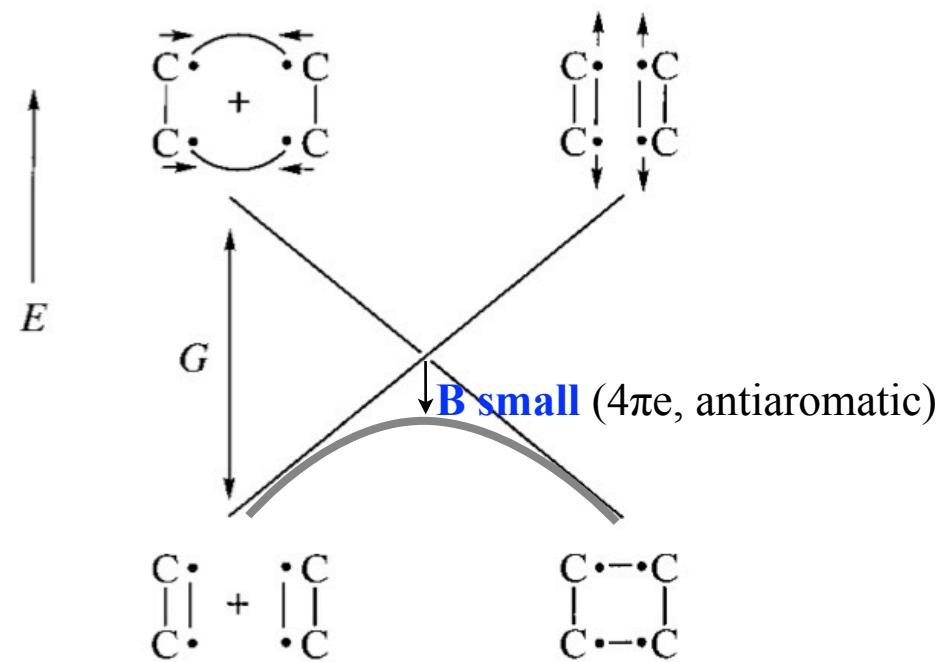
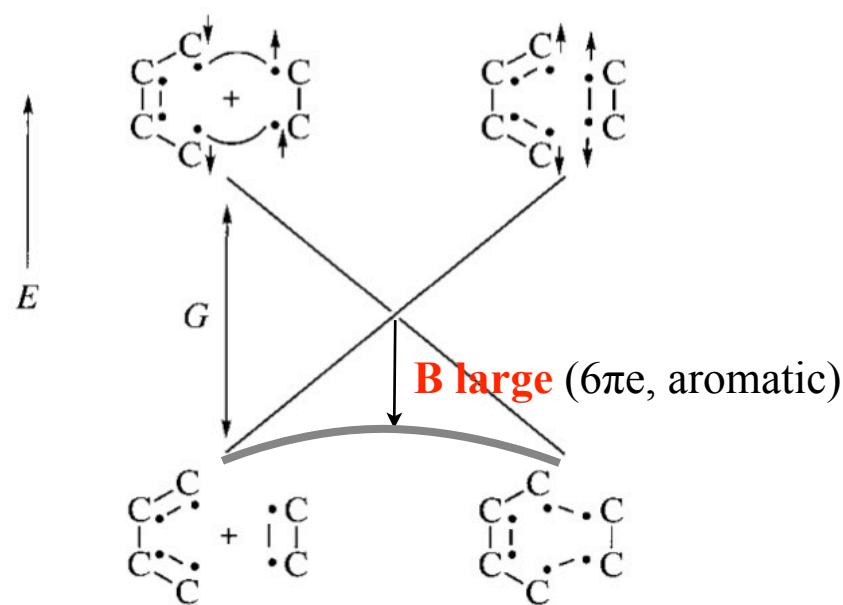
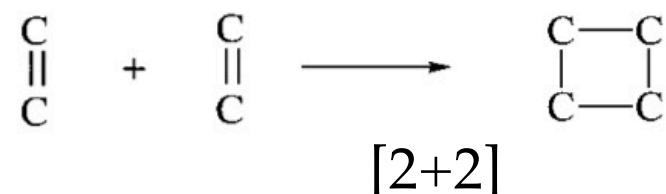
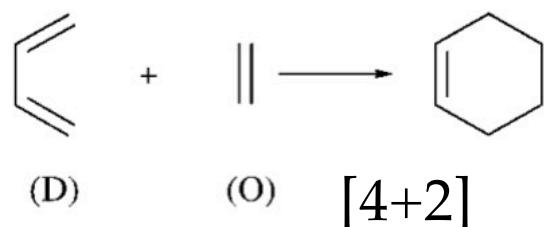
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- Allowed / forbidden cycloadditions



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- Allowed / forbidden cycloadditions

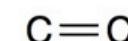
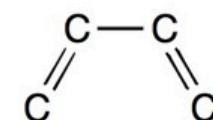
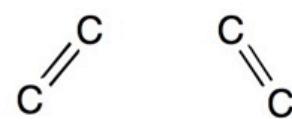


→ G lower and B larger for [4+2] cycloaddition

$$\Delta E = fG - B$$

# Illustrations

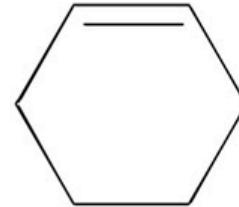
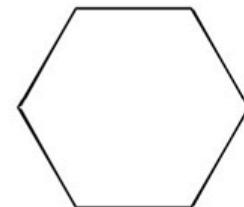
- Allowed / forbidden cycloadditions



Formally Allowed

[2+2+2]

[4+2]



Higher thermodynamic  
driving but much  
higher barrier...



$\Delta H = -67 \text{ kcal/mol}$

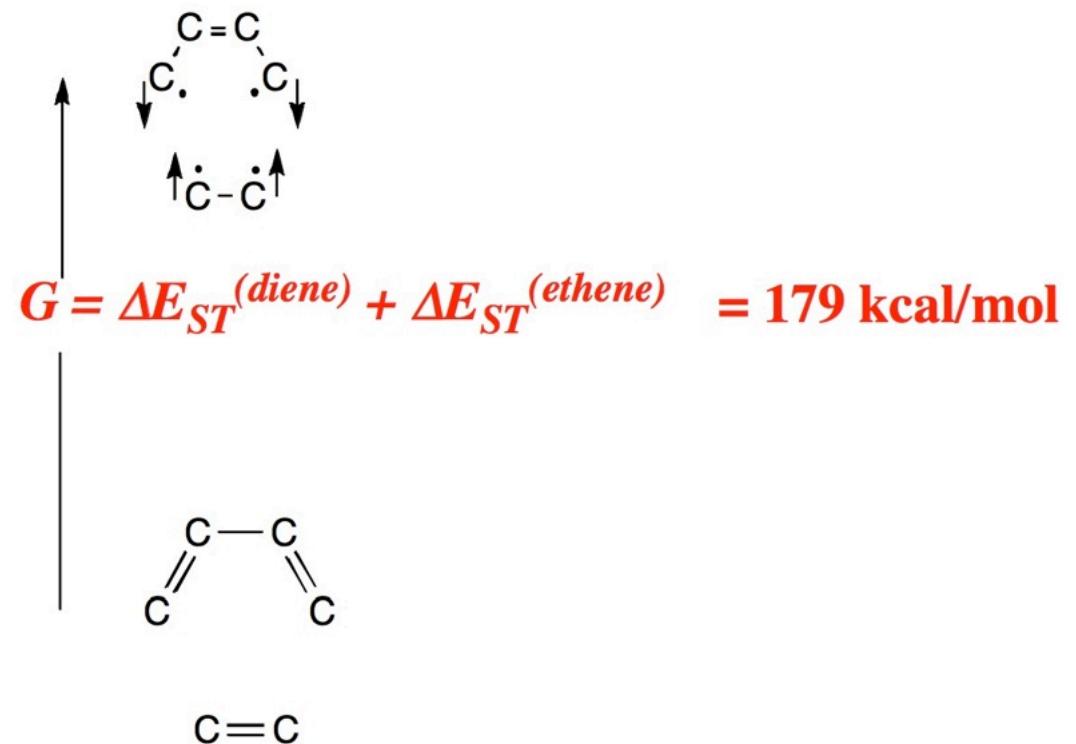
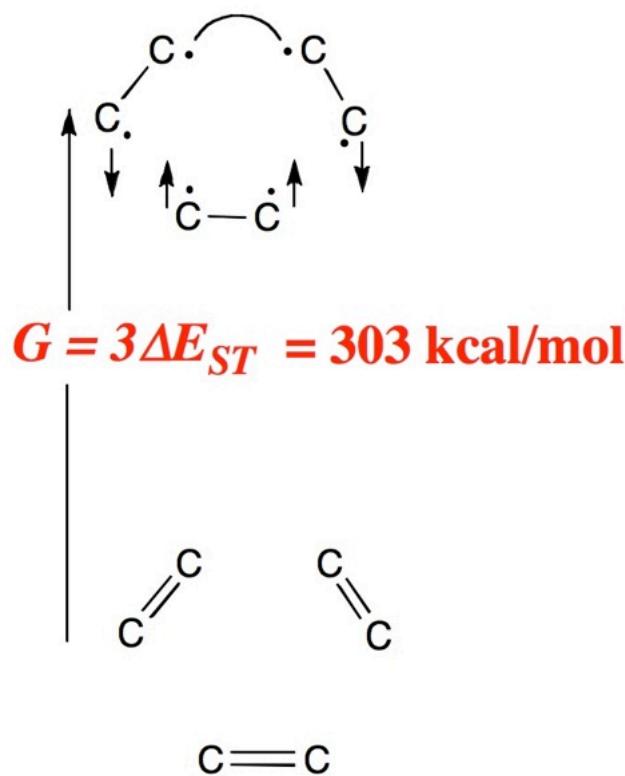
**$\Delta H^\ddagger = 49 \text{ kcal/mol}$**

$\Delta H = -44 \text{ kcal/mol}$

**$\Delta H^\ddagger = 22 \text{ kcal/mol}$**

# Illustrations

- Allowed / forbidden cycloadditions



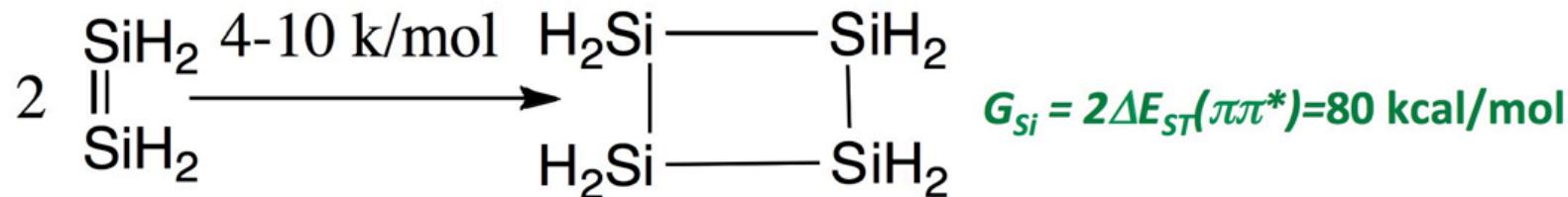
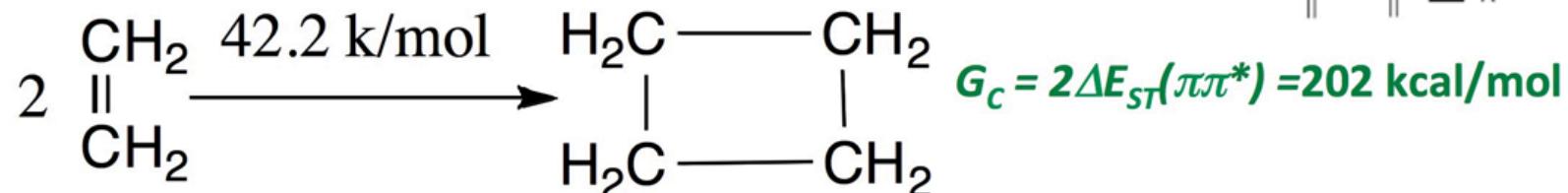
→  $G$  much higher for [2+2+2] cycloaddition

# Illustrations

- Allowed / forbidden cycloadditions

- G involve S→T decoupling of the two  $\pi$  bonds :

**Formally Forbiden**



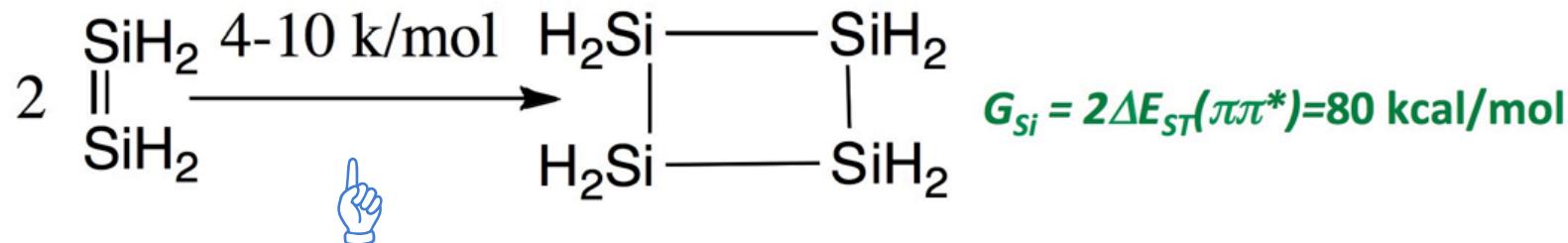
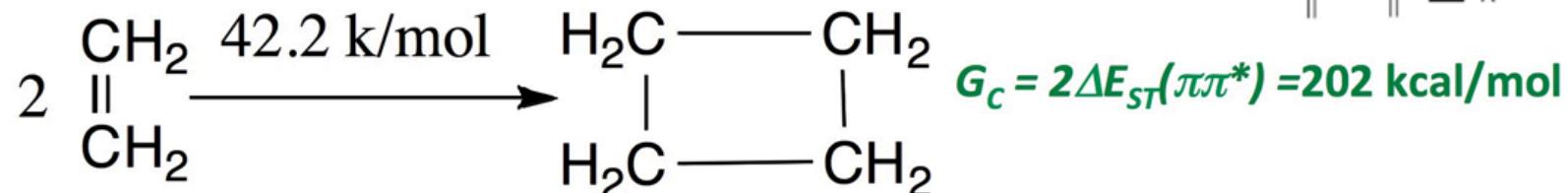
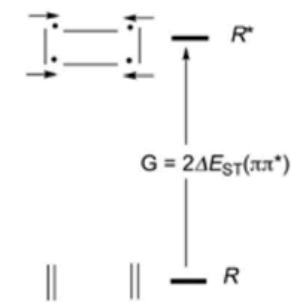
- Estimation using the formula ( $\Delta E^\ddagger = fG - B$ ) and  $f=0.3$  (typical value) lead to a barrier difference of 40 kcal.mol<sup>-1</sup> for Si vs C : not bad !

# Illustrations

- Allowed / forbidden cycloadditions

- G involve S→T decoupling of the two  $\pi$  bonds :

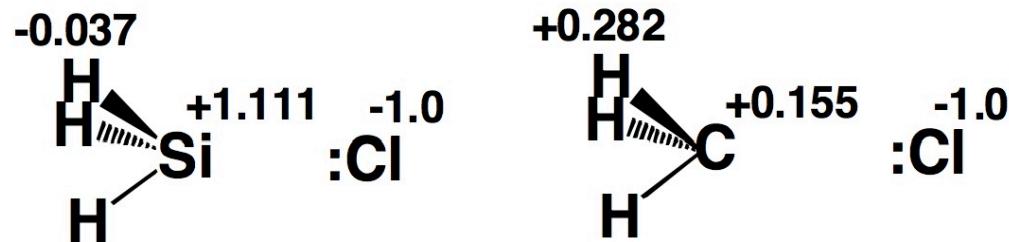
**Formally Forbiden**



It is even faster than the (formally allowed)  
Diels-Alder reaction with  $G=179 \text{ kcal.mol}^{-1}$  !

# Illustrations

- SN<sub>2</sub>(C) vs. SN<sub>2</sub>(Si) - Origin of hypercoordination :

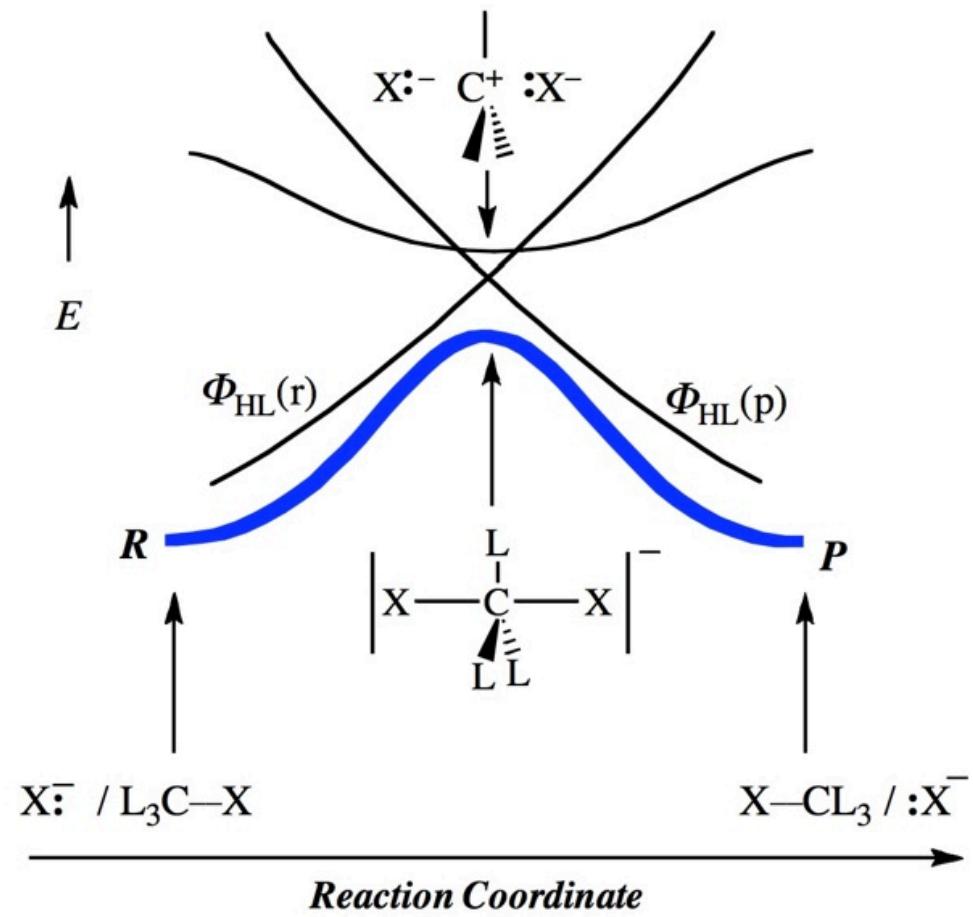
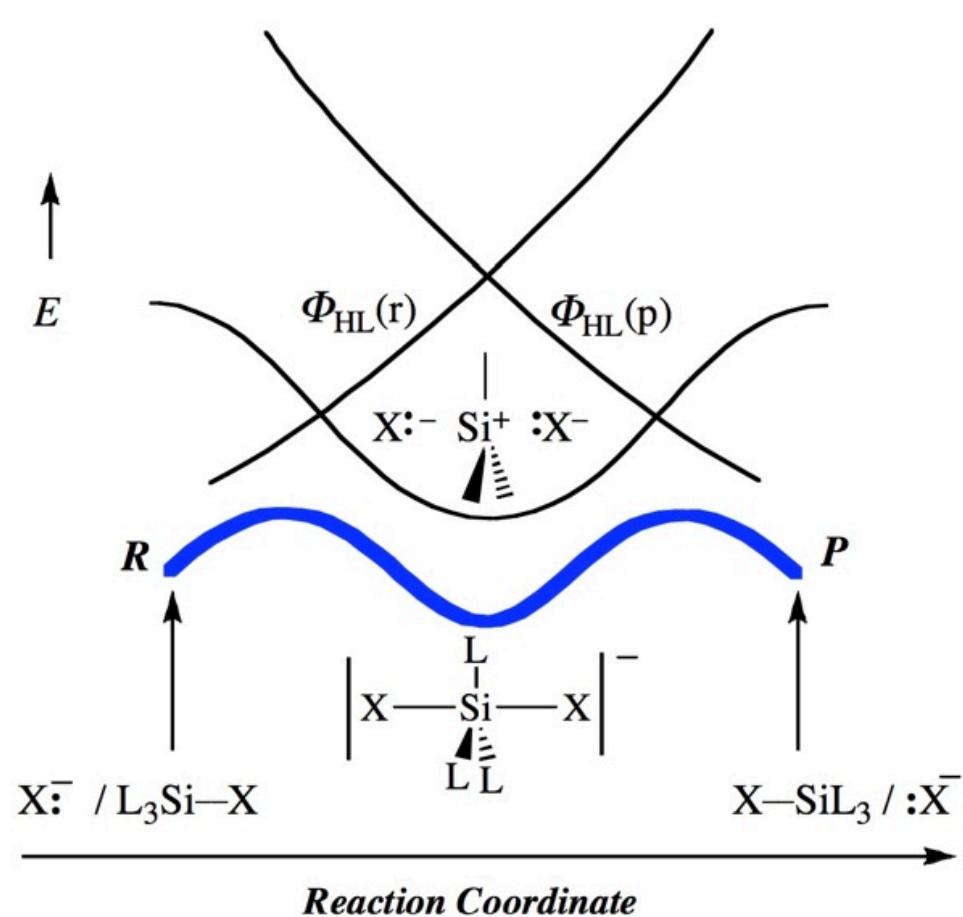


Positive charge localization on Si vs. delocalization on C

⇒ Si small ion allowing close approach of anions and a significant electrostatic stabilization of its ionic structure

# Illustrations

- SN2(C) vs. SN2(Si) - Origin of hypercoordination :



# Illustrations

- Barrier in radical exchange reactions :



$$\Delta E^\neq (\text{kcal/mol})$$

FHF                  20.9

ClHCl                11.0

BrHBr                8.0

# Illustrations

- Barrier in radical exchange reactions :



$\Delta E^\ddagger$  (kcal/mol)

**HFH**      **42.5**

**HClH**      **18.5**

**HBrH**      **12.9**

# Illustrations

- Barrier in radical exchange reactions :



$\Delta E^\ddagger$  (kcal/mol)

**HFH**            **42.5**

**FHF**            **20.9**

**HClH**            **18.5**

**CIHCl**            **11.0**

**HBrH**            **12.9**

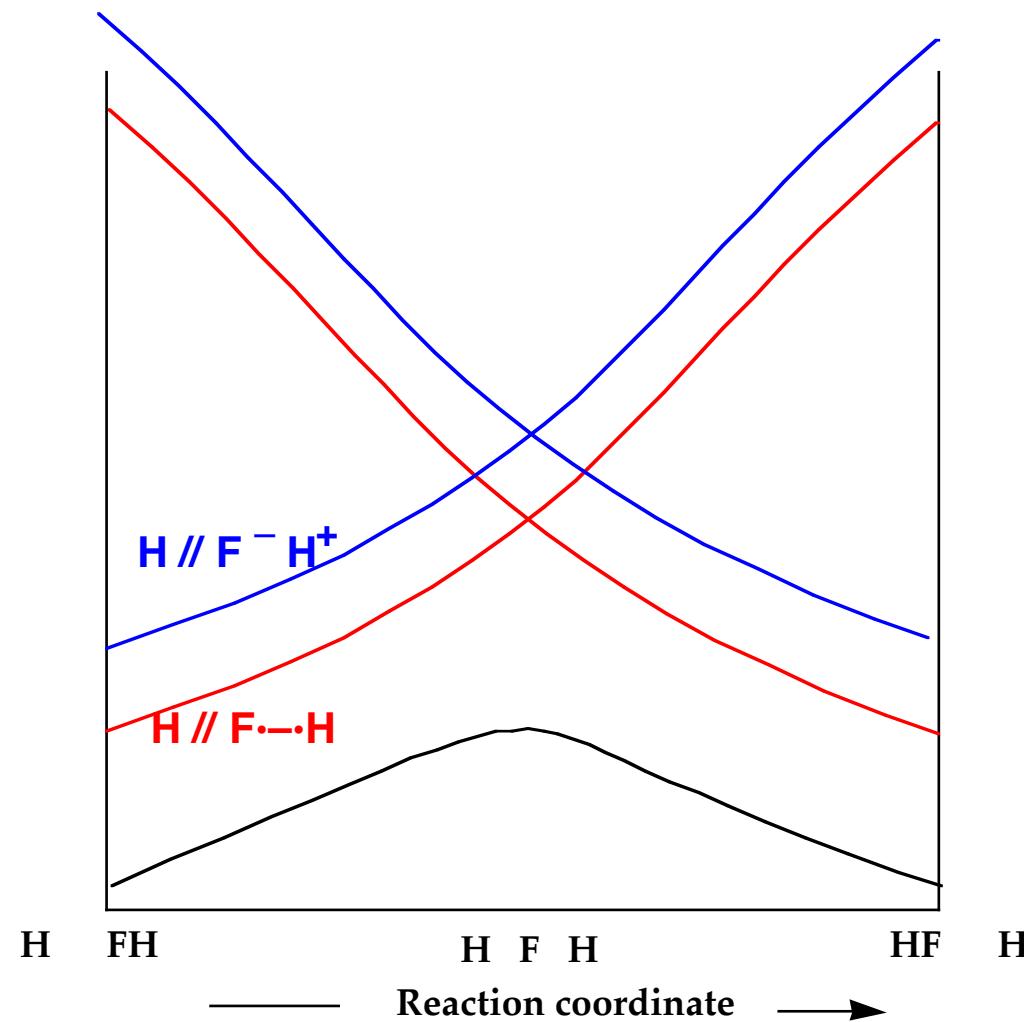
**BrHBr**            **8.0**

# Illustrations



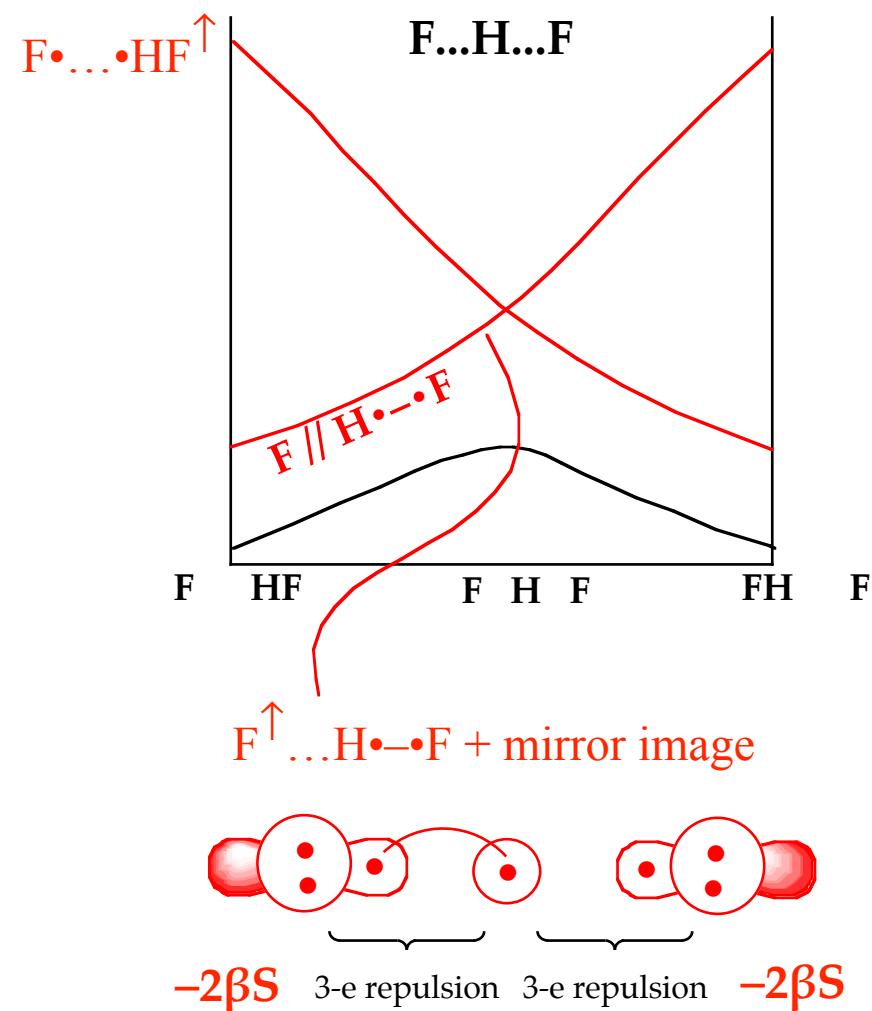
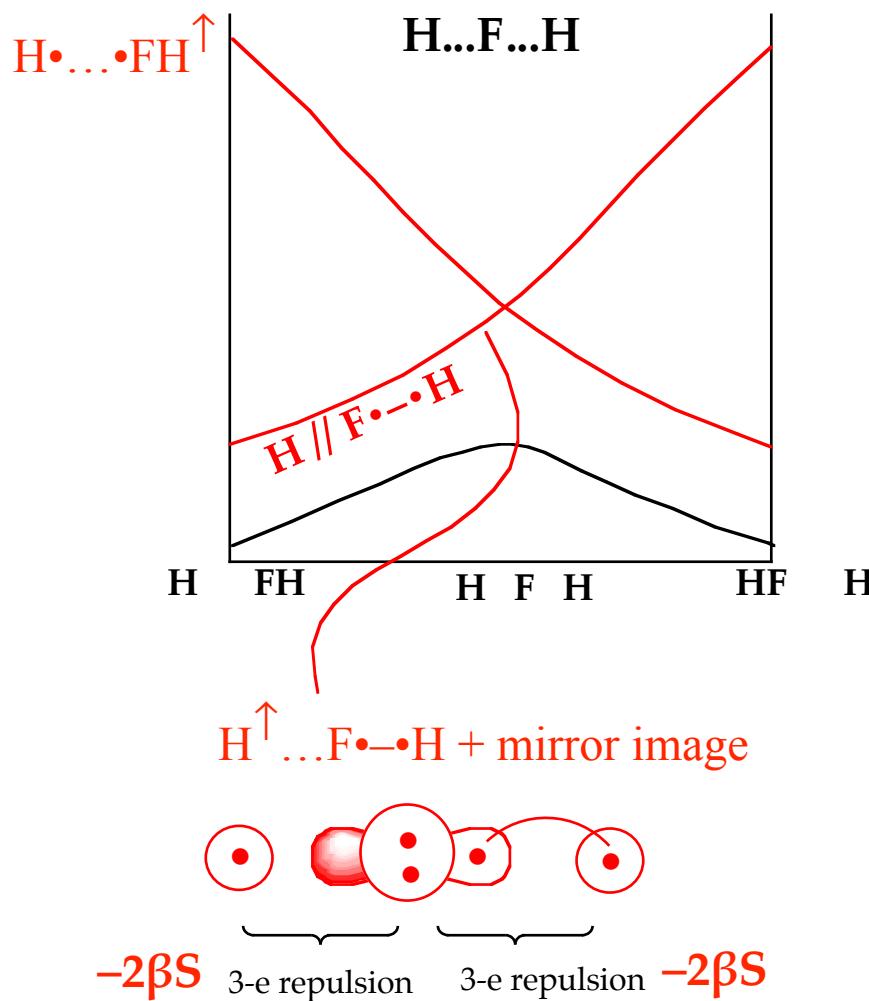
VB state-crossing diagrams

Similar diagrams  
for FHF reaction...



# Illustrations

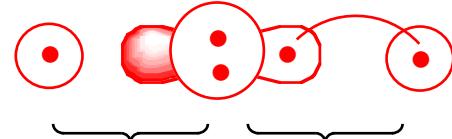
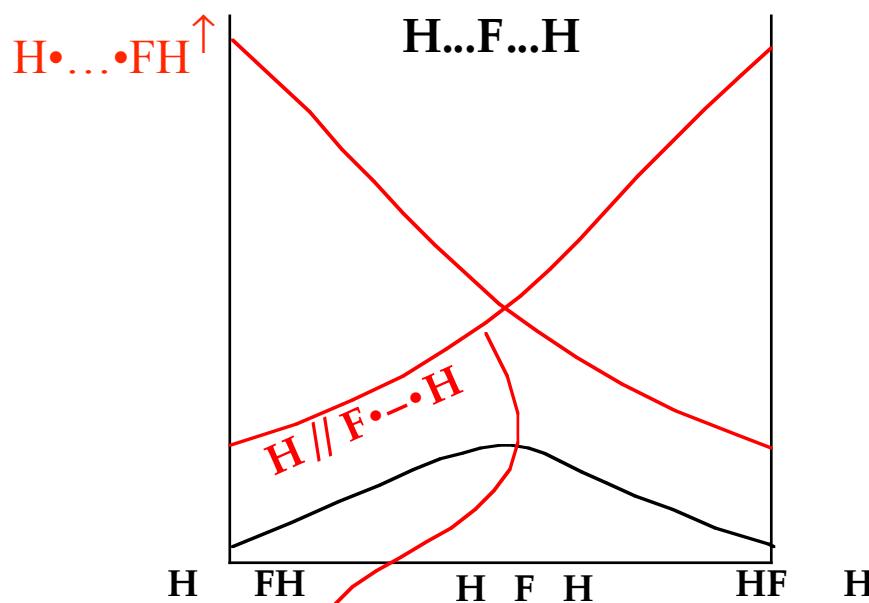
## The covalent curves



On the basis of covalent structures alone, both reactions should have the same barriers !

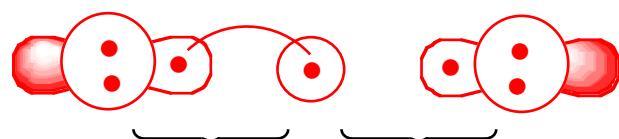
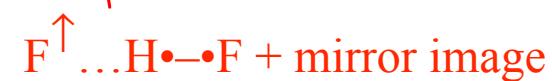
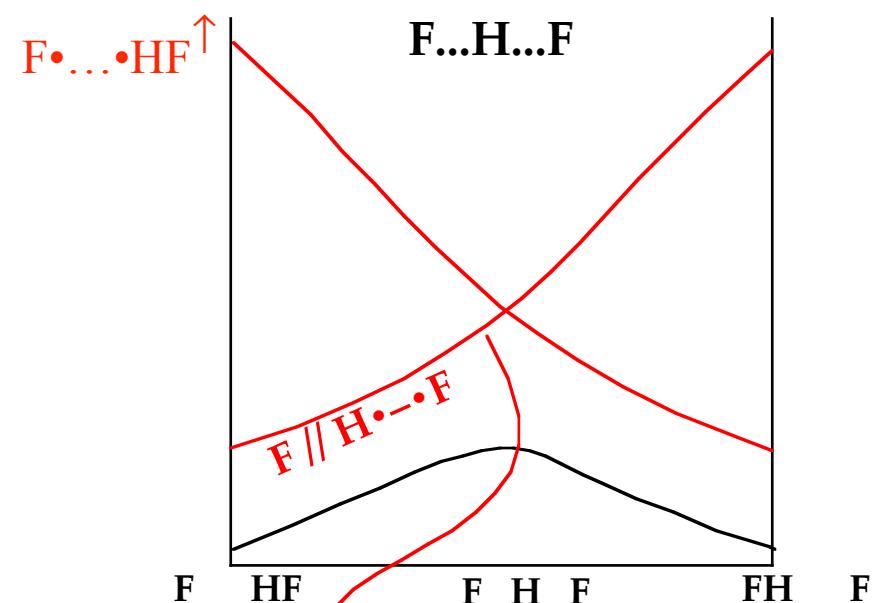
# Illustrations

## The covalent curves



3-e repulsion      3-e repulsion

$$\Delta E^\neq = 62.6 \text{ kcal/mol}$$

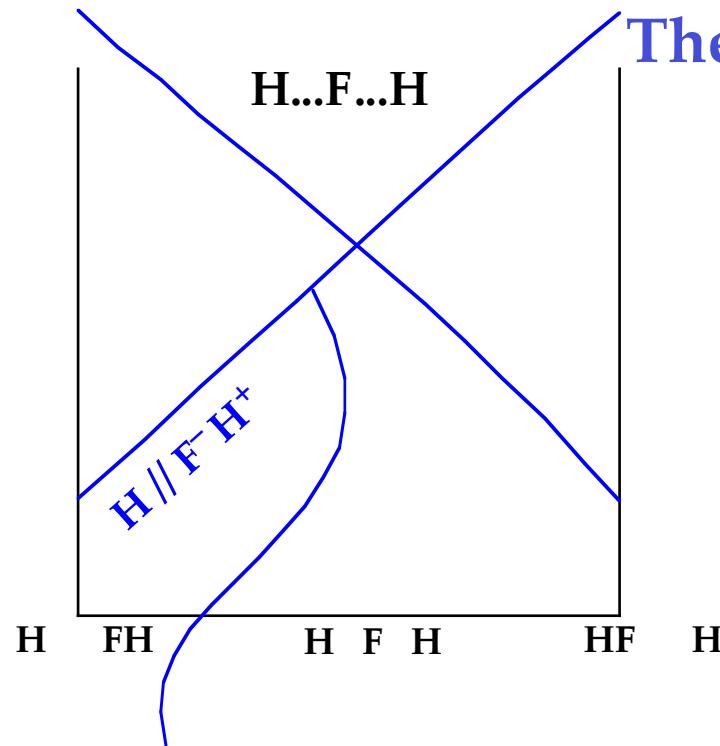


3-e repulsion      3-e repulsion

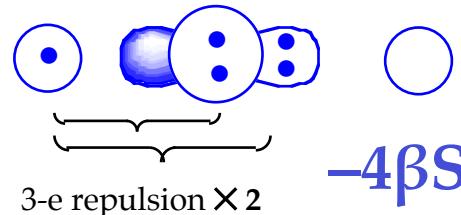
$$\Delta E^\neq = 60.7 \text{ kcal/mol}$$

# Illustrations

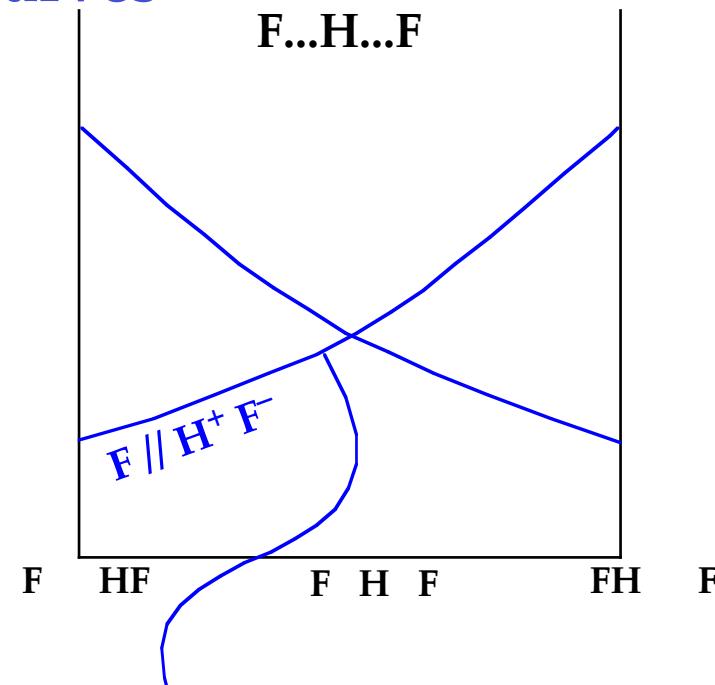
The ionic curves



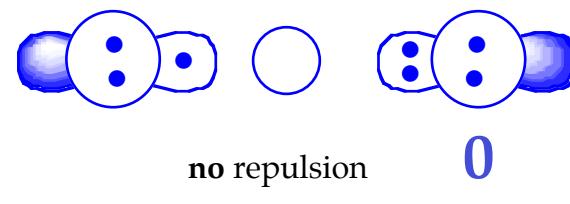
$H^{\uparrow} \dots F^- \dots H^+ + \text{mirror image}$



The ionic structure is strongly destabilized in the transition state



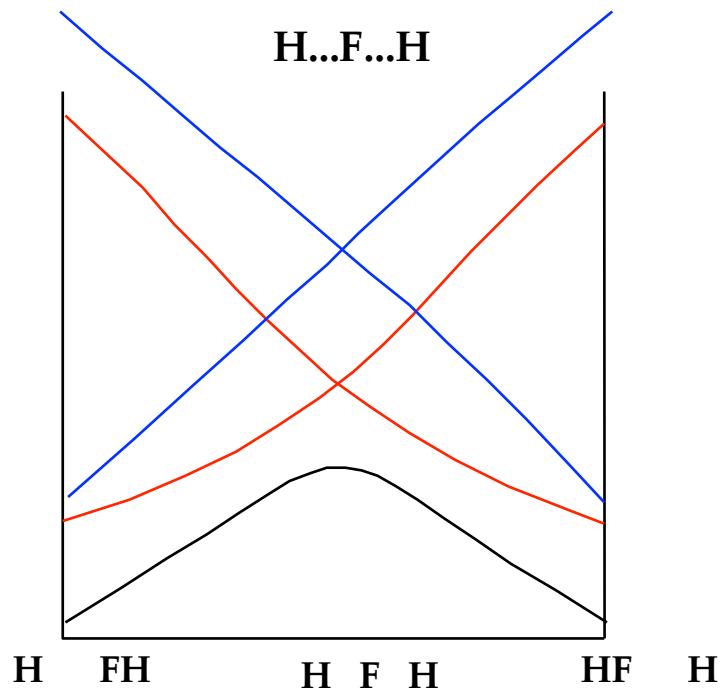
$F^{\uparrow} \dots H^+ \dots F^- + \text{mirror image}$



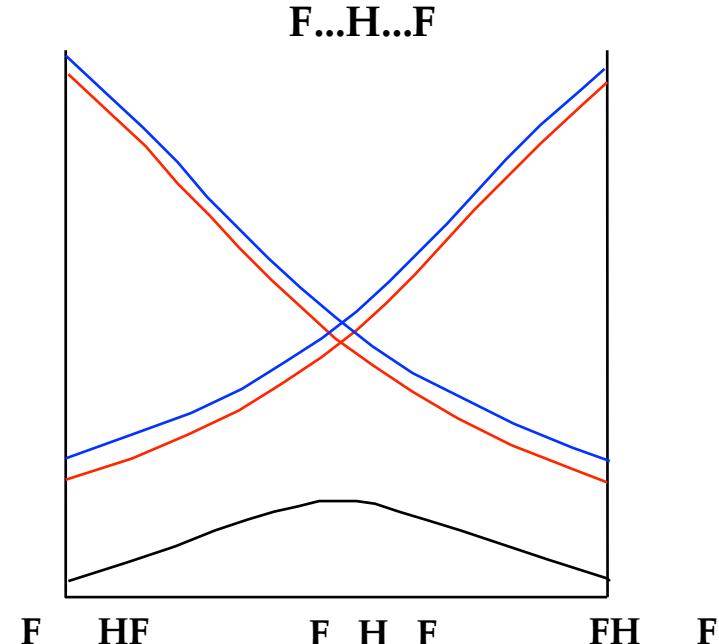
The ionic structure is not destabilized

# Illustrations

Covalent + ionic curves



Loss of covalent-ionic RE at the transition state => **high barrier**

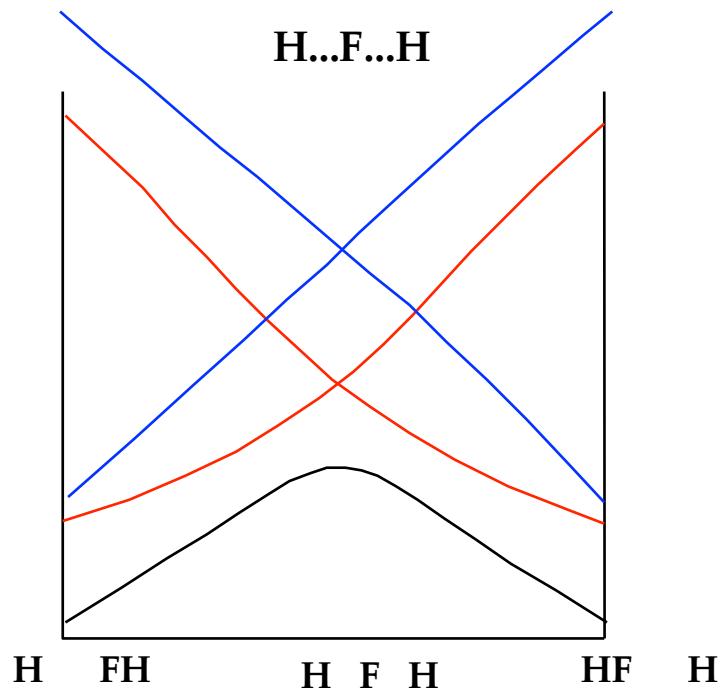


Constant covalent-ionic RE:  
=> **lower barrier**

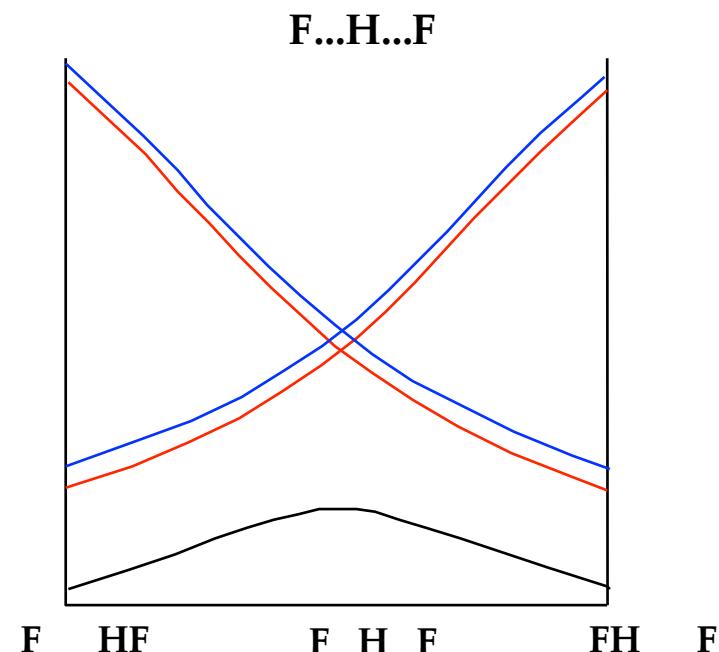
The only factor that differentiate barriers at the TS is the covalent-ionic RE

# Illustrations

Covalent + ionic curves



Loss of covalent-ionic RE at the transition state => **high barrier**

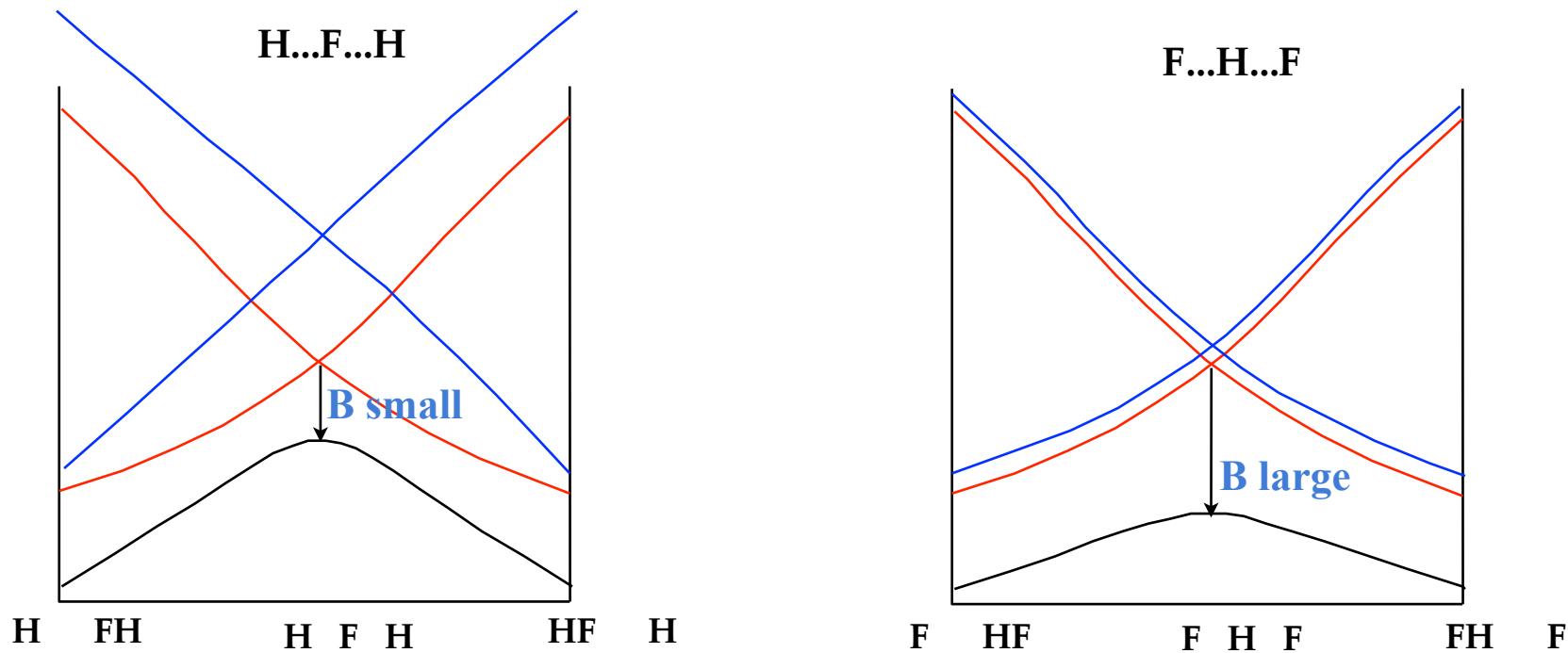


Constant covalent-ionic RE:  
=> **lower barrier**

...and we know (from tutorial 1) that the covalent-ionic RE is very large in H—F

# Illustrations

Covalent + ionic curves



## H...F...H vs. F...H...F

Resonance Energies (BOVB):

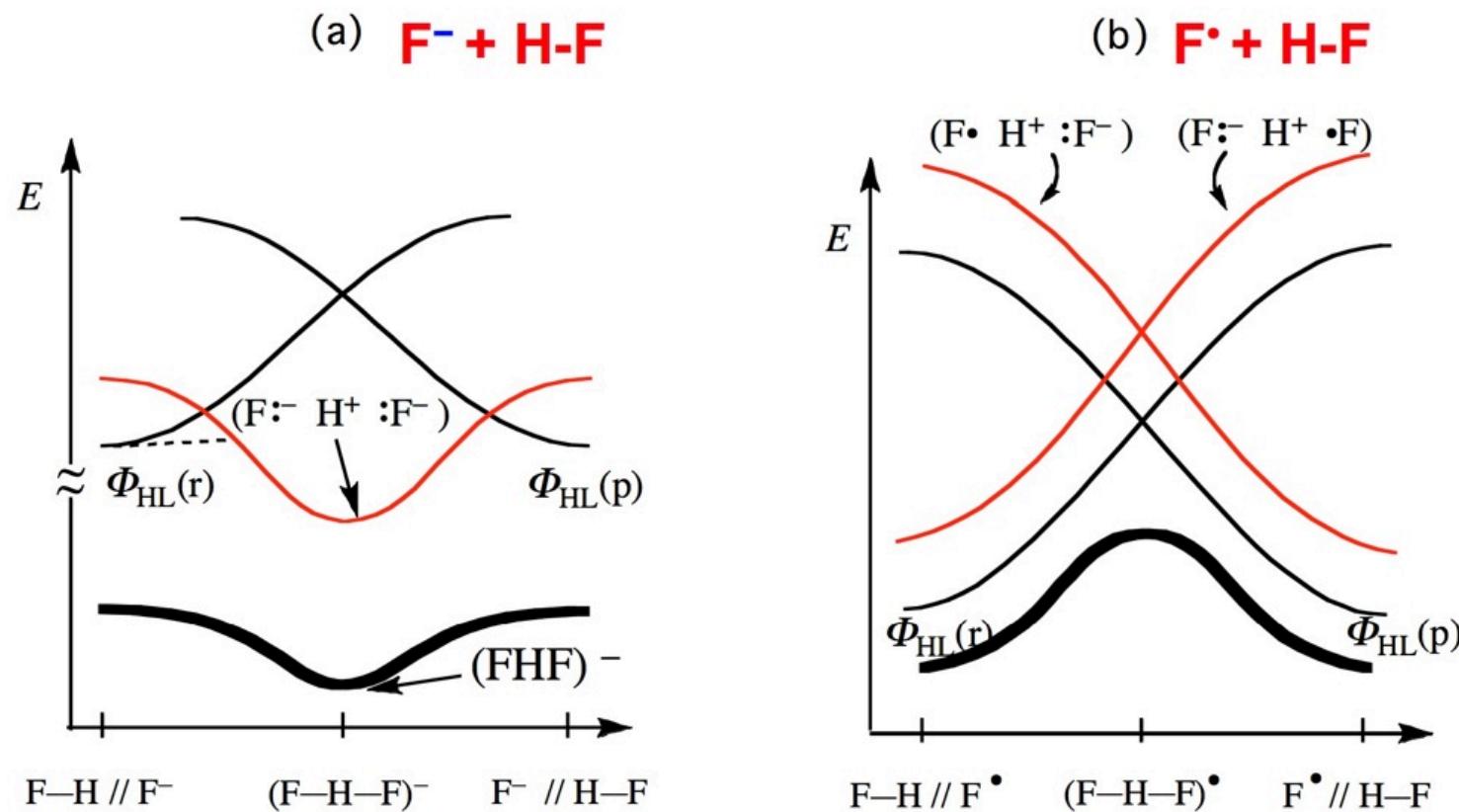
$\text{RE(HFH)} < \text{RE(FHF)}$  Difference = **22.3 kcal/mol**

Reaction barriers (CCSD(T)):

$\Delta E^*(\text{HFH}) < \Delta E^*(\text{FHF})$  Difference = **21.6 kcal/mol**

# Illustrations

- Multistate diagrams (VBCMD) :

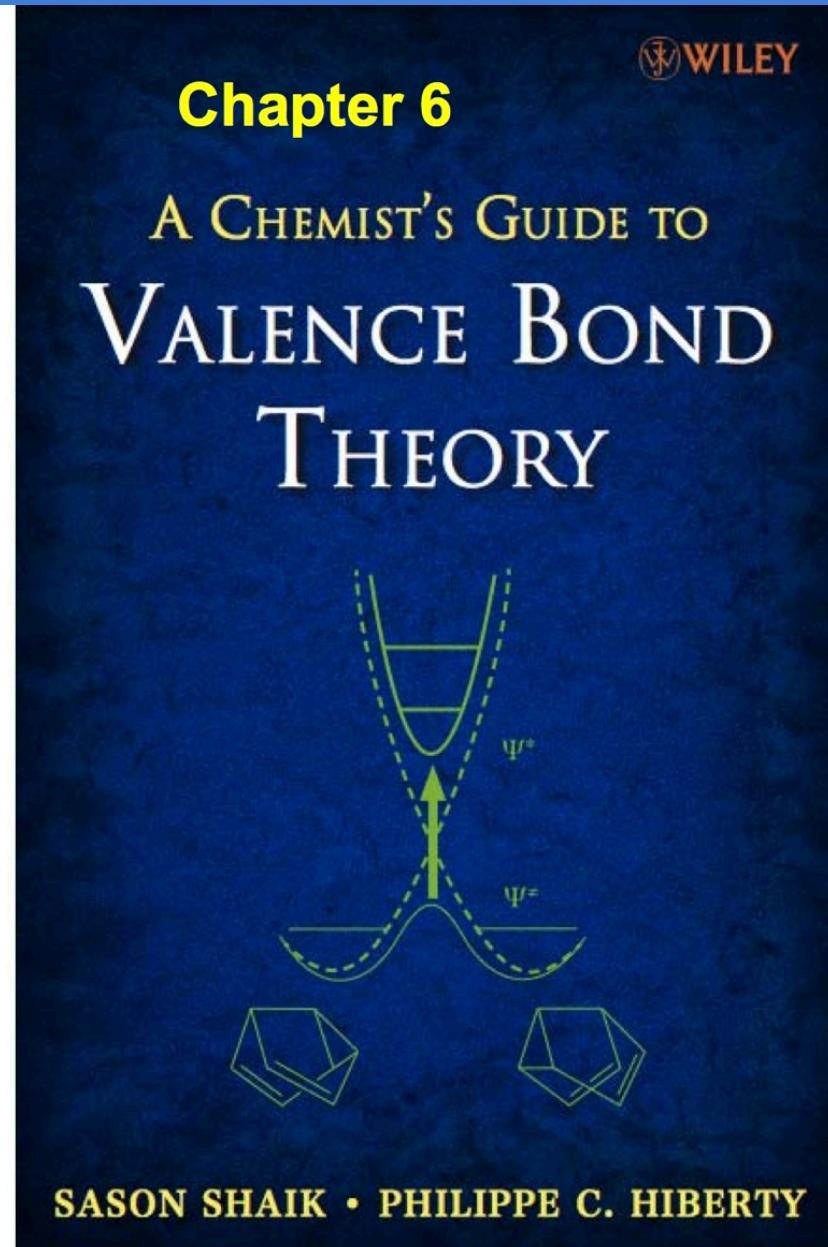


A single electron may change everything !

# Conclusion

- A general and powerful model for reactivity :
  - Nucleophilic, electrophilic, radical, pericyclic...
  - Simple: could be applied «on the back of en envelop»
  - Insightful: allows to create order among great families of reactions
- Both interpretative and quantitative :
  - qualitative reasonings : a few rules and elementary interactions
  - quantitative proof : by high level VB calculations

# To go further...



# To go further...



# Final conclusion

