CECAM Workshop on *ab initio* Valence Bond and Non-Orthogonal CI approaches

# Valence bond based multi-reference DFT method

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# **Electronic correlation**

Many electrons interact simultaneously and their motion is correlated, which can not be described by mean field approximation (HF method)

$$E^{\rm corr} = E^{\rm exact} - E^{\rm HF}$$

According to the different origin, there are two kinds of electronic correlation: dynamic and static correlations. They can not be separated exactly!

# Methods for electronic correlation

# Multi-reference wave function method

MCSCF (CASSCF)VBSCF

$$\Psi = \sum_{K} C_{K} \Phi_{K}^{\rm CSF}$$

#### lack of dynamic correlation

# **KS-DFT**

mimics electron-correlation effects at a independent particle level with  $E_{\rm XC}$  functional.

 $E^{\text{KS-DFT}}(\rho) = T_{S}[\rho] + J[\rho] + E_{\text{Ne}}[\rho] + E_{\text{XC}}[\rho]$ 

#### Single reference

lack of static correlation





# Multi-reference PT, CI and CC methods

Accurate but expensive



Dynamic correlation

### Multi-reference SCF + DFT = MRDFT

# **MRDFT**

#### Multi-reference wave function method + DFT functionals

CAS-DFT BLW-DFT MC-PDFT DFVB

- - -

Grimme S, Savin A, Roos B, Head-Gordon M, Cremer D, Yamaguchi K, Filatov M, Shaik S, Wu Q, Gao J, MO Y, Clementi E, Truhlar D, Fromager E, Jensen H,....

#### The double counting error

Energy of MR wavefunction plus correction from DFT

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E(WF) + \Delta E(DFT)
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Let the active space of MR wave function or the DFT correction as small as possible.

Semiempirical treatment of  $\Delta E(DFT)$ .



# $E^{\text{dc-DFVB}}[\rho^{\text{VB}}] = E^{\text{VBSCF}} + E_{\text{C}} [\rho^{\text{VB}}]$

Ying, F.; Su, P.; Chen, Z.; Shaik, S.; Wu, W. J. Chem. Theory Comput. 2012, 8, 1608



$$E = V_{\rm nn} + \left\langle \Psi \left| T + V_{\rm ne} \right| \Psi \right\rangle + V_{\rm C} \left[ \rho \right] + E_{\rm ot} \left[ \rho, \Pi \right]$$

 $E_{ot}[\rho,\Pi]$  is on-top electronic density functional

Olsen, J and Truhlar, D et al J. Chem. Theory Comput. 2014, 10, 3669

CAS-DFT

Gräfenstein, J.; Cremer, D. *Mol. Phys.* 2005, 103, 279. Takeda, R.; Yamanaka, S. and Yamaguchi. K. Int. J. Quant. Chem, 2004, 96, 463.



# Modified Hamiltonian matrix elements by DFT using configuration interaction scheme

#### DFT/MRCI

$$\left\langle \omega W \left| \widehat{H}^{\text{DFT}} - E^{\text{DFT}} \right| \omega W \right\rangle$$

 $\langle \omega W | \hat{H}^{\text{DFT}} - E^{\text{DFT}} | \omega' W' \rangle$ 

Semiempirical formula

Grimme, S.; Waletzke, M. *J. Chem. Phys.* **1999**, *111*, 5645 Cembran, A.; Song, L.; Mo, Y.; Gao, J. *J. Chem. Theory Comput.* 2009, 5, 2702



$$E^{\text{hc-DFVB}} = \sum_{K,L} C_K C_L H_{KL}^{\text{hc-DFVB}} = E^{\text{VBSCF}} + E^{\text{corr}}$$

#### Hamiltonian matrix elements are defined as:

$$H_{KL}^{\text{hc-DFVB}} = H_{KL}^{\text{VBSCF}} + H_{KL}^{\text{corr}}$$



Zhou, C.; Zhang, Y.; Gong, X.; Ying, F.; Su, P. Wu, W. J. Chem. Theory Comput. 2017, 13, 627.

 $H_{\kappa\lambda}^{
m corr}$ 

### The diagonal elements k = /

$$H_{kk}^{\text{corr}} = E^{\text{corr}}\left[\varUpsilon_{k}\right] = E_{\text{C}}\left[\varUpsilon_{k}\right] + (1-a)\left(E_{\text{X}}\left[\varUpsilon_{k}\right] - W_{k}\right)$$

 $W_{\kappa}$  : exact exchange energy of determinant  $\kappa$ 

#### The off-diagonal elements $\kappa \neq \lambda$

$$\begin{split} H_{\kappa\lambda}^{\text{corr}} \approx & \left( \frac{H_{\kappa\lambda}^{\text{VBSCF}}}{H_{\kappa\kappa}^{\text{VBSCF}} + H_{\lambda\lambda}^{\text{VBSCF}}} \right) \left( E^{\text{corr}} \left[ \rho_{\kappa} \right] + E^{\text{corr}} \left[ \rho_{\lambda} \right] \right) \\ H_{\kappa\lambda}^{\text{corr}} \approx & \frac{1}{2} S_{\kappa\lambda} \left( E^{\text{corr}} \left[ \rho_{\kappa} \right] + E^{\text{corr}} \left[ \rho_{\lambda} \right] \right) \end{split}$$

**Figure 1.** The potential energy surfaces of  $H_2$ 



Accuracy: hc-DFVB  $\approx$  Full CI, VBCISD(MRCI) Each diabatic (structure) has its own correction. Table 1. The BDE (in kcal/mol) of diatomic molecules by different methods. (Deviation values are shown)

D <sub>e</sub>	Expt	VBSCF	<b>B3LYP</b>	M06	revTPSS -	hc-DFVB		
						<b>B3LYP</b>	<b>M06</b>	revTPSS
Li <sub>2</sub>	24.4	-14.2	-3.7	0.2	11.4	-4.9	-8.4	0.9
$F_2$	38.2	-21.4	2.3	-3.2	11.4	-3.0	-2.4	4.8
$\overline{C_2}$	148.0	-35.9	-17.9	-20.9	-17.3	-8.8	-9.2	-5.4
$N_2$	228.5	-58.4	3.1	-6.3	3.3	-8.2	-8.5	-6.3
$\overline{O_2}$	120.3	-53.0	4.3	-0.2	8.8	-14.3	-5.8	-7.4
$\overline{Cu_2}$	46.4	-28.6	-3.2	1.4	6.6	-6.0	-0.7	0.3
$Ag_2$	41.0	-25.4	-6.1	0.1	1.6	-7.3	-1.1	-1.0
$Au_2$	53.0	-30.2	-11.0	-5.8	-0.8	-13.0	-8.6	-5.1
MAĒ		33.4	6.5	<b>4.8</b>	7.7	8.2	5.6	3.9

Accuracy: hc-DFVB  $\approx$  DFT > VBSCF Transit metal systems: Table 2. The barriers (in kcal/mol) of the chemical reactions (kcal/mol)

	+	Product	$\begin{array}{c} \text{CO} \\ \text{OC} \\ \text{OC} \\ \text{OC} \\ \text{CO} \\$
Method			$\Delta E^{\neq}$
		D-A	Rotation
CASSCF		41.3	13.9
CASPT2		23.5	15.5
VBSCF		44.0	10.6
DFT	B3LYP	21.3	10.0
	M06	19.7	9.4
	revTPSS	13.0	10.2
hc-DFVB	B3LYP	29.3	14.1
	M06	23.3	19.1
	revTPSS	21.5	14.1
Expt.		23.3±2	

Accuracy: hc-DFVB  $\approx$  CASPT2 > CASSCF, VBSCF, DFT



Range-separated treatments (Decompose the Coulombic electronelectron interaction into long-range and short-range components)

> a long-range (lr) electron-electron interaction determined by parameter  $\mu$  in the error function

$$E = \min_{\Psi} \left\{ \langle \Psi | \hat{T} + \hat{V}_{ne} + \hat{W}_{ee}^{\mathrm{lr}} \Psi \rangle + E_{\mathrm{Hxc}}^{\mathrm{sr}}[n_{\Psi}] \right\}$$

the corresponding µ-dependent short-range (sr) Hartree-exchange-correlation (Hxc) density functional

The parameter  $\mu$  in the error function controls the range of the separation

Toulouse, J.; Colonna, F. Savin, A. *Phys. Rev. A* 2004, 70, 062505 Fromager, E.; Knecht, S.; Jensen, H. A. *J. Chem. Phys.* 2013, 138, 084101



The rs-DFVB energy is represented as

$$H_{KL}^{\lambda-\text{DFVB}} = H^{\lambda} + \widehat{V}^{\lambda}[\rho]$$

$$H^{\lambda} = \widehat{T} + \lambda \widehat{V}_{ee} + \widehat{V}_{ne}$$

$$\widehat{V}^{\lambda}[\rho] = (1 - \lambda) v_{H}[\rho] + (1 - \lambda) v_{X}[\rho] + v_{C}[\rho]$$

$$v_{H}[\rho] = \frac{\partial E_{H}}{\partial \rho} = \int dr' \rho(r') / |r - r'|$$

$$v_{X}[\rho] = \frac{\partial E_{X}[\rho]}{\delta \rho}$$

$$v_{C}[\rho] = \frac{\partial E_{C}[\rho]}{\delta \rho}$$

#### rs-DFVB test, H2, BLYP/cc-pVTZ





correct the energy of multi-reference wave with dynamic correlation from DFT functional

modify the Hamiltonian matrix elements explicitly by DFT corrections

use the range-separated scheme, which decomposes the Coulombic electron-electron interaction into long-range and shortrange components

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Thank you for your attention!

#### The correlation of MCSCF and VBSCF

