### [Second] RPA, linear-response theory, and nuclear sound

Panagiota Papakonstantinou

Rare Isotope Science Project – IBS Daejeon, S.Korea

**Time flies** 



#### Large-scale Second-RPA calculations for collective excitations

- Introduction Motivation
  - ... and some formalism
- Large-scale Second RPA
  - Technical issues
  - Physical aspects via illustrative examples
  - Stability problems and missing correlations
- Open questions
  - Range and conditions of validity of SRPA?
  - Any implications for first RPA?
  - ...

TBW: Seven years ago

### SRPA:

- Range and conditions of validity
- (S)RPA vs linear-response theory vs density-functional theory





### **Nomenclature: Which RPA?**



### ✤ pp-RPA

- From A to A±2 system
- Pairing interaction
- Ladder diagrams

### ✤ ph-RPA

- Same number of particles
- Phonon excitations
- Ring diagrams
- ph-2p2h-RPA (Second RPA)
  - Extension of ph-RPA
  - Related to phonon-phonon coupling





where  $\sum$  (the self energy) is given by



The diagrams in (4.98) are called 'ring' diagrams because of their ring-like structure. For historical reasons, this approximation for G is called the 'Random Phase Approximation' or 'RPA'.







### **Nomenclature: Which RPA?**



### ✤ pp-RPA

- From A to A±2 system
- Pairing interaction
- Ladder diagrams

### ✤ ph-RPA

- Same number of particles
- Phonon excitations
- Ring diagrams
- ph-2p2h-RPA (Second RPA)
  - Extension of ph-RPA
  - Related to phonon-phonon coupling
    - HF reference state
    - Spherical systems (J good quantum number)
    - Everything antisymmetrized





where  $\sum$  (the self energy) is given by



The diagrams in (4.98) are called 'ring' diagrams because of their ring-like structure. For historical reasons, this approximation for G is called the 'Random Phase Approximation' or 'RPA'.







#### **C**RAON

### Ground-state correlations

- Correction to the Hartree-Fock energy via the backward amplitudes
- Correction real in the absence of phase transitions (e.g. superfluidity in pp-RPA)

### Excited states

- pp-RPA: 2-particle transfer, spectroscopy
- ph-RPA, ph-2p2h-RPA: Vibrational states, sound waves



#### **C**RAON

### Ground-state correlations

- Correction to the Hartree-Fock energy via the backward amplitudes
- Correction real in the absence of phase transitions (e.g. superfluidity in pp-RPA)

### Excited states

- pp-RPA: 2-particle transfer, spectroscopy
- ph-RPA, ph-2p2h-RPA: Vibrational states, sound waves



External potential field V probes system with groundstate density ρ<sub>0</sub>(r)

$$\sum_{n} (E_n - E_0) |\langle 0|V|n\rangle|^2 = \int d^3 r \rho_0(\vec{r}) \frac{(\vec{\nabla}V)^2}{2m}$$

- Classical interpretation: relation between the average energy transferred and the impulse given to each nucleon
- Plane-wave field → f-sum rule [book: Pines&Nozières, 1966]

Sound modes in nuclei: >50% of EWSR → "Giant resonances" [book: Harakeh&van der Woude, 2000]



### Overview

### RPA for sound waves

- Nuclear sound
- ph-RPA as linear-response theory

Second RPA – The past 7 years... and beyond

- SRPA and Thouless' theorem
- Implications for first-order RPA
- Remarks on density-functional theory

### Summary





 Thy shall not use SRPA based on the Hartree-Fock reference state. It suffers from inconsistencies and instabilities.

### The practitioner

- If you are interested in [giant resonances / collective phonons, plasmons, ... / excitations exhausting most of the EWSR] it's fine, it will even give you some fine structure and energy corrections
- The contrarian
  - Then why would I use RPA at all?
  - When should I use what? I'm confused.





- PP, R.Roth, "Second random-phase approximation and realistic interactions", Phys.Lett.B671(2009)356
- PP,R.Roth, "Large-scale second random-phase approximation calculations with finite-range interactions", Phys.Rev.C81(2010)024317
- PP, "Second random-phase approximation, Thouless' theorem, and the stability condition re-examined and clarified", Phys.Rev.C90(2014)024305



## INTRODUCTION

Nuclear sound

Derivation and properties of (S)RPA

### Nuclear Giant Resonances



["Giant resonances" book by Harakeh and van der Woude]

### **Nuclear Giant Resonances**



["Giant resonances" book by Harakeh and van der Woude]

### Normal modes of vibration



**Equations-of-motion method** 

Schrödinger equation:

$$H|\nu\rangle = E_{\nu}|\nu\rangle$$

Define creation / annihilation operators

$$|\nu\rangle = Q_{\nu}^{\dagger}|0\rangle \quad ; \quad Q_{\nu}|0\rangle = 0$$

Rewrite:

 $\langle 0|[R, [H, Q_{\nu}^{\dagger}]]|0\rangle = E_{\nu} \langle 0|[R, Q_{\nu}^{\dagger}]|0\rangle \quad ; \quad \forall R$ 

• E.g.:  $R = a_p^{\dagger} a_h$ , ph operator,  $Q_{\nu} = \sum_{ph} X_{ph} a_p^{\dagger} a_h - Y_{ph} a_h^{\dagger} a_p$ , and  $|0\rangle = |\text{HF}\rangle$ : HF-RPA Vibration creation operator:

$$Q_{\nu}^{\dagger} = \sum_{ph} X_{ph}^{\nu} O_{ph}^{\dagger} - \sum_{ph} Y_{ph}^{\nu} O_{ph} \quad ; \quad Q_{\nu} |\text{RPA}\rangle = 0 \quad ; \quad Q_{\nu}^{\dagger} |\text{RPA}\rangle = |\nu\rangle$$

Standard RPA - the RPA vacuum is approximated by the HF ground state:

 $\langle \mathrm{RPA} | \dots | \mathrm{RPA} \rangle \rightarrow \langle \mathrm{HF} | \dots | \mathrm{HF} \rangle \;\; ; \;\; O_{ph}^{\dagger} \rightarrow a_{p}^{\dagger} a_{h}$ 

■ RPA equations in *ph*-space:

$$\begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix} \begin{pmatrix} X^{\nu} \\ Y^{\nu} \end{pmatrix} = \hbar \omega_{\nu} \begin{pmatrix} X^{\nu} \\ Y^{\nu} \end{pmatrix}$$

$$A_{ph,p'h'} = \delta_{pp'} \delta_{hh'}(e_p - e_h) + H_{hp',ph'} \quad ; \quad B_{ph,p'h'} = H_{hh',pp'} \quad ; \quad For \text{ some in-medium}$$
Hamiltonian H

Self-consistent HF+RPA: spurious state and sum rules

- Equivalent to small-amplitude Time-Dependent Hartree-Fock
- Linear Response Theory



■ Vibration creation operator: Includes 2p2h configurations

$$\begin{split} Q^{\dagger}_{\nu} &= \sum_{ph} X^{\nu}_{ph} O^{\dagger}_{ph} - \sum_{ph} Y^{\nu}_{ph} O_{ph} + \sum_{p_1h_1p_2h_2} \mathcal{X}^{\nu}_{p_1h_1p_2h_2} O^{\dagger}_{p_1h_1p_2h_2} \\ &- \sum_{p_1h_1p_2h_2} \mathcal{Y}^{\nu}_{p_1h_1p_2h_2} O_{p_1h_1p_2h_2} \end{split}$$

The SRPA vacuum is approximated by the HF ground state:

 $\langle SRPA | \dots | SRPA \rangle \rightarrow \langle HF | \dots | HF \rangle$ 

■ SRPA equations in  $ph \oplus 2p2h$ -space:

$$\begin{pmatrix} A & \mathcal{A}_{12} & B & 0 \\ \frac{\mathcal{A}_{21}}{-B^*} & \mathcal{A}_{22} & 0 & 0 \\ \hline -B^* & 0 & -A^* & -\mathcal{A}_{12}^* \\ 0 & 0 & -\mathcal{A}_{21}^* & -\mathcal{A}_{22}^* \end{pmatrix} \begin{pmatrix} X^{\nu} \\ \frac{\mathcal{X}^{\nu}}{Y^{\nu}} \\ \mathcal{Y}^{\nu} \end{pmatrix} = \hbar \omega_{\nu} \begin{pmatrix} X^{\nu} \\ \frac{\mathcal{X}^{\nu}}{Y^{\nu}} \\ \frac{\mathcal{Y}^{\nu}}{Y^{\nu}} \end{pmatrix}$$

 $A_{ph,p'h'} = \delta_{pp'}\delta_{hh'}(e_p - e_h) + H_{hp',ph'} ; B_{ph,p'h'} = H_{hh',pp'}$ 

For some in-medium Hamilto nian H

 $\mathcal{A}_{12}$ : interactions between ph and 2p2h states  $\mathcal{A}_{22}$ :  $\delta_{p_1p'_1}\delta_{h_1h'_1}\delta_{p_1p'_1}\delta_{h_1h'_1}(e_{p_1}+e_{p_2}-e_{h_1}-e_{h_2})$  + interactions among 2p2h states

Drożdż, Nishizaki, Speth, Wambach; Yannouleas; and others, early '90s



Vibration creation operator: Includes 2p2h configurations

$$\begin{split} Q^{\dagger}_{\nu} &= \sum_{ph} X^{\nu}_{ph} O^{\dagger}_{ph} - \sum_{ph} Y^{\nu}_{ph} O_{ph} + \sum_{p_1h_1p_2h_2} \mathcal{X}^{\nu}_{p_1h_1p_2h_2} O^{\dagger}_{p_1h_1p_2h_2} \\ &- \sum_{p_1h_1p_2h_2} \mathcal{Y}^{\nu}_{p_1h_1p_2h_2} O_{p_1h_1p_2h_2} \end{split}$$

The SRPA vacuum is approximated by the HF ground state:

 $\langle SRPA | \dots | SRPA \rangle \rightarrow \langle HF | \dots | HF \rangle$ 

■ SRPA equations in  $ph \oplus 2p2h$ -space:

$$\begin{pmatrix} A & \mathcal{A}_{12} & B & 0 \\ \mathcal{A}_{21} & \mathcal{A}_{22} & 0 & 0 \\ \hline -B^* & 0 & -A^* & -\mathcal{A}_{12}^* \\ 0 & 0 & -\mathcal{A}_{21}^* & -\mathcal{A}_{22}^* \end{pmatrix} \begin{pmatrix} X^{\nu} \\ \mathcal{X}^{\nu} \\ \hline Y^{\nu} \\ \mathcal{Y}^{\nu} \end{pmatrix} = \hbar \omega_{\nu} \begin{pmatrix} X^{\nu} \\ \mathcal{X}^{\nu} \\ \frac{\mathcal{X}^{\nu}}{Y^{\nu}} \\ \mathcal{Y}^{\nu} \end{pmatrix}$$

 $\begin{array}{l} A_{ph,p'h'} = \delta_{pp'} \delta_{hh'}(e_p - e_h) + h \\ \mathcal{A}_{12}: \text{ interactions between } ph \\ \mathcal{A}_{22}: \delta_{p_1p'_1} \delta_{h_1h'_1} \delta_{p_1p'_1} \delta_{h_1h'_1}(e_{p_1} + \mathbf{f}) \end{array}$ 

Drożdż, Nishizaki, Speth, Wambach; Yannouleas; and others, early '90s

### 

$$\rho_{kl}(t) = \langle \psi(t) | a_l^+ a_k | \psi(t) \rangle$$
$$H = \sum_{kl} t_{kl} a_k^+ a_l + \frac{1}{4} \sum_{klpq} \bar{v}_{klpq} a_k^+ a_l^+ a_q a_p$$

- One-body density matrix
- Hamiltonian

$$i\hbar\frac{\partial}{\partial t}\rho_{kl}(t) = \langle \psi(t) | [a_l^+a_k, H] | \psi(t) \rangle$$

Equation of motion

 $\rho_{klpq}^{(2)}(t) = \langle \psi(t) | a_p^+ a_q^+ a_l a_k | \psi(t) \rangle$  Two-body density matrix  $i\hbar\frac{\partial}{\partial t}\rho_{klpq}^{(2)}(t) = \langle \psi(t) | [a_p^+ a_q^+ a_l a_k, H] | \psi(t) \rangle$  $\rho_{klpq}^{(2)} = \rho_{kp}\rho_{lq} - \rho_{kq}\rho_{lp} + g_{klpq}$ 

• Then EoM takes the form  $i\hbar \frac{\partial}{\partial t} \rho_{kl}(t) - [h, \rho]_{kl} = I_{kl}$ 

With 
$$h_{kl} = t_{kl} + U_{kl}$$
  $U_{kl} = \sum_{pq} \bar{v}_{kqlp}\rho_{pq}$   
And a collision term  $I_{kl} = \frac{1}{2} \sum \bar{v}_{kprs}g_{rslp} - \bar{v}_{rslp}g_{kprs}$ 

And a collision term



### Small-amplitude limit: SRPA

Expand around equilibrium values:

Assume harmonic dependence:

S:  

$$\rho = \rho^{0} + \delta \rho$$

$$g = g^{0} + \delta g.$$

$$\delta \rho = \delta \rho_{ph} e^{-i\omega t} + \delta \rho_{hp} e^{i\omega t}$$

$$\delta g = \delta g \quad au e^{-i\omega t} + \delta g \quad a^{i\omega t}$$

Linearization of the EoM yields:

$$\begin{bmatrix} \begin{pmatrix} \mathscr{A} & \mathscr{B} \\ -\mathscr{B}^* & -\mathscr{A}^* \end{pmatrix} - \hbar \omega \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \end{bmatrix} \begin{pmatrix} x^{\nu} \\ y^{\nu} \end{pmatrix} = 0$$
$$\mathscr{A} = \begin{pmatrix} A_{11'} & A_{12'} \\ A_{21'} & A_{22'} \end{pmatrix} \quad \mathscr{B} = \begin{pmatrix} B_{11'} & B_{12'} \\ B_{21'} & B_{22'} \end{pmatrix} \quad x^{\nu} = \begin{cases} \delta \rho_{\mathrm{ph}} = X_{\mathrm{ph}}^{\nu} \\ \delta g_{\mathrm{pp'hh'}} = X_{\mathrm{pp'hh'}}^{\nu} \end{cases} \quad y^{\nu} = \begin{cases} \delta \rho_{\mathrm{hp}} = Y_{\mathrm{ph}}^{\nu} \\ \delta g_{\mathrm{hh'pp'}} = Y_{\mathrm{pp'hh'}}^{\nu} \end{cases}$$

Linear response with collision term:

$$i\hbar\frac{\partial}{\partial t}\,\delta\rho_{kl}(t) = [h^0,\,\delta\rho]_{kl} + \left[\frac{\delta h}{\delta\rho}\,\delta\rho,\,\rho_0\right]_{kl} + \left[\frac{\delta I}{\delta\rho}\,\delta\rho,\,\rho_0\right]_{kl}$$

J.Wambach, Rep.Prog.Phys.51(1988)989



### Formal properties of RPA...

RAON

[D.J.Rowe, Rev.Mod.Phys.40(1968)153] [D.J.Thouless, Nucl.Phys.22(1961)78]

♦ Solutions appear in adjoint pairs
♦ If the stability condition is satisfied,  $\langle 0|[F^{\dagger}, [H, F]]|0 \rangle = \sum_{ab} F_a^* M_{ab} F_b \ge 0$ solutions are real
♦ The EWSR is preserved ( $\sum_{n} (E_n - E_0)|\langle 0|V|n \rangle|^2 = \int d^3r \rho_0(\vec{r}) \frac{(\vec{\nabla}V)^2}{2m}$ )  $\sum_{n:N_n=1} |\langle 0|O|n \rangle|^2 E_{n0} = \frac{1}{2} \langle 0|[O, H, O]|0 \rangle$ 

 and therefore spurious transitions have zero energy (restoration of symmetries)

 $|HF\rangle = vacuum of ph states \rightarrow stability of RPA for physical states$ 



### Formal properties of RPA...

[D.J.Rowe, Rev.Mod.Phys.40(1968)153] [D.J.Thouless, Nucl.Phys.22(1961)78]

### Solutions appear in adjoint pairs

If the stability condition is satisfied,



 $|HF\rangle = vacuum of ph states \rightarrow stability of RPA for physical states$ 



As is the case with the simple RPA,<sup>2</sup> the search for formal properties of the second RPA is greatly simplified by the fact that the second RPA excitation operator  $O_{\nu}^{\dagger}$  which creates a one-phonon state—satisfies the following double-commutator equation:

 $\langle \mathrm{HF} | [R, [H, O_{\nu}^{\dagger}]] | \mathrm{HF} \rangle = \hbar \omega_{\nu} \langle \mathrm{HF} | [R, O_{\nu}^{\dagger}] | \mathrm{HF} \rangle ,$ for all R, (1)

where  $\hbar \omega_v$  is the excitation energy,  $|\text{HF}\rangle$  is the Hartree-Fock ground state of the nucleus, and *R* is any operator in the same space as  $O_v^{\dagger}$ . *H* is the exact many-body Hamiltonian.

$$O_{\mathbf{v}}^{\dagger} = \sum_{mi} \left[ Y_{mi}(\omega_{\mathbf{v}}) a_{m}^{\dagger} a_{i} - Z_{mi}(\omega_{\mathbf{v}}) a_{i}^{\dagger} a_{m} \right] + \sum_{m < n, i < j} \left[ Y_{mnij}(\omega_{\mathbf{v}}) a_{m}^{\dagger} a_{n}^{\dagger} a_{j} a_{i} - Z_{mnij}(\omega_{\mathbf{v}}) a_{i}^{\dagger} a_{j}^{\dagger} a_{n} a_{m} \right].$$
(2)

#### C.Yannouleas, PRC35(1987)1159

Equipped with Eqs. (1) and (2), one can repeat the steps in Sec. III of Ref. 2 and show that all the formal properties familiar from the simple RPA hold for the zerotemperature second RPA as well. In particular, these formal properties are the following:

(1) The solutions of the second RPA appear in pairs having symmetric positive and negative eigenvalues.

(2) The second RPA solutions have real energies when Thouless's stability condition is fulfilled.

(3) Spurious solutions reflecting the center-of-mass motion separate out and have exactly zero energy.

(4) The second RPA solutions are orthonormal.

(5) The nonspurious second RPA solutions form a complete set.

(6) The matrix elements of any operator W calculated in the second RPA preserve the energy-weighted sum rule. As is the case with the simple RPA,<sup>2</sup> the search for formal properties of the second RPA is greatly simplified by the fact that the second RPA excitation operator  $O_{\nu}^{\dagger}$  which creates a one-phonon state—satisfies the following double-commutator equation:

 $\langle \mathrm{HF} | [R, [H, O_{\nu}^{\dagger}]] | \mathrm{HF} \rangle = \hbar \omega_{\nu} \langle \mathrm{HF} | [R, O_{\nu}^{\dagger}] | \mathrm{HF} \rangle ,$ for all R, (1)

where  $\hbar \omega_v$  is the excitation energy,  $|HF\rangle$  is the Hartree-Fock ground state of the nucleus, and *R* is any operator in the same space as  $O_v^{\dagger}$ . *H* is the exact many-body Hamiltonian.

$$O_{\mathbf{v}}^{\dagger} = \sum_{mi} \left[ Y_{mi}(\omega_{\mathbf{v}}) a_{m}^{\dagger} a_{i} - Z_{mi}(\omega_{\mathbf{v}}) a_{i}^{\dagger} a_{m} \right] + \sum_{m < n, i < j} \left[ Y_{mnij}(\omega_{\mathbf{v}}) a_{m}^{\dagger} a_{n}^{\dagger} a_{j} a_{i} - Z_{mnij}(\omega_{\mathbf{v}}) a_{i}^{\dagger} a_{j}^{\dagger} a_{n} a_{m} \right].$$
(2)

#### C.Yannouleas, PRC35(1987)1159

Equipped with Eqs. (1) and (2), one can repeat the steps in Sec. III of Ref. 2 and show that all the formal properties familiar from the simple RPA hold for the zerotemperature second RPA as well. In particular, these formal properties are the following:

(1) The solutions of the second RPA appear in pairs having symmetric positive and negative eigenvalues.

(2) The second RPA solutions have real energies when Thouless's stability condition is fulfilled.

(3) Spurious solutions reflecting the center-of-mass motion separate out and have exactly zero energy.

(4) The second RPA solutions are orthonormal.

(5) The nonspurious second RPA solutions form a complete set.

(6) The matrix elements of any operator W calculated in the second RPA preserve the energy-weighted sum rule.

|*HF*) NOT the vacuum of 2p2h states  $\rightarrow$ ?

### *my* ~2010 *results*

- Softened realistic Hamiltonian (UCOM)
- No arbitrary truncations
- Explored: Energetic shift; EWSR; ph fragmentation; etc.



## VALIDITY OF SRPA

What is at issue?



### Formal properties: like RPA?

Equipped with Eqs. (1) and (2), one can repeat the steps in Sec. III of Ref. 2 and show that all the formal properties familiar from the simple RPA hold for the zerotemperature second RPA as well. In particular, these formal properties are the following:

(1) The solutions of the second RPA appear in pairs having symmetric positive and negative eigenvalues.

(2) The second RPA solutions have real energies when Thouless's stability condition is fulfilled.

(3) Spurious solutions reflecting the center-of-mass motion separate out and have exactly zero energy.

(4) The second RPA solutions are orthonormal.

(5) The nonspurious second RPA solutions form a complete set.

(6) The matrix elements of any operator W calculated in the second RPA preserve the energy-weighted sum rule.

#### C.Yannouleas, PRC35(1987)1159



We found:

- instances of imaginary 3-, 2+
- spurious state at finite energy

### Formal properties: like RPA?

Equipped with Eqs. (1) and (2), one can repeat the steps in Sec. III of Ref. 2 and show that all the formal properties familiar from the simple RPA hold for the zerotemperature second RPA as well. In particular, these formal properties are the following:

(1) The solutions of the second RPA appear in pairs having symmetric positive and negative eigenvalues.

(2) The second RPA solutions have real energies when Thouless's stability condition is fulfilled.

(3) Sparious solutions reflecting the center-of-mass motion separate out and have exactly zero energy.

(4) The second **RPA** solutions are orthonormal.

It is not!

(5) The nonspurious second RPA solutions form a complete set.

(6) The matrix elements of any operator W calculated in the second RPA preserve the energy-weighted sum rule.

#### C.Yannouleas, PRC35(1987)1159

#### We found:

- instances of imaginary 3-, 2+
- spurious state at finite energy

P.P., PRC90(2014)024305

### Thouless' theorem and the stability condition

PP, PRC90,024305

Thouless' theorem still holds: if all eigenvalues are real, the EWSR satisfies

$$\sum_{\nu:N_{\nu}=1} |\langle \nu | O | 0 \rangle|^2 E_{\nu} = \frac{1}{2} \langle 0 | [O, H, O] | 0 \rangle$$

- ✤ For H commuting with O, this means that the total EWSR must vanish.
- Q: Then how come there is spurious strength at finite energy?
- A: Positive-energy solutions with negative norm may exist:
  - (Their negative-energy counterparts will cave postitive norm)
  - Pairs of « antinormal » solutions: N<sub>v</sub> E<sub>v</sub> < 0</p>

 $|X_{\nu}|^2 - |Y_{\nu}|^2 = N_{\nu} = \pm 1$ 

- Their contribution to the energy-weighted sum is negative
- As a result, a spurious state can contribute a finite but negative amount to the total spurious EWSR, such that the total spurious EWSR still vanishes -> Thouless' theorem indeed holds (demonstrated numerically)



### Thouless' theorem and the stability condition

PP, PRC90,024305

Thouless' theorem still holds: if all eigenvalues are real, the EWSR satisfies

$$\sum_{|E_{\nu}| \geq 0} |\langle \nu | \mathbf{O} | 0 \rangle|^2 N_{\nu} E_{\nu} = \frac{1}{2} \langle 0 | [\mathbf{O}, H, \mathbf{O}] | 0 \rangle$$

- ✤ For H commuting with O, this means that the total EWSR must vanish.
- Q: Then how come there is spurious strength at finite energy?
- A: Positive-energy solutions with negative norm may exist:
  - (Their negative-energy counterparts will cave postitive norm)
  - Pairs of « antinormal » solutions: N<sub>v</sub> E<sub>v</sub> < 0</p>

 $|X_{\nu}|^2 - |Y_{\nu}|^2 = N_{\nu} = \pm 1$ 

- Their contribution to the energy-weighted sum is negative
- As a result, a spurious state can contribute a finite but negative amount to the total spurious EWSR, such that the total spurious EWSR still vanishes -> Thouless' theorem indeed holds (demonstrated numerically)



### Spurious strength in SRPA

#### PP, PRC90,024305



### Numerical validation of Thouless' theorem

#### PP, PRC90,024305



### Spurious states and instabilities in SRPA





## SOME THINGS I DON'T UNDERSTAND YET

The importance of the reference state



- HF reference state ensures consistency and good properties
  - |HF) = vacuum of ph states → stability of RPA for physical states
  - RPA = small-amplitude TDHF



### Quality of quasi-boson approximation



### HF-RPA, CC-RPA, IM-RPA

#### R.Trippel, PhD Thesis, TUD, 2016



Figure 8.28: Results from HF-RPA (----), CC-RPA (----), IM-RPA (----). Here we use the EM400 interaction ( $\alpha = 0.08 \text{ fm}^4$ ) with eMax = 12, E3Max = 14 and  $\hbar\Omega = 24 \text{ MeV}$ .

### HF-SRPA vs IM-SRPA (no instabilities?)

#### R.Trippel, PhD Thesis, TUD, 2016



引

Figure 8.30: Comparison of results from HF-SRPA (----) and IM-SRPA (----). Here we use the SAT interaction ( $\alpha = 0.08 \,\mathrm{fm}^4$ ) with eMax = 12, E3Max = 14 and  $\hbar\Omega = 22 \,\mathrm{MeV}$ .

## CONCEPTUAL ISSUES

**RPA or SRPA?** 



 Thy shall not use SRPA based on the Hartree-Fock reference state. It suffers from inconsistencies and instabilities.

### The practitioner

- If you are interested in [giant resonances / collective phonons, plasmons, ... / excitations exhausting most of the EWSR] it's fine, it will even give you some fine structure and energy corrections
- The contrarian
  - Then why would I use RPA at all?
  - When should I use what? I'm confused.



### **EDF** basics



### Interested in one-particle observables only

- Single-particle density encodes implicitly all relevant information.
- Then: E[p]; HF, RPA; (SRPA superfluous?)
  - If I determine E[p] indirectly (from fits to data), I do not need to solve the A-particle Schrödinger equation!
  - Density fluctuations:  $E[\rho_0 + \delta \rho] \approx E[\rho_0] + \delta \rho E'[\rho_0]$ : linear response
- Interested explicitly in two-particle observables
  - E.g., 2-phonon states; width of GRs, collisions
  - Two-particle density relevant
  - Then: E[p,g]; correlated ground state, SRPA



### Given interaction + many-body method

- Variational reference state + Equations of Motion
- To lowest order, HF+RPA
- Systematic inclusion of correlations / mp-mh until convergence
  - "Wave-function approach" [JT]
    Known Hamiltonian

Energy-density functionals + linear-response theory

- Kohn-Sham EFT [JT]
- *E*[p,...] known; Hamiltonian not necessarily known
- "black box" [AG]
- The order of truncation depends on the application



### **Energy density functional for KIDS**

- Homogeneous matter -> Ansatz: k<sub>F</sub> powers
- Nuclear EDF by reverse engineering
- Success in dilute and dense matter and nuclear ground states
- Poster by Hana Gil!
- KIDS = Korea: IBS Daegu Sungkyunkwan









 Thy shall not use SRPA based on the Hartree-Fock reference state. It suffers from inconsistencies and instabilities.

### The practitioner

- If you are interested in [giant resonances / collective phonons, plasmons, ... / excitations exhausting most of the EWSR] it's fine, it will even give you some fine structure and energy corrections
- The contrarian
  - Then why would I use RP.
  - When should I use what?

RPA:

- Kohn-Sham EFT
- E[p] known somehow
- Hamiltonian not necessarily known
- "black box"





 Thy shall not use SRPA based on the Hartree-Fock reference state. It suffers from inconsistencies and instabilities.

### The practitioner

- If you are interested in [giant resonances / collective phonons, plasmons, ... / excitations exhausting most of the EWSR] it's fine, it will even give you some fine structure and energy corrections
- The contrarian

#### RPA:

- Kohn-Sham EFT
- Then why would I use RP E[p] known somehow
- When should SRPA, etc:

necessarily known

- "Wave-function approach"
- Known, perturbative Hamiltonian



 Thy shall not use SRPA based on the Hartree-Fock reference state. It suffers from inconsistencies and instabilities.

### The practitioner

- If you are interested in [giant resonances / collective phonons, plasmons, ... / excitations exhausting most of the EWSR] it's fine, it will even give you some fine structure and energy corrections
- The contrarian

RPA:

- Kohn-Sham EFT
- Then why would I use RP E[p] known somehow
- When should SRPA, etc:

In the middle:

itute for Basic Scienc

Good *E*[*p*] and *RPA*; interested in fragmentation: subtraction method?



necessarily known

# Thank you!

Supported by the Rare Isotope Science Project of the Institute for Basic Science funded by Ministry of Science, ICT and Future Planning and the National Research Foundation (NRF) of Korea (2013M7A1A1075764).