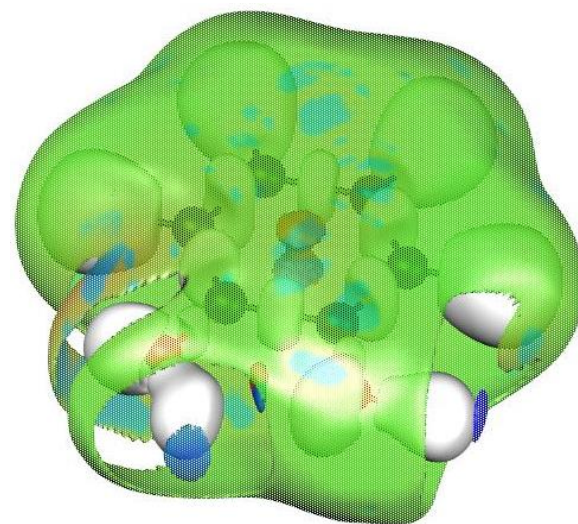


# The ELF and NCI analysis

R. Chaudret  
J. Contreras-Garcia



Silvi, B.; Savin, A. *Nature* 1994, 371, 683.  
Piquemal, J. P. *Int. J. Quant. Chem.* 2008, 108, 1951.  
Johnson, E. R. *J. Am. Chem. Soc.* 2010, 132, 6498.  
Contreras-Garcia, J. *J. Chem. Theo. Comput.* 2011. 7, 625.



# Studying chemical bonds

---

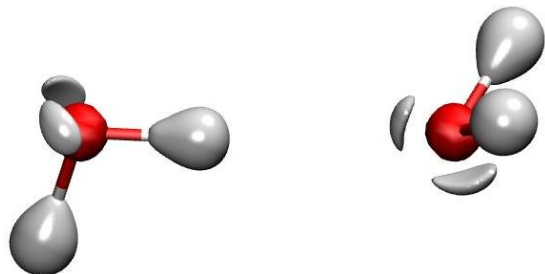
Understanding the stability or reactivity of a system

} Main question in chemistry

**Strong interaction  
(covalent bonds)**

Strong density

**ELF (Electron Localization Function)**

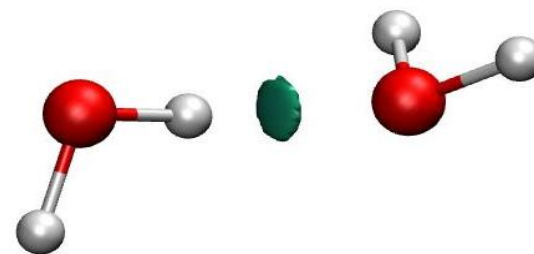


**Weak interactions**

**(van der Waals, hydrogen bonding)**

Weak density

**NCI (Non-Covalent Interaction)**



These 2 approaches are complementary and give access to the whole range of interactions



---

# ELF AND NCI ANALYSIS

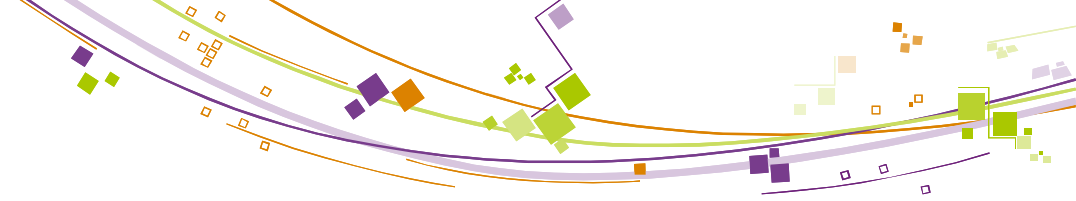
- 1- Localizing strong density domains : the ELF analysis
  - The ELF function
  - Topological analysis of the ELF function
  
- 2- Visualizing weak interactions : the NCI analysis
  - Revealing weak interactions
  - Differentiation between the different interactions type
  - NCI and large systems : the promolecular density



---

# ELF AND NCI ANALYSIS

- 1- Localizing strong density domains : the ELF analysis
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# Definition of the ELF function

---

**ELF** : Electron Localization Fonction

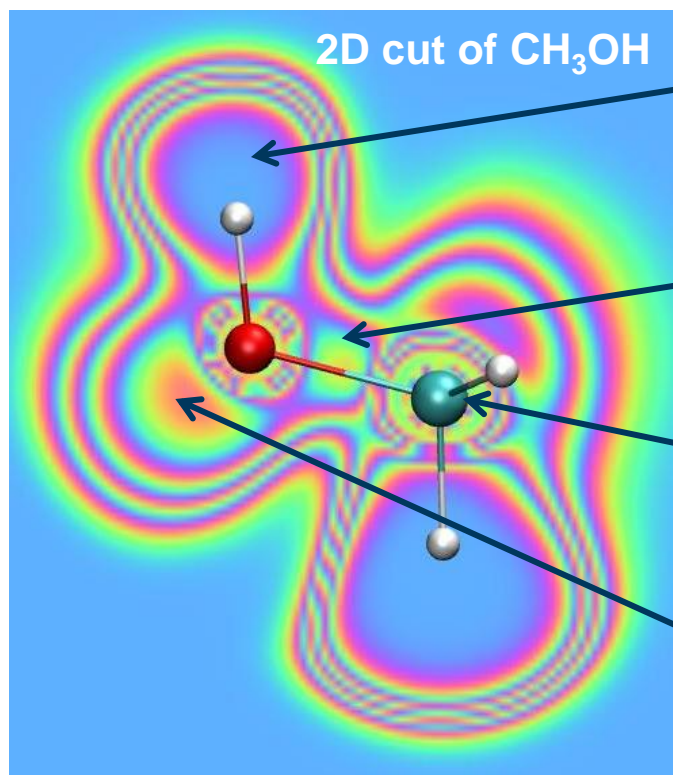
$$\eta(\vec{r})_{\pi} = \frac{1}{1 + c_{\pi}(\vec{r})} \quad \text{and} \quad c_{\pi}(r) = \bar{N}(r)^{-2/3} \frac{\bar{N}_{\parallel}(r)}{\bar{N}_{\perp}(r)}$$

with

$$\begin{cases} \bar{N}_{\parallel}(\vec{r}) & \text{Same spin pairing probability} \\ \bar{N}_{\perp}(\vec{r}) & \text{Opposite spin pairing probability} \end{cases}$$

- Local function → computed on a 3D grid of molecular space
- **0 < ELF < 1**
- Minimum for same spin pair
- Maximum for opposite spin pair

# Representation the ELF function



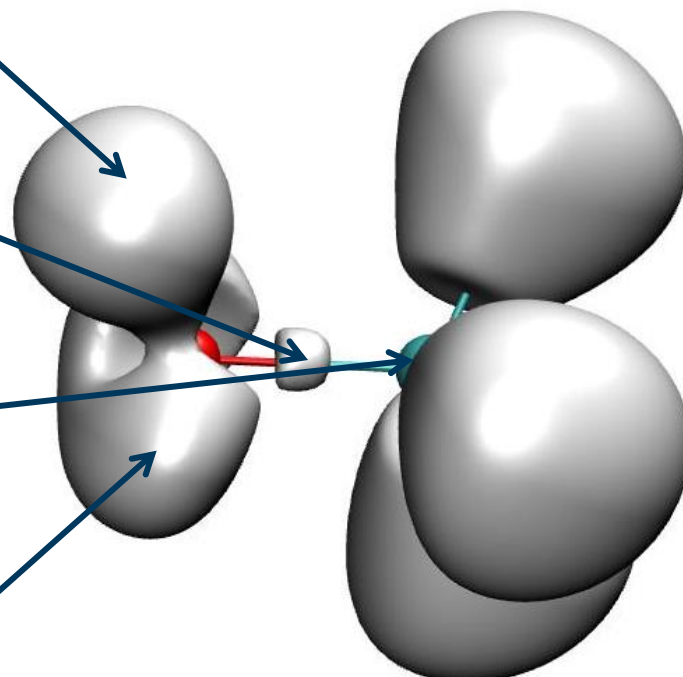
O-H bond

C-O bond

C atom

O lone pair

Isosurface of CH<sub>3</sub>OH



- link with Lewis or VSEPR theory
- **Easy to understand for the chemist**



---

# ELF AND NCI ANALYSIS

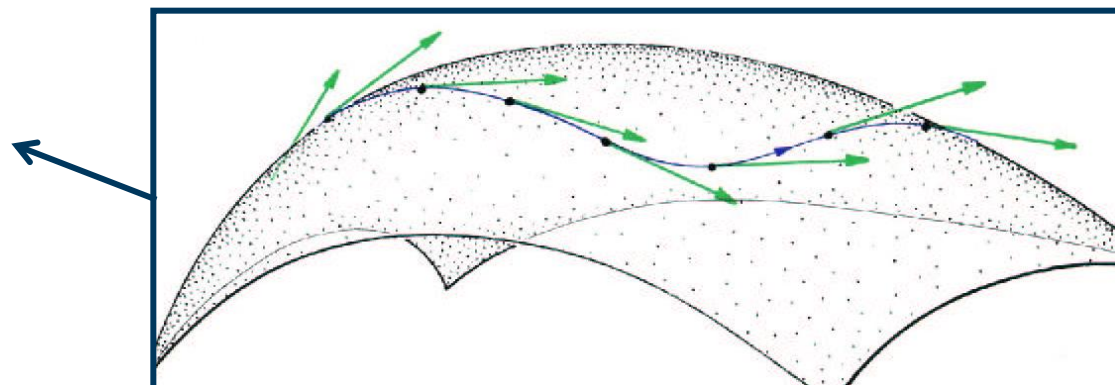
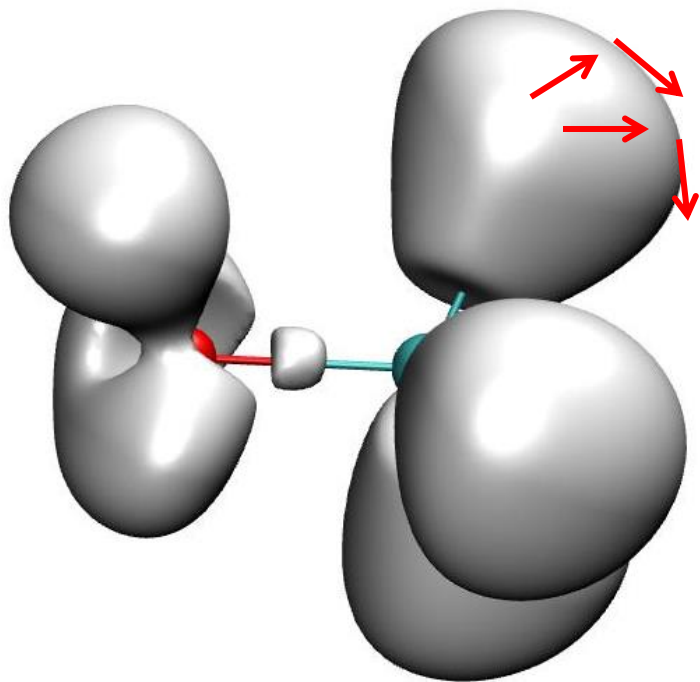
- 1- Localizing strong density domains : the ELF analysis
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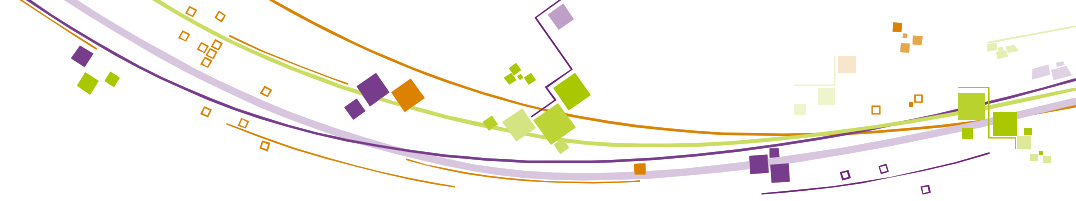
# From qualitative to quantitative: Topological analysis of ELF

Based on dynamic gradient analysis (same as AIM)

**Step 1 : Define a gradient field :**  
ELF(r) gradient field





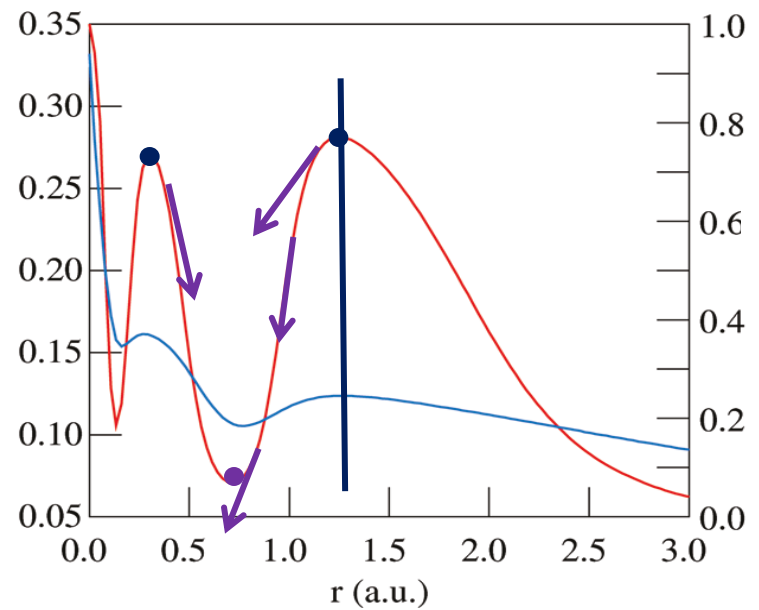
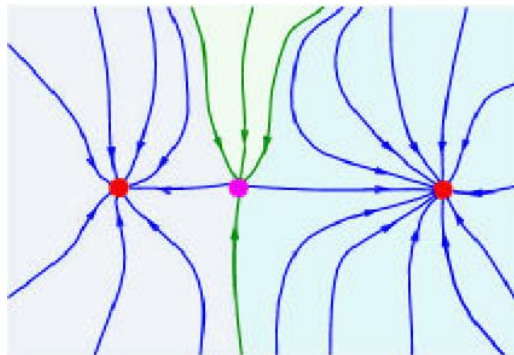


# From qualitative to quantitative: Topological analysis of ELF

Based on dynamic gradient analysis (same as AIM)

**Step 1 : Define a gradient field :**  
ELF(r) gradient field

**Step 2 : Define critical point**  
Point of space where the gradient = 0. If this point is a minimum then we will call it an attractor, point of convergence of all the field lines. 0 flux surfaces (field lines don't converge toward any attractor) are called separatrix and are used to divide the chemical space.



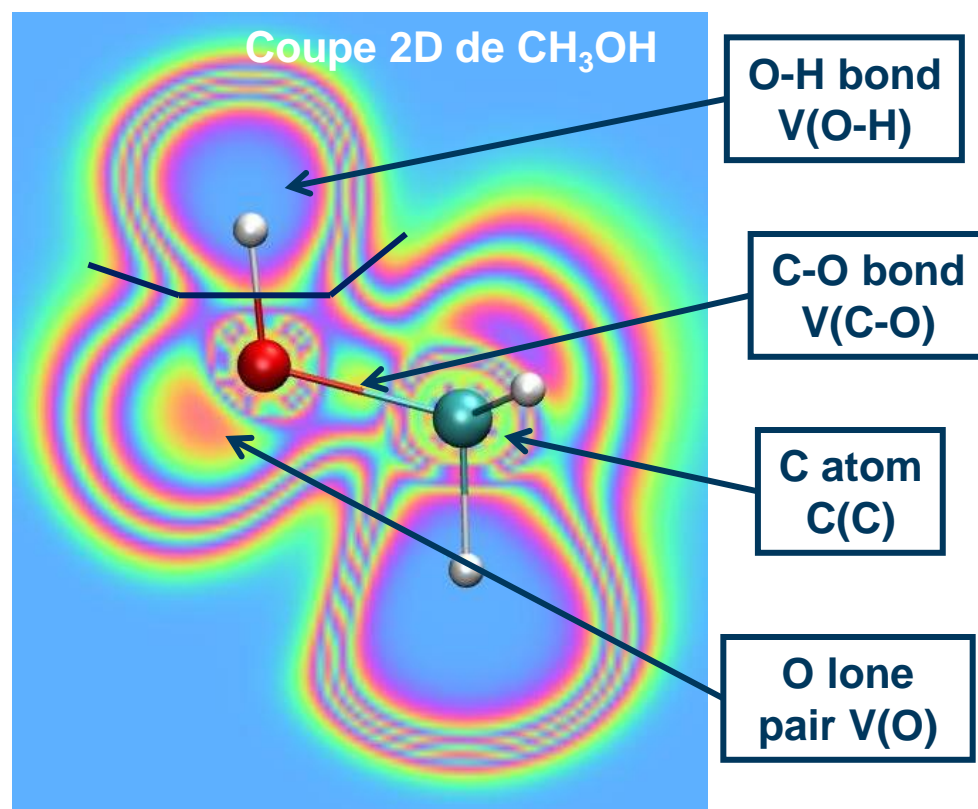
# From qualitative to quantitative: Topological analysis of ELF

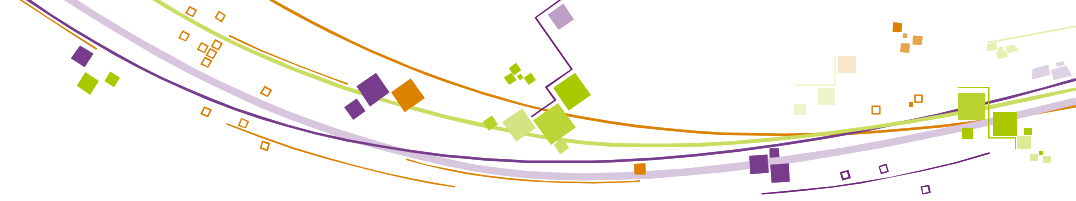
Based on dynamic gradient analysis (same as AIM)

**Step 1 : Define a gradient field :**  
ELF(r) gradient field

**Step 2 : Define critical point**  
Point of space where the gradient = 0. If this point is a minimum then we will call it an attractor, point of convergence of all the field lines. 0 flux surfaces (field lines don't converge toward any attractor) are called separatrix and are used to divide the chemical space.

**Step 3 : Divide the molecular space into basin having a chemical meaning**  
Defined depending on the nature of the electrons that characterize them





# Computation of properties integrated over ELF bassins

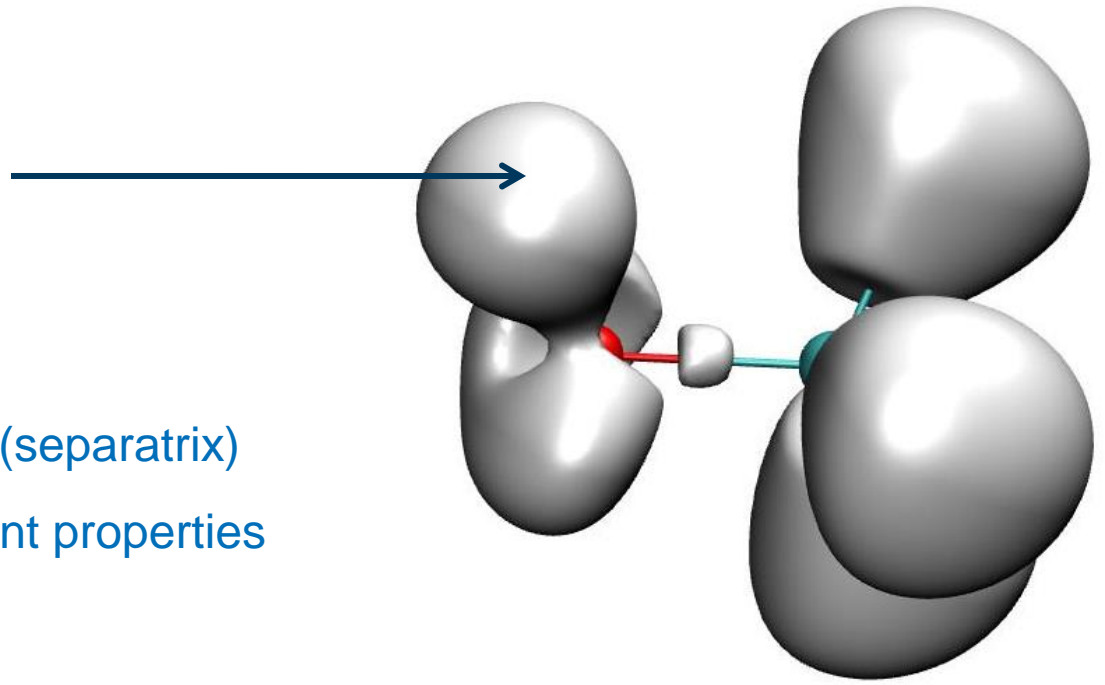
---

$$\vec{r}(x, y, z)$$

$$\rho(\vec{r})$$

$$f(\vec{r}) = \left( \frac{\partial \rho(\vec{r})}{\partial N} \right)$$

$$\dots$$



We know the bassin limits (separatrix)

→ We can compute different properties integrated on 1 bassin  $\Omega$

$$P(\Omega) = \int_{\Omega} p(\vec{r}) d\tau$$

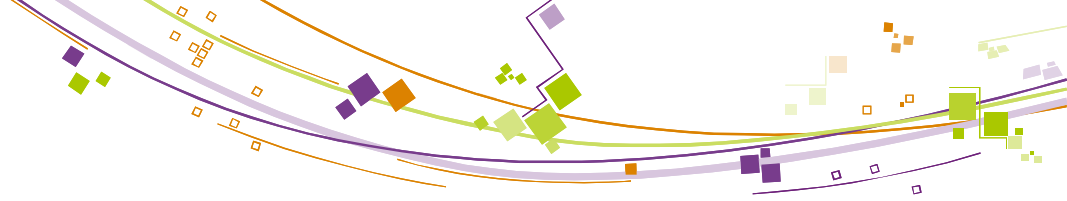
**Properties** : Volume, charge, dipole, quadrupole, Fukui functions...



## Summary: ELF

---

- Visualization of the strong pairing regions (bonds, lone pairs, single electron)
- Molecular space divided into basins
- Computation of properties integrated on these basins



---

# ELF AND NCI ANALYSIS

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---

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## What's NCI ?

---

NCI is a method for the visualization of non covalent interactions based on the **analysis of reduced density gradient at low densities**

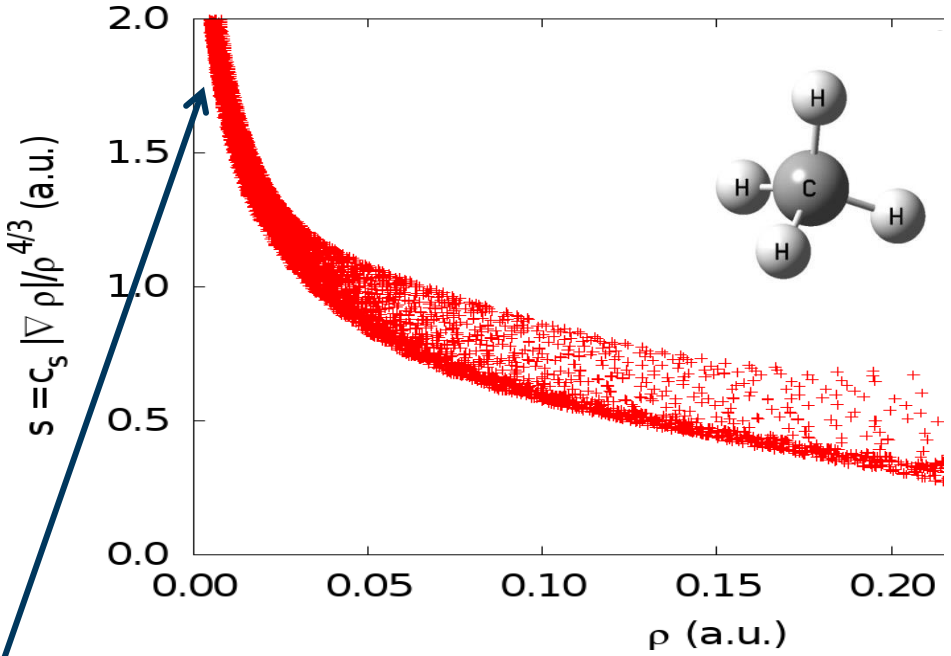
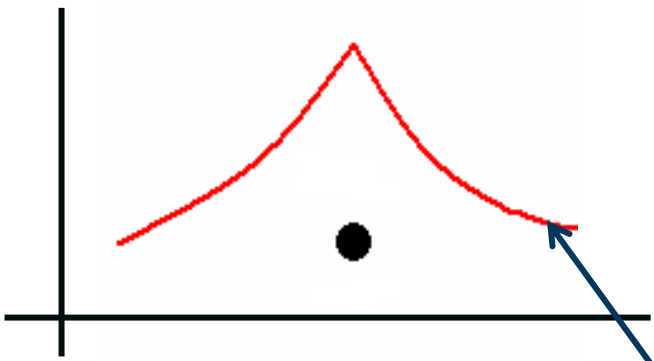
$$s = \frac{1}{2(\pi^2)^{1/3}} \frac{|\nabla\rho|}{\rho^{4/3}}$$



# Definition of a weak interaction

## 1- Molecule alone (no interaction)

$$s = \frac{1}{2(\pi^2)^{1/3}} \frac{|\nabla\rho|}{\rho^{4/3}}$$



When we get further  $\rho \rightarrow 0$  and  $s(\rho) \rightarrow \infty$

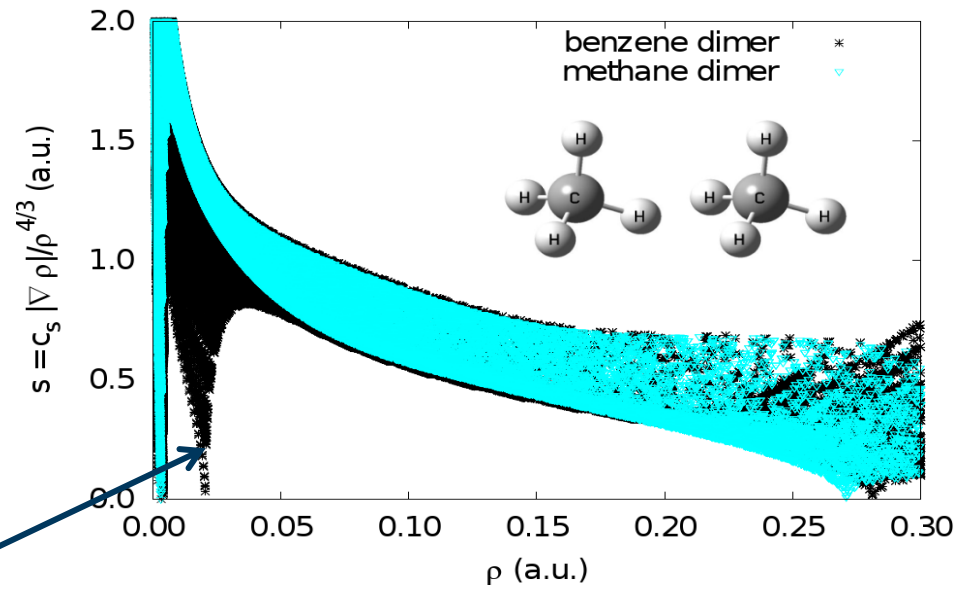
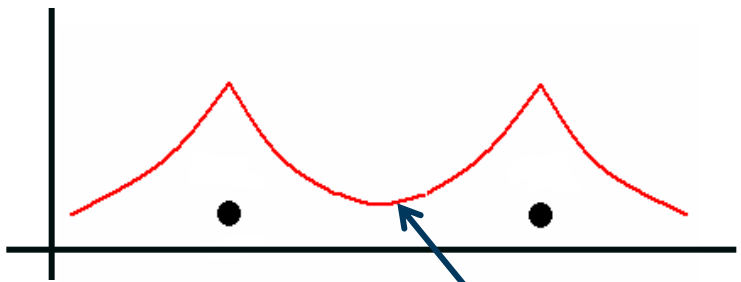




# Definition of a weak interaction

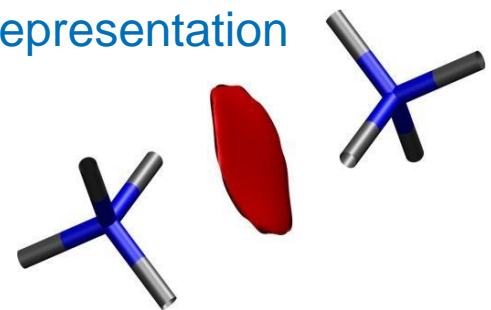
## 2- Molecules with interactions

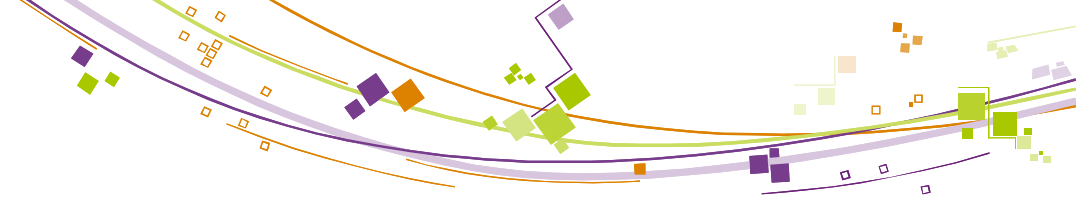
$$s = \frac{1}{2(\pi^2)^{1/3}} \frac{|\nabla\rho|}{\rho^{4/3}}$$



Local minimum with  $\rho$  very small  
 and  $s(\rho) \rightarrow 0$   
 → Interacting densities  
 → **Non covalent interactions**

3D representation





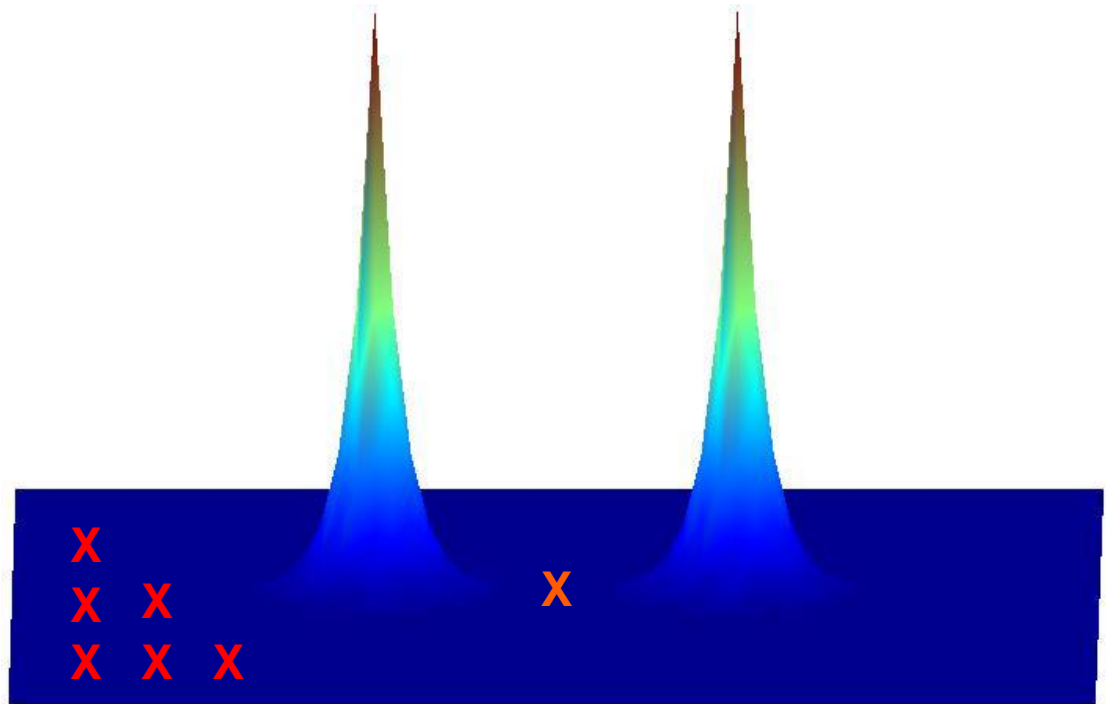
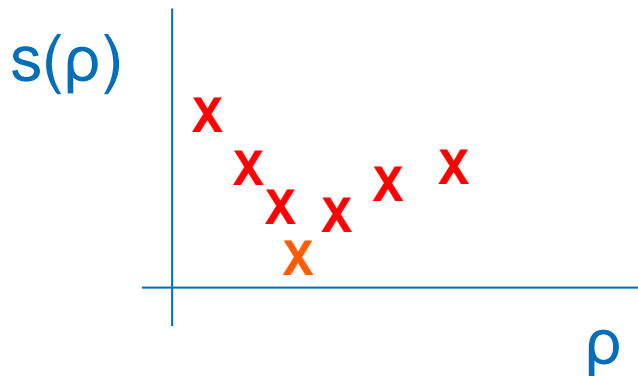
# Definition of a weak interaction

## 2- Molecules with interactions

---

Meaning of the spikes :

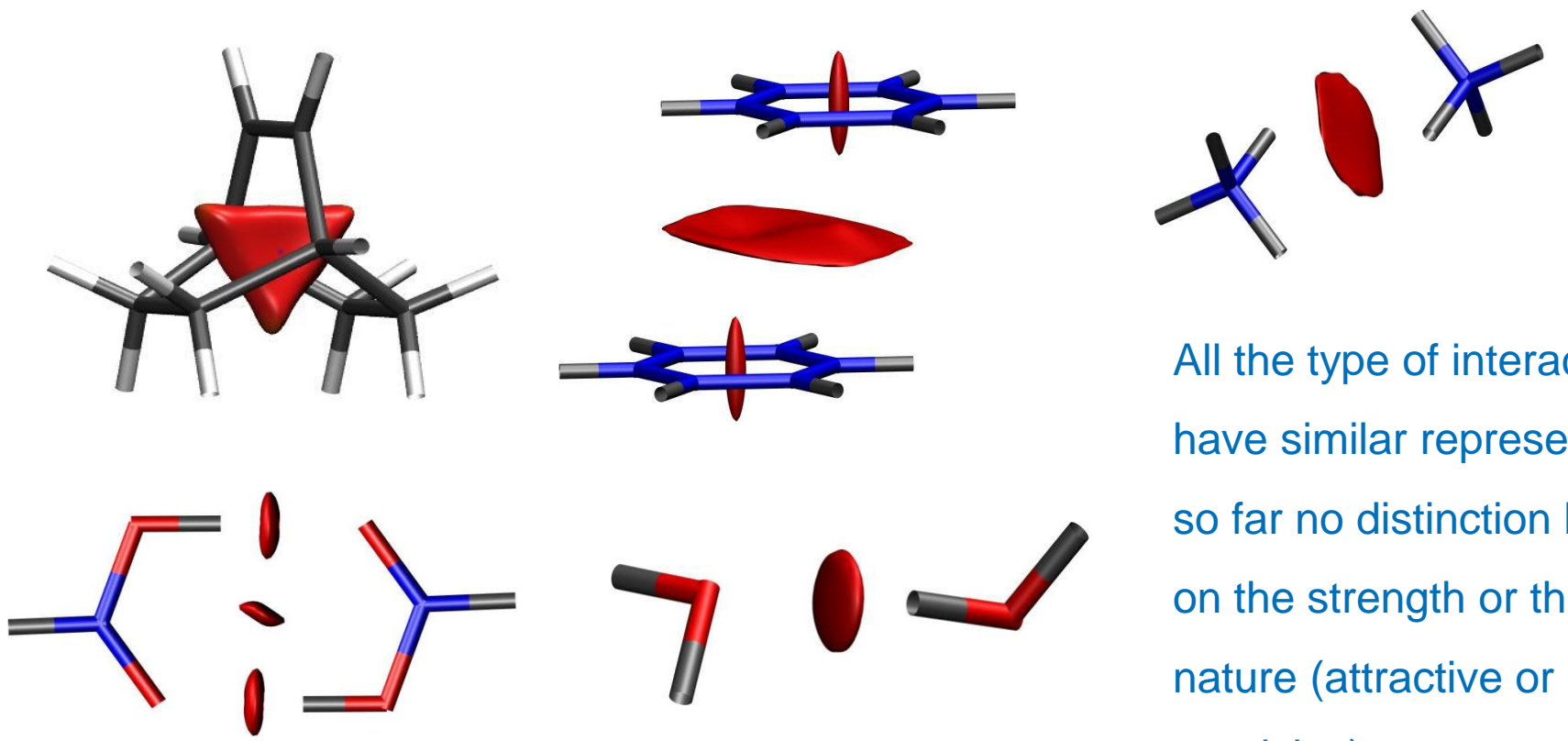
If we go back to the 3D space





# Representation of the non covalent interactions

---



All the type of interactions have similar representation: so far no distinction based on the strength or the nature (attractive or repulsive)

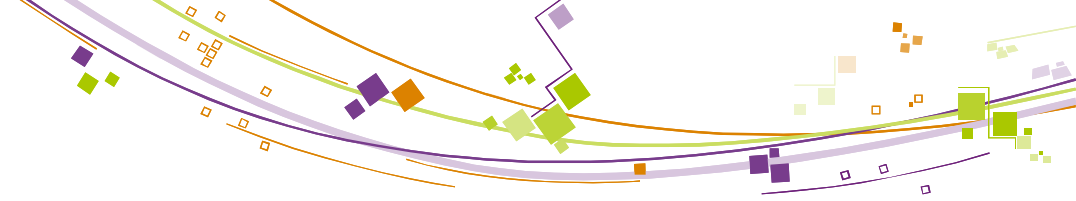
It is necessary to find other criteria to distinguish between the different interactions types



---

# ELF AND NCI ANALYSIS

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# Differentiation of the interactions

## 1- Strength

The electronic density is proportional to the strength of the interaction (AIM)

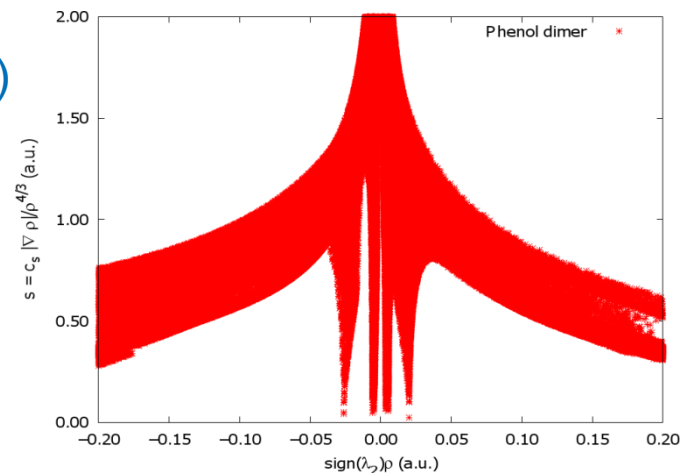
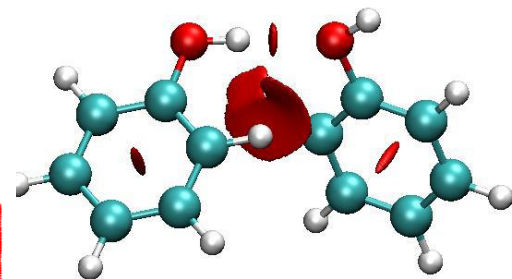
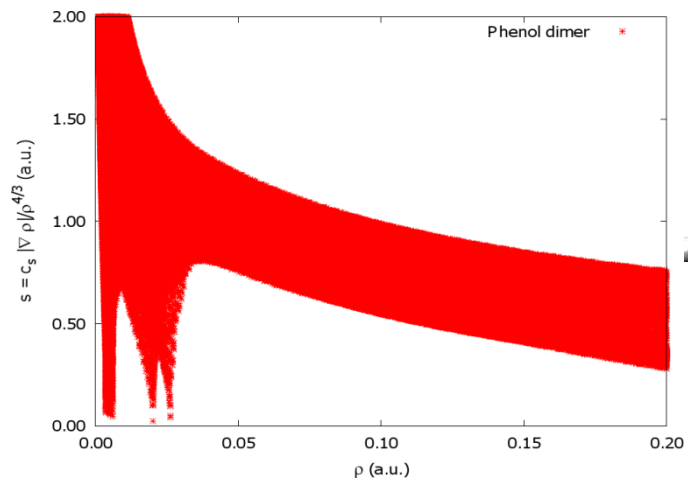
## 2- Nature

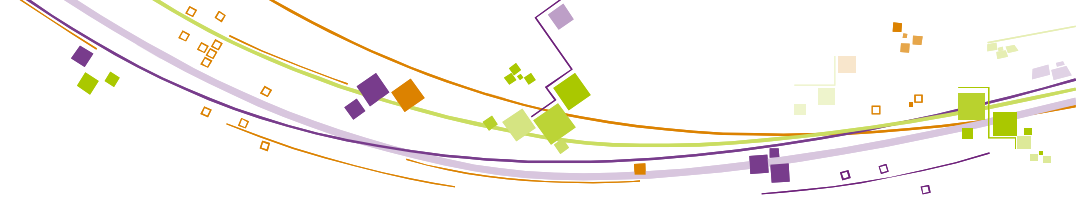
Multiplication by a constant ( $\lambda$ )

based on the derivatives

$\lambda < 0$  : attractive

$\lambda > 0$  : repulsive





# Differentiation of the interactions

---

$\rho < 0$   
 $\lambda < 0$

$\rho \approx 0$   
 $\lambda \approx 0$

$\rho > 0$   
 $\lambda > 0$



**attraction**

**repulsion**

**Hydrogen bond**

**Vdw interactions**

**Steric clashes**



# Differentiation of the interactions

$\rho < 0$   
 $\lambda < 0$

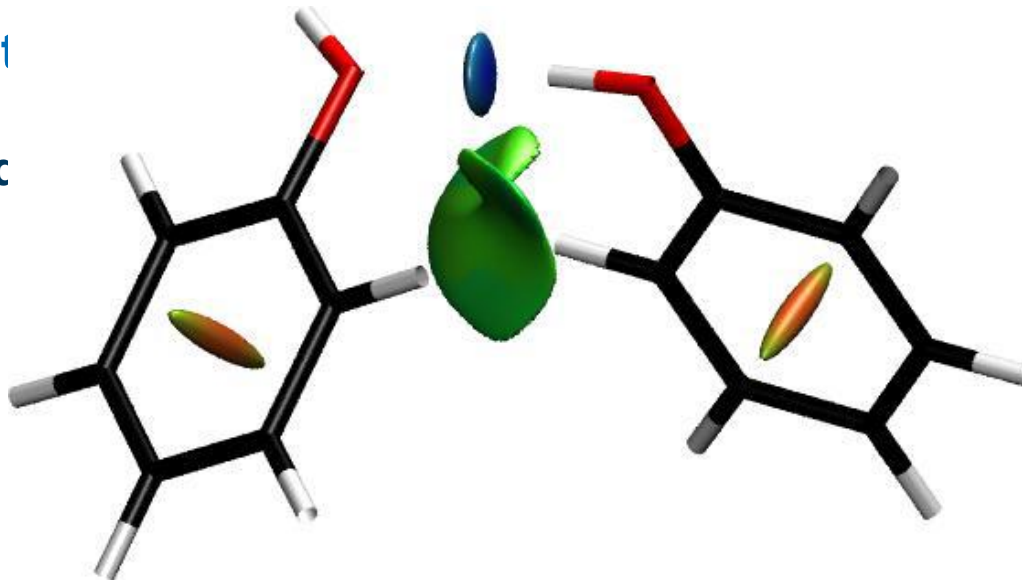
$\rho \approx 0$   
 $\lambda \approx 0$

$\rho > 0$   
 $\lambda > 0$



at

Hydrogen bond



on

Steric clashes



---

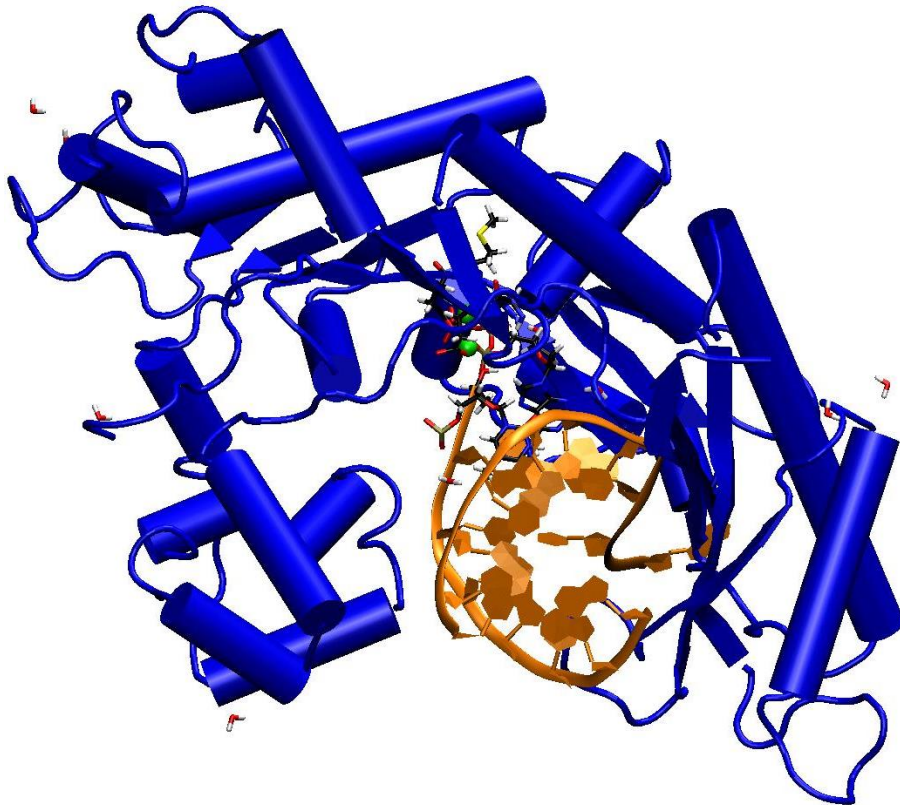
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# Large systems bring new problems



More than 10000 atoms

→ No more quantum calculation possible

→ No relaxed density

→ Use of an approximate density:

**Promolecular density**



# Promolecular density

---

## Promolecular density

Molecular density  $\approx$  Sum of atomic density parameterized on the isolated atom

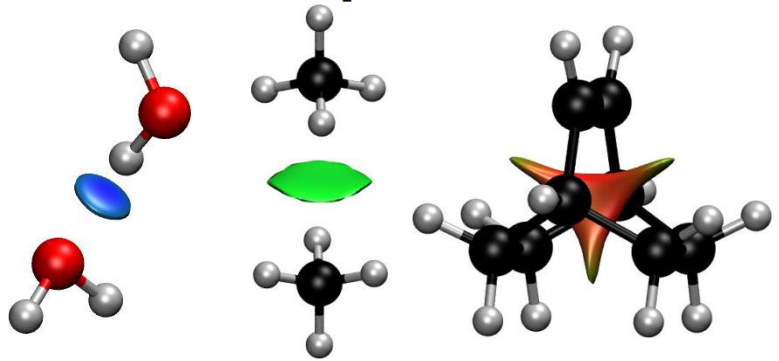
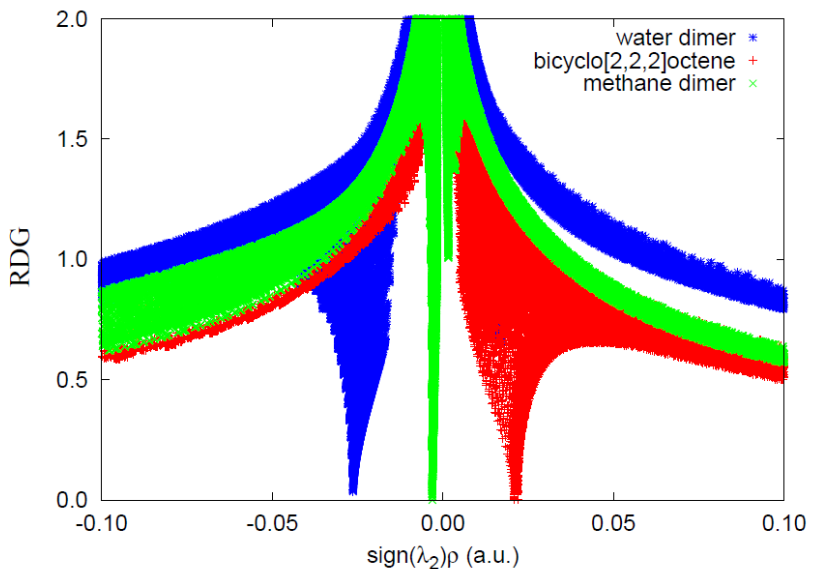
$$\rho^{pro} = \sum_i \rho_i^{at} \qquad \rho_{at} = \sum_j C_j e^{-\frac{\vec{r}}{\zeta_j}}$$

- Density stored in NCIPLLOT
- Only coordinates needed

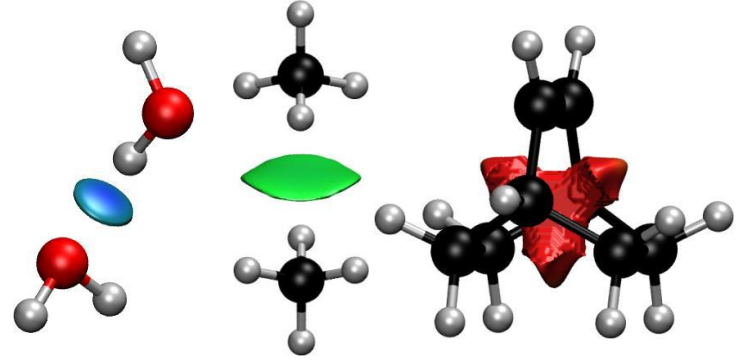
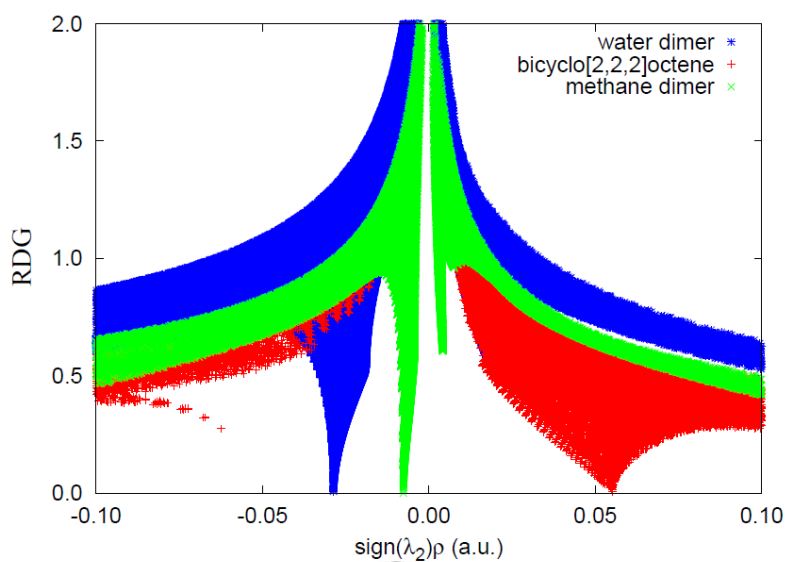


# Promolecular density

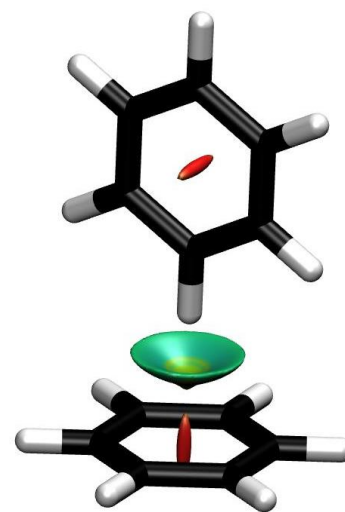
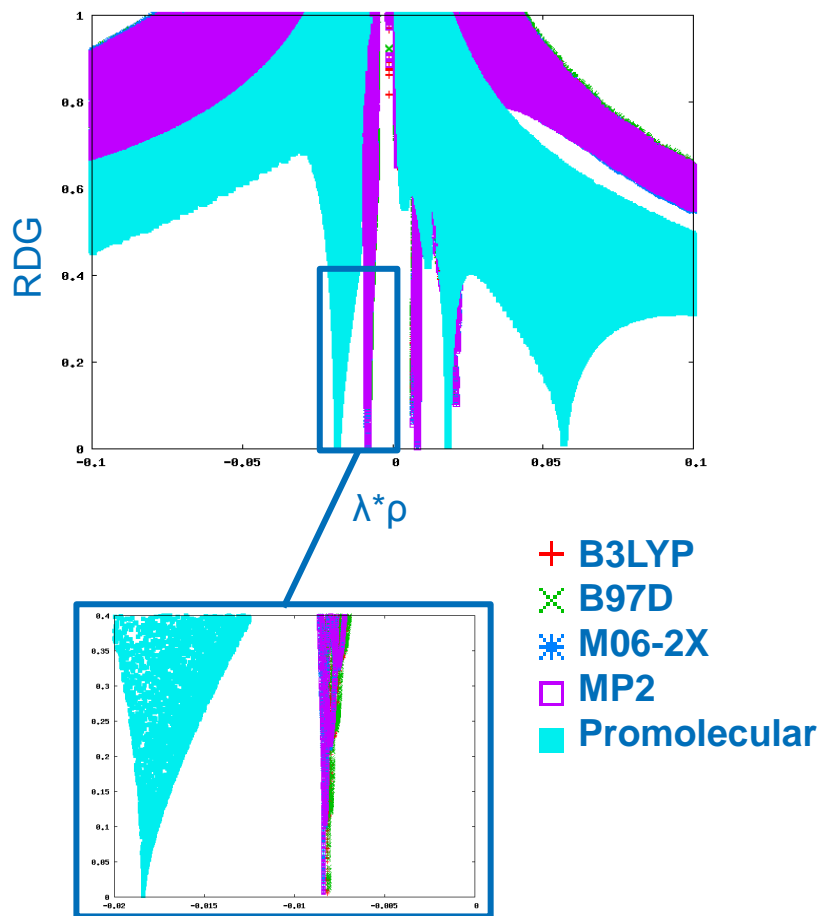
## SCF



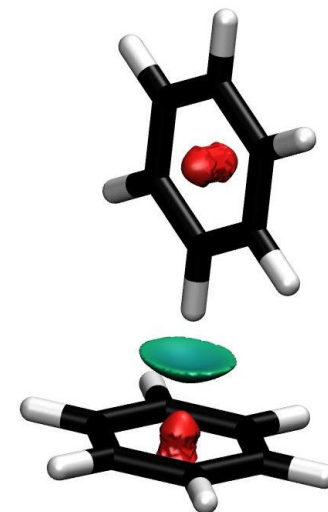
## Promolecular



# Influence of the level of theory on the NCI analysis



post-HF or DFT density



Promolecular densities

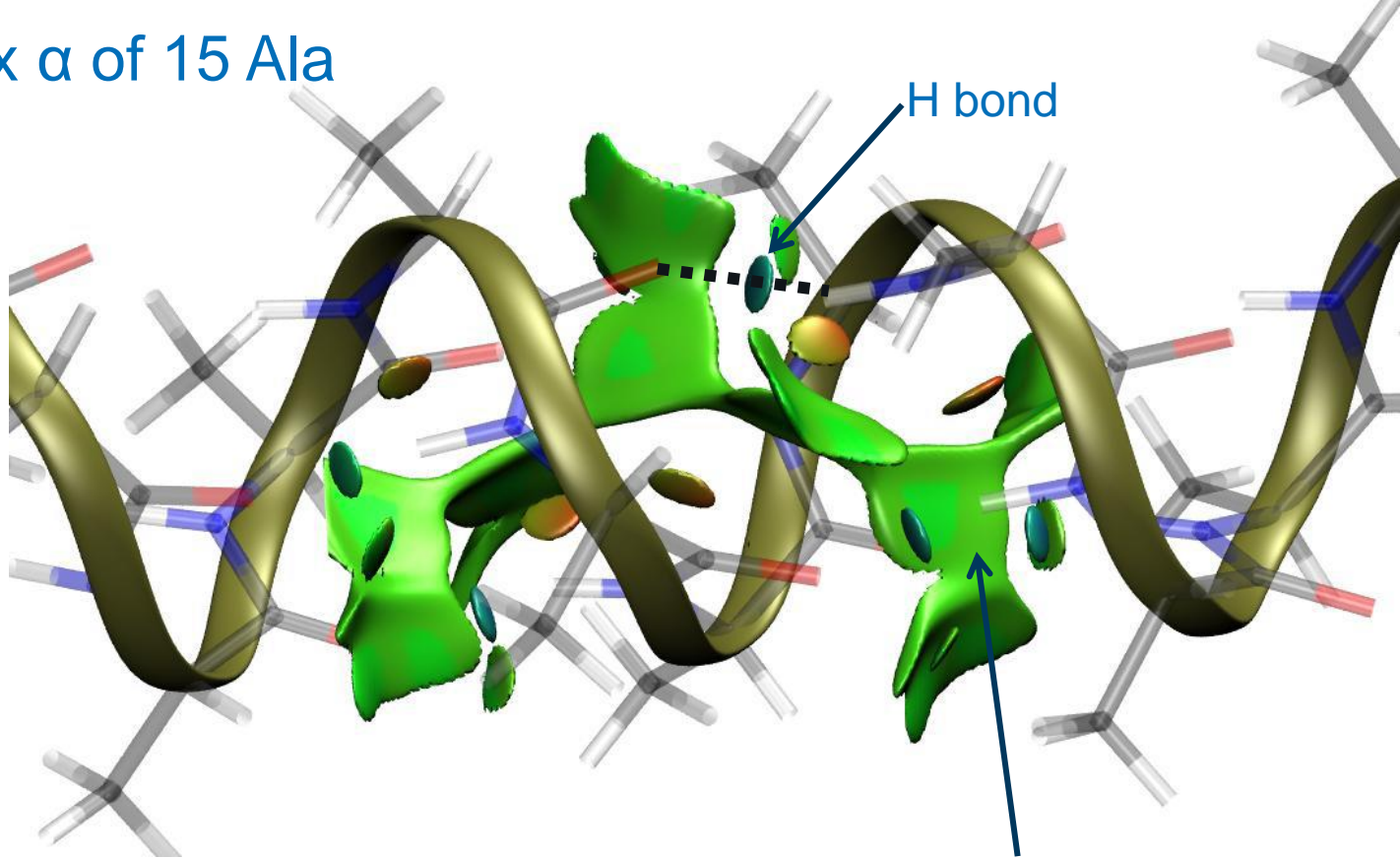
For a same geometry:

- NCI DFT/post-HF idem
- NCI promolecular : qualitatively similar



# Promolecular density for biological systems

Helix  $\alpha$  of 15 Ala



Vdw interactions within the helix between the CH<sub>3</sub>



## Summary: NCI

---

- Visualization of the non covalent
- Differentiation of the interaction depending on their nature and their strength
- For large system: use of promolecular density

# Exercices : ELF and NCI analysis of the catechol

First steps into topological analysis

Silvi, B.; Savin, A. *Nature* 1994, 371, 683.  
Piquemal, J. P. *Int. J. Quant. Chem.* 2008, 108, 1951.  
Johnson, E. R. *J. Am. Chem. Soc.* 2010, 132, 6498.  
Contreras-Garcia, J. *J. Chem. Theo. Comput.* 2011. 7, 625.





# NCI analysis: Input

---

```
chaudrer@ener5:qmmm_fep - Terminal - Konsole
Session  Édition  Affichage  Signets  Configuration  Aide
1 !Number of files
opoh.wfn !Name of the file
ONAME opoh !Keywords
~
~
~
```

- Different type of input: wfn / xyz
- Different possible keywords:
  - ✓ **ONAME** name: output files name
  - ✓ **CUTOFF** rho rdg: cutoff general (dat and cube)
  - ✓ **CUTPLOT** rho rdg: cutoff for cube files
  - ✓ **LIGAND** ligand-file-number interaction-cutoff: compute only the interactions within  $X \text{ \AA}$  of the ligand.
- **More information in NCI-manual.pdf in document folder**





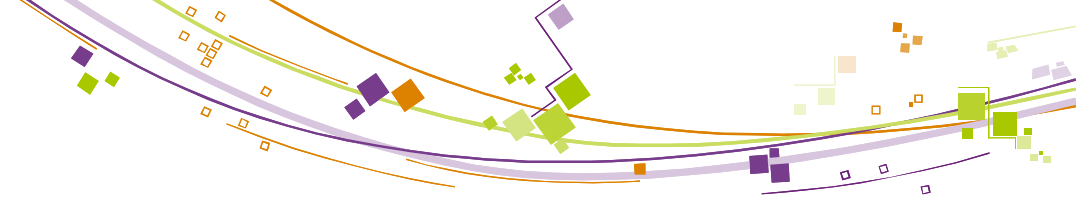
# NCI analysis: running simulation

1- (already done but don't forget to set up the NCI environment)

**Ex: `setenv NCI_PLOT_HOME /home/irsrvhome1/R07/chaudrer/programmes/nciplot`**

(Needed to find the promolecular densities stored)

2- Run NCI : **`nciplot NCI.inp >NCI.out`**



# NCI analysis: Output files

---

## NCI.out :

1. Opening
2. If promolecular : look for the atomic densities
3. Display the input information (keywords)
4. Display the parameters for the calculation
  - Coordinates of the starting point of the grid
  - Coordinates of the ending point of the grid
  - Step (x, y, z)
  - Number of steps (x, y, z)
5. Display the name of the other output files



# NCI analysis: Output files

---

## **NCI.dat:**

Contains all the values of  $\rho$  and  $s(\rho)$  (to plot the 2D graph)

To plot NCI.dat with gnuplot:

1. Open gnuplot
2. Enter: plot "NCI.dat"
3. The 2D graph should appear



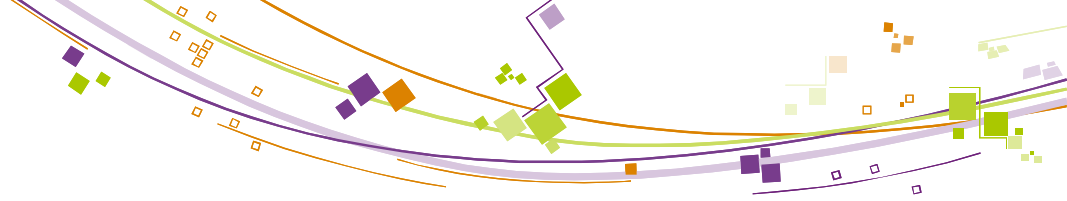
# NCI analysis: Output files

---

NCI-\*.cube

NCI.vmd

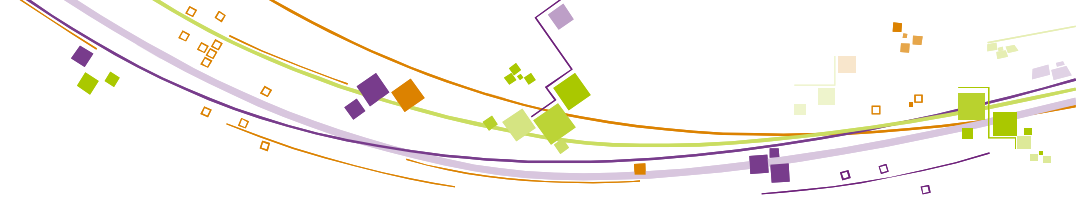
**Use vmd to analyse**



# Basic use of VMD

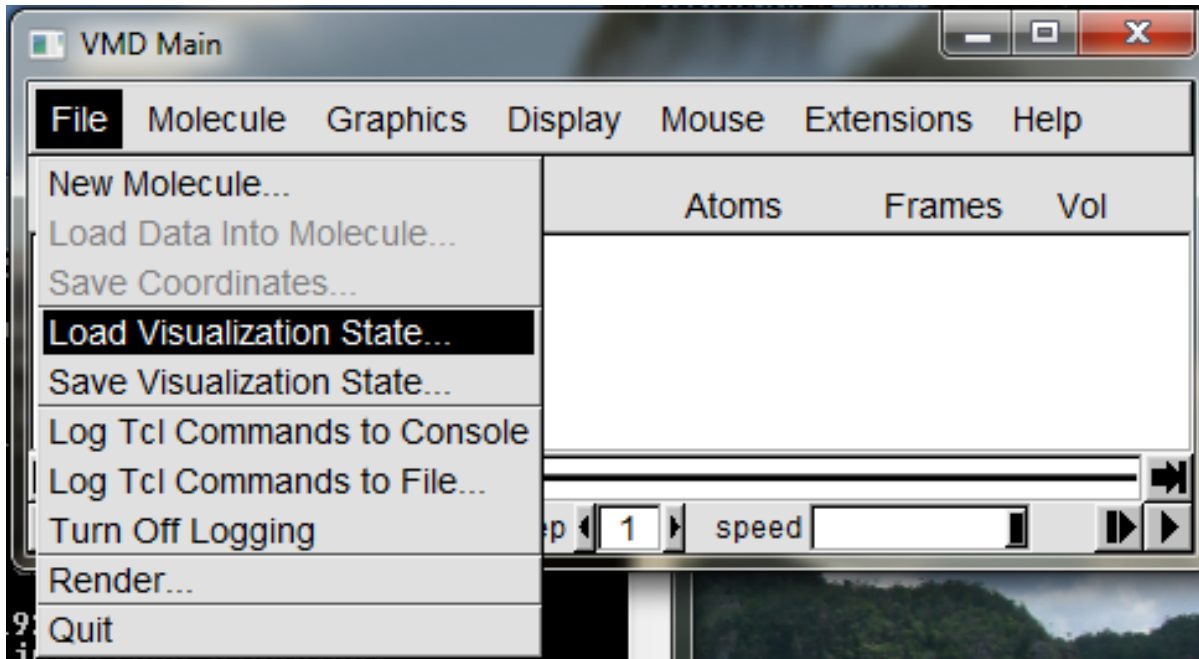
---

**vmd-tutorial.pdf in the documents**



# Basic use of VMD: open file

1. Open VMD : vmd
2. File > Load Visualisation State



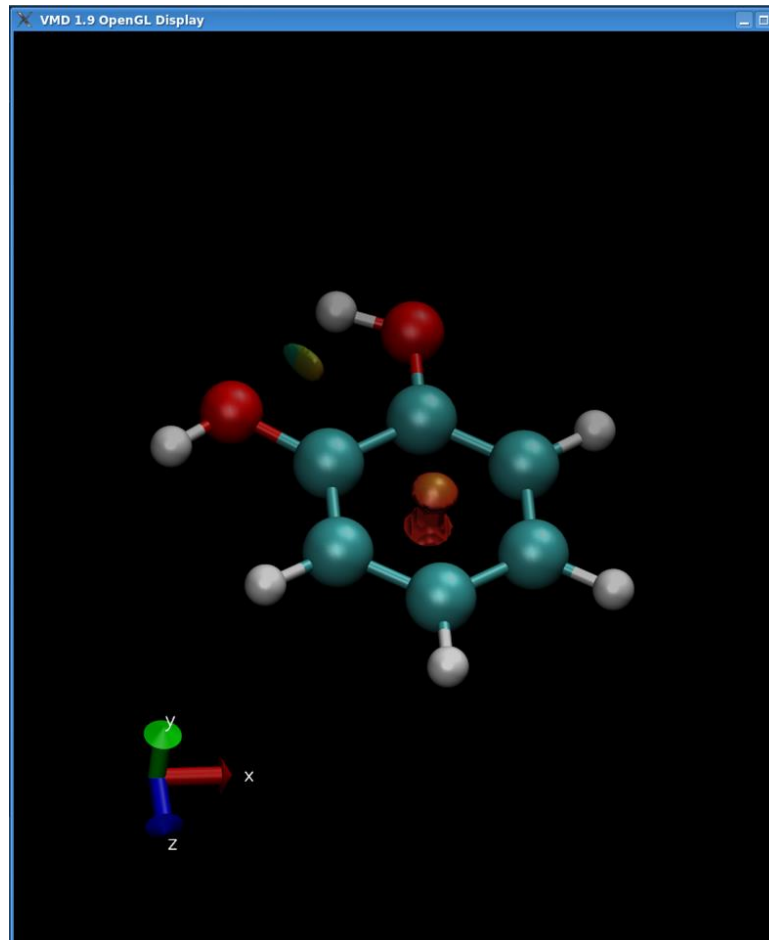
vmd -e NCI.vmd

3. Select NCI.vmd
4. Open



# Basic use of VMD

---





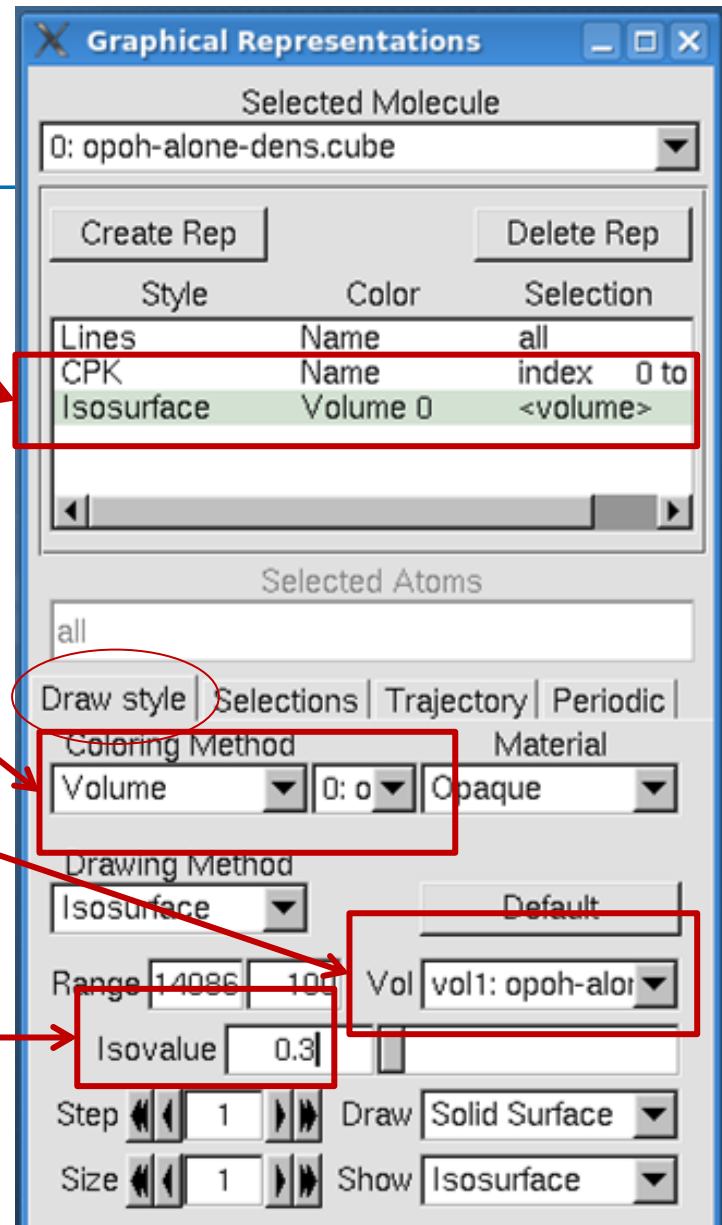
# Basic use of VMD: Graphics > Representation

Selected representation:  
represent the isosurface

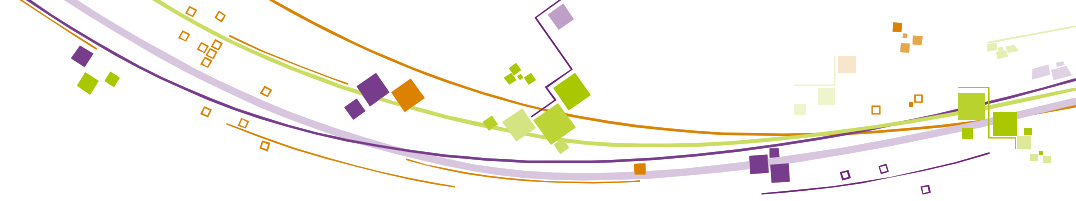
The isosurfaces are colored depending  
on the cube selected

Cube file whose isosurfaces will be plotted

RDG cutoff to represent the isosurface







# Basic use of VMD: Graphics > Representation

Graphical Representations

Selected Molecule  
0: opoh-alone-dens.cube

Create Rep Delete Rep

Style	Color	Selection
Lines	Name	all
CPK	Name	index 0 to
Isosurface	Volume 0	<volume>

Selected Atoms  
all

Draw style | Selections | Trajectory | Periodic

Update Selection Every Frame  
Update Color Every Frame

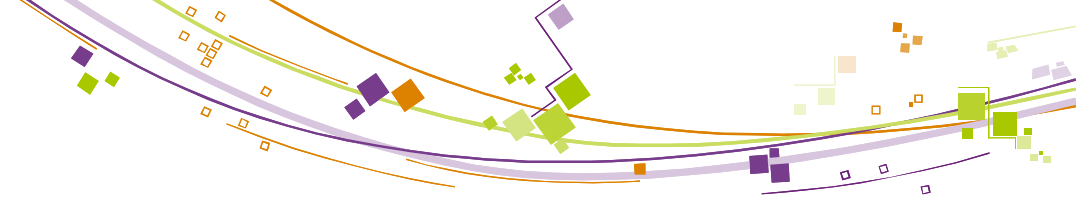
Color Scale Data Range:  
-7.00 7.00 Set Autoscale

Draw Multiple Frames: (now, b:e, b:s:e)  
now

Trajectory Smoothing Window Size:  
0

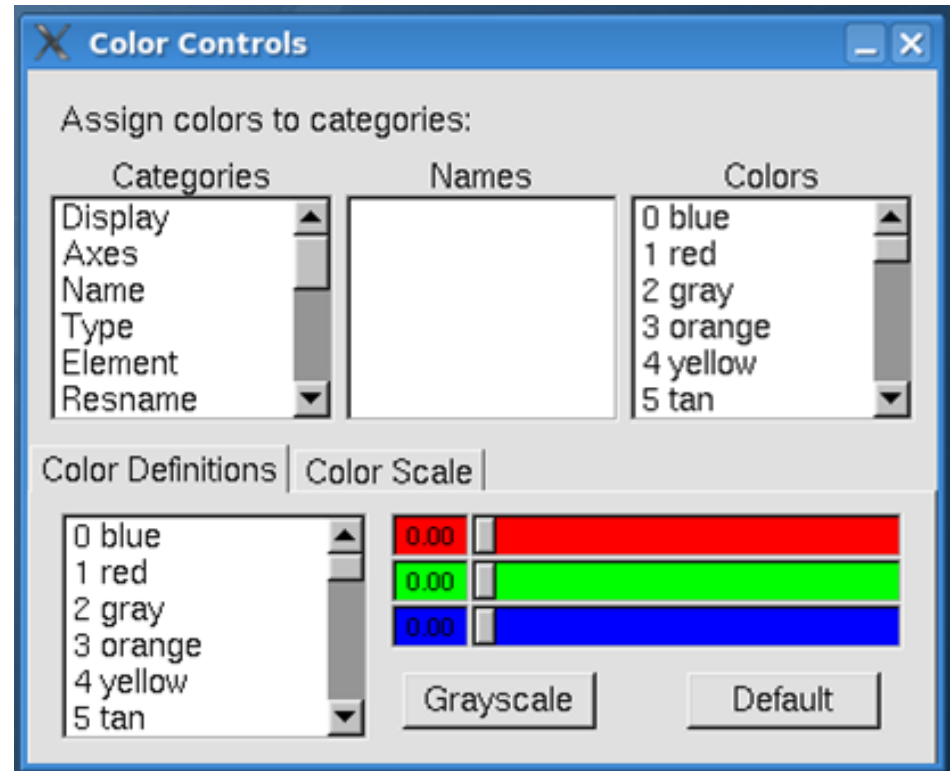
Color range





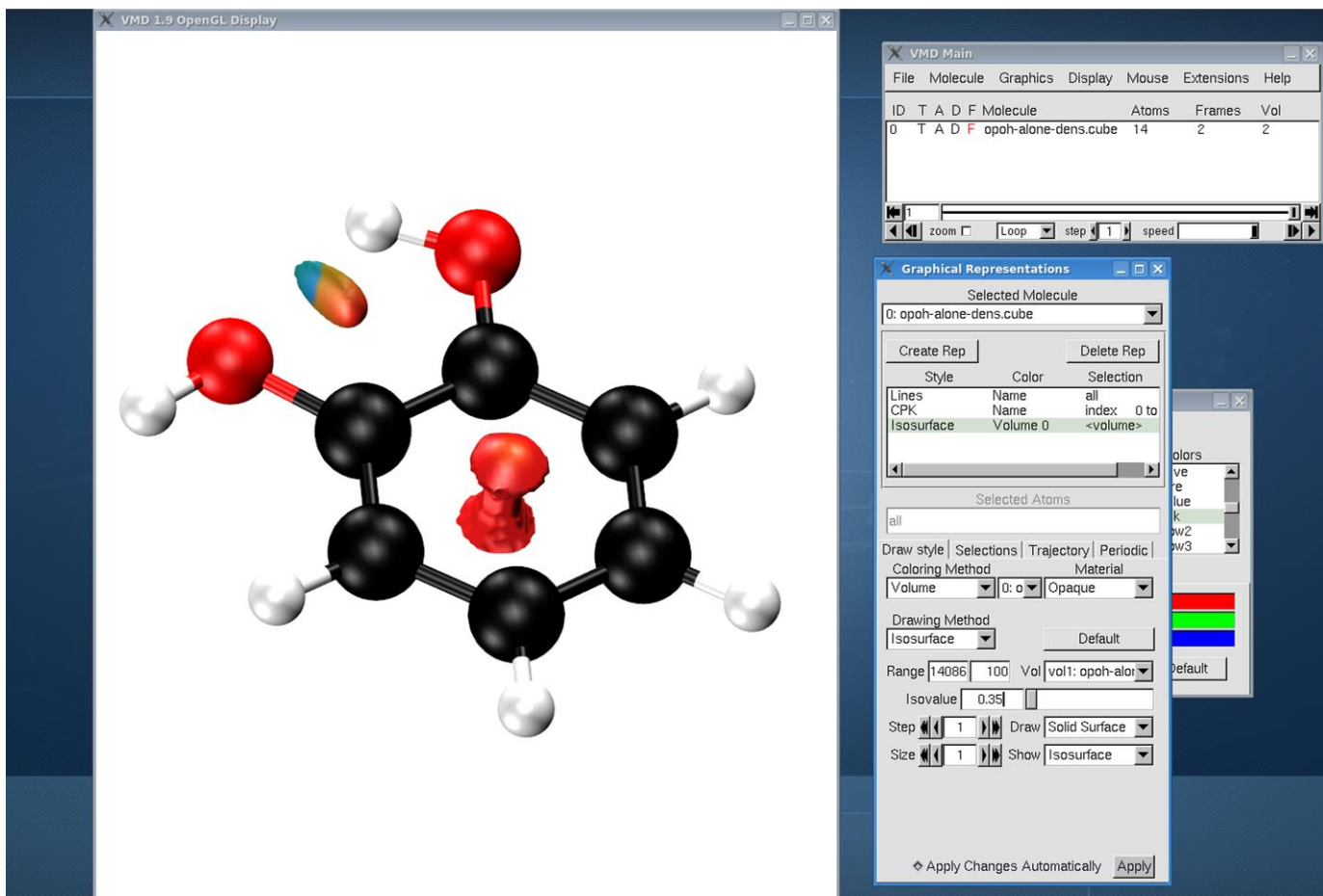
# Basic use of VMD: Graphics > Color

- **Display > Background > White**  
→ Set the background white
- **Name > C > Black**  
→ Set the Carbon atoms black
- **Color Scale** : change the color scale of the interactions (here blue < green < red)





# Basic use of VMD



The screenshot displays the VMD 1.9 OpenGL Display window. The main view shows a ball-and-stick model of a molecule with a red isosurface overlay. The right panel shows the 'VMD Main' window with a table of loaded molecules and the 'Graphical Representations' window with various settings for the selected molecule.

ID	T	A	D	F	Molecule	Atoms	Frames	Vol
0	T	A	D	F	opoh-alone-dens.cube	14	2	2

**Graphical Representations**

Selected Molecule: 0: opoh-alone-dens.cube

Buttons: Create Rep, Delete Rep

Style	Color	Selection
Lines	Name	all
CPK	Name	index 0 to
Isosurface	Volume 0	<volume>

Selected Atoms: all

Draw style: Selections | Trajectory | Periodic

Coloring Method: Material

Volume: 0.0 Opaque

Drawing Method: Isosurface Default

Range: 14086 100 Vol: vol1: opoh-alor

Isovalue: 0.35

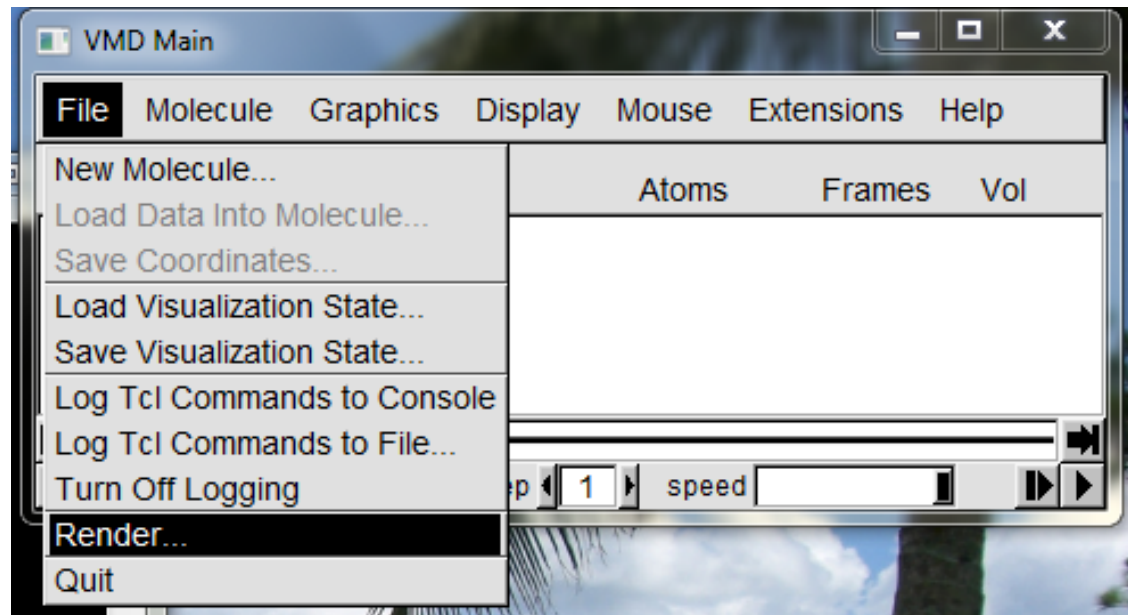
Step: 1 Draw: Solid Surface

Size: 1 Show: Isosurface

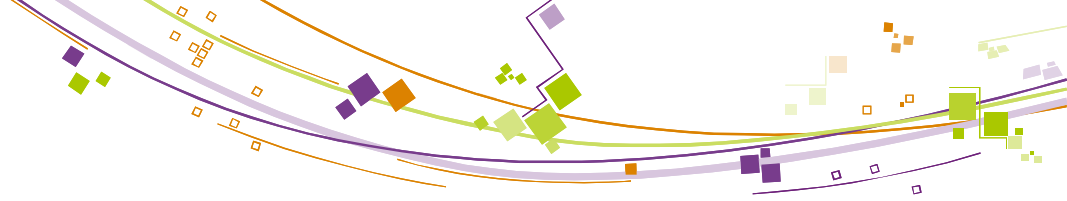
Apply Changes Automatically Apply

# Basic use of VMD: Rendering

Print an image:



- Select the rendering mode you prefer (snapshot for exemple)
- Give a file name (NCl.tga for exemple)
- Click on "Start Rendering"



# Exercice 1

---

1. Run promolecular and wfn NCI analysis for catechol and comment the results. What is unexpected?
2. Associate every spike in the 2D plot to molecular interactions using gnuplot and VMD for both promolecular and wfn.
  - What are the differences?
  - What is similar?
3. Select a cutoff so that only the cycle tension disappear in VMD but not in the 2D plot for the promolecular case.
  - Is it possible to do so for the wfn case?



# ELF input file

---

To run a complete ELF analysis there are 4 different steps using 3 programmes:

1. Compute ELF and AIM fonction on every point of a grid  
Use top\_grid or grid09
2. Decompose the ELF volume  
Use top\_bas or bas09
3. Decompose the AIM volume  
Use top\_bas or bas09
4. Integrate different properties  
Use top\_pop, top\_chem or pop09

Can be interverted



**Here the name of the .wfn and the title within the wfn should be similar**



# Grid09 input file (can also be done interactively)

Name of the .wfn file to consider

```

chaudrer@ener5:elf - Terminal No. 2 - Konsole
Session Edition Affichage Signets Configuration Aide
opoh-opt.wfn
-8.702209 -5.057593 -9.700905
20.244457 10.498937 20.643658
200 100 200
~
~
  
```

Coordinate of the lowest corner of the grid (given by the programm)

Number of points

Size of each edge of the grid (given by the programm)

The output are sbf files that can be transformed into cube files :

**sf\_to\_cube**



# Bas09 input for ELF (can also be done interactively)

Selection between elf or rho

Name of the wfn file (same as precedent)

Accuracy desired  
(0=very high, 1=high, 2=medium)

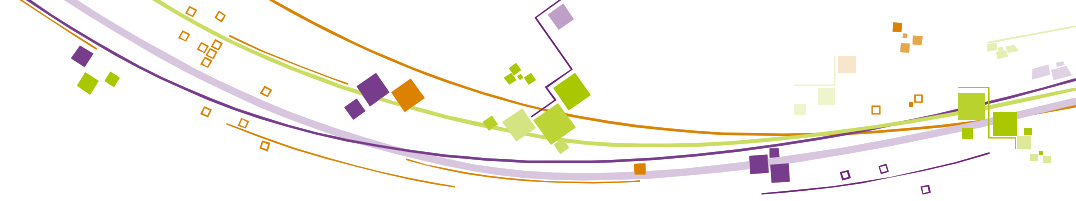
Find external core shell attractors

Search mode  
(0=automatic)

Assign grid point to decompose  
the volume into bassins

```
chaudrer@ener5:elf - Terminal No. 2 - Konsole
Session  Édition  Affichage  Signets  Configuration  Ai
elf
opoh-opt.wfn
0
y
0
y
~
~
```





# Bas09 input for density

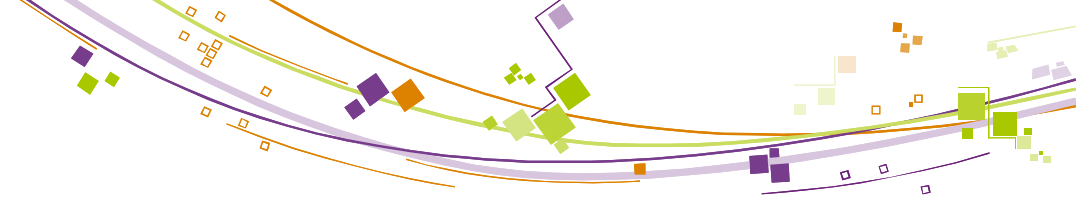
Selection between elf or rho

Name of the wfn file (same as precedent)

Accuracy desired  
(0=very high, 1=high, 2=medium)

Assign grid point to decompose  
the volume into bassins

```
chaudrer@ener5:elf - Terminal No. 2 - Konsole
Session  Édition  Affichage  Signets  Configuration  Aid
rho
opoh-opt.wfn
0
y
~
```



# Pop09 input file

---

Name of the wfn file (same as precedent)

Threshold for integration  
(10 = most precise)

Number of ELF and AIM basins considered  
(0 0 = all)

```
chaudrer@ener5:elf - Terminal No. 2 - Konsole
Session  Édition  Affichage  Signets  Configuration
opoh-opt.wfn
10
0 0
~
```



## Exercice 2

---

1. Copy phenol files from hydrogen
2. Run ELF analysis of catechol and represent using VMD
3. Represent ELF and NCI analysis on the same vmd windows
4. Compare the lone pairs/O-H bonds (population, volume, shape...) of both hydroxyl groups. How does that compare with NCI results? What would we expect for its interaction with solvent?
5. Comment the nature of the C-O bonds.

# Grid09 output

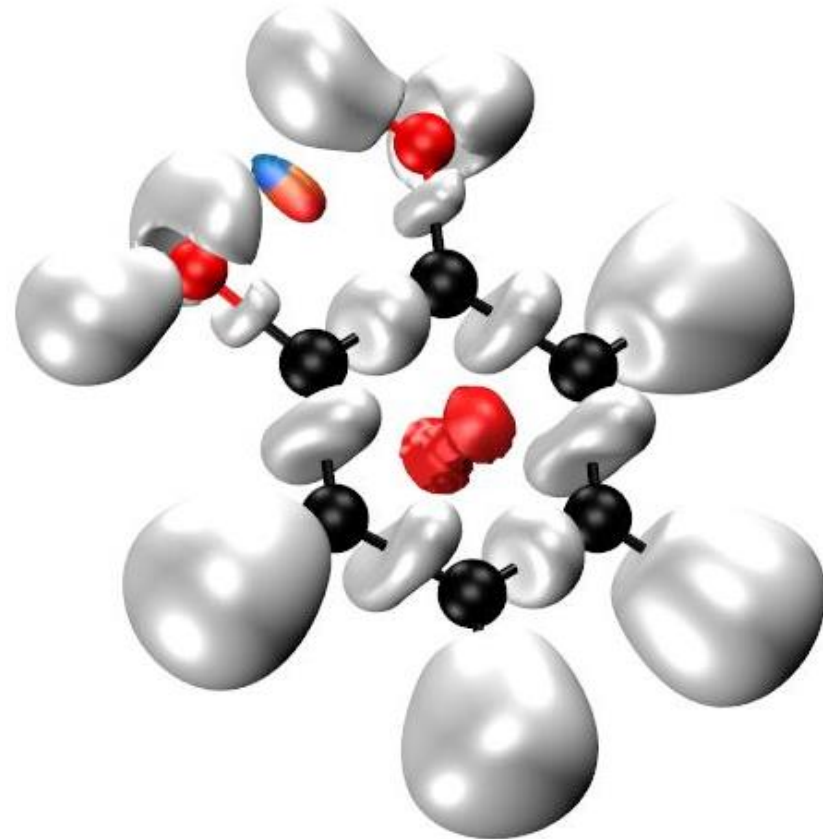
---

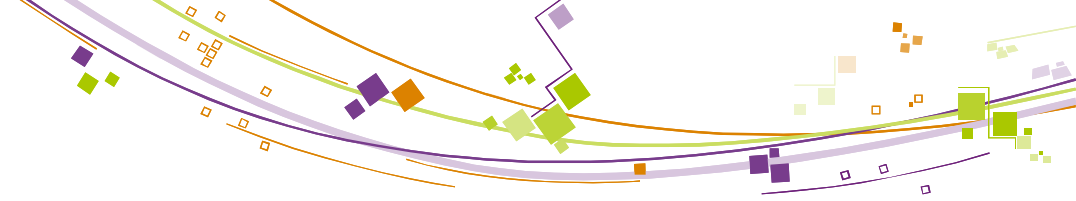
Grid09 output files are .sbf files

Use **sbf\_to\_cube**

Visualize cube file with vmd:

File > New Molecule...





# Bas09 output for ELF calculations

---

1. Attractor (ELF or density) x,y,z coordinates

2. Time spent for the calculation

3. Distance of each (valence) attractor from nuclei (ELF only)

4. Angle between attractors (ELF only)

} AIM: only atomic attractors



# Pop09 output file

---

## First ELF then AIM

1. Integrated properties for all basins: **volume**, **population**,  $\rho_{\alpha\beta}$ ,  $\rho_{\alpha\alpha}$ ,  $\rho_{\beta\beta}$ , ...
2. **Orbital contribution for all basins**
3. **Atomic contribution for all basins (ELF only)**
4.  $\alpha$  spin covariance matrix and its correlation coefficients
5. Total covariance matrix and its correlation coefficients



# ELF/NCI for reaction mechanism: Running the calculations

---

- Need the different wfn files from the reaction coordinate
- Run several small scripts to get the calculation automatized
- ATTENTION: For ELF prepare the grid input so that it includes all the atoms of all the structures (get a larger grid)
- Modify the “general” input files
- Run scripts: `sh make_elf.sh` (do all the ELF calculations)  
`sh make_nci.sh` (do all the NCI calculations)
- Creation of several new folders to store out, dat, sbf and cube files



# ELF/NCI for reaction mechanism: Obtaining the films

---

- copy result/elf\_nci\_visualization.pl and result/example-input-vmd-file in cube
- modify input file :
  - NAME name: put the wfn names (NAME-[number].wfn
  - GEOM n1 n2 n3: [number] going from n1 to n2 by step of n3
  - REPRESENTATION [CPK/line/licorice]: VMD representation
  - ELF n: ELF cutoff = n
  - NCI n1 n2 n3: density cutoff = n1, density ranking from n2 to n3
  - set viewpoint...: use vmd to get the desired view of the system
- ./elf\_nci\_visualization.pl input output vmd-surface-output (vmd-attractor output)
- run vmd to get the tga files: vmd -e vmd-surface-output
- copy, modify and run result/tga2gifanim





## Exercice 3

---

1. Perform the ELF/NCI scripts.
2. Obtain the film with your selected cutoffs, color range and view.
3. Look at the different ELF output. What is the evolution of the populations of the different important basins? Does that correspond to the NCI analysis evolution?

---

# Congrats!

You finished